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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: **Duelli et al.**Group Art Unit: **2874**Application Number: **10/098,585**Filed: **March 15, 2002**Examiner: **WOOD, Kevin S.**For: **COMPACT OPTICAL FIBER COUPLER**

DECLARATION UNDER 37 CFR 1.131

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

City of Santa Rosa
State of California,

I, Andrew T. Taylor, declare that all statements made of my own knowledge are true, and that all statements made on information and belief are believed to be true:

1. I am an applicant of the above-identified patent application and an inventor of the subject matter described and claimed therein.
2. Prior to February 17, 2001, I conceived the idea in the United States, of coupling a compact optical fiber as described and claimed in the application and diligently worked on this idea until it was reduced to practice. All actions noted hereafter took place in the United States.
3. Attached to this declaration and marked as exhibit A is a copy of co-inventor and co-applicant, Markus Duelli's, lab notes showing coupling configurations for the claimed fiber optic coupling assembly with distances $L > 220$ micrometer and diameters $d < 30$ micrometer. The lab notes are dated from March 29, 2000 to April 10, 2000. By the latter date, Markus Duelli had determined the preferred working distance (L) and diameters (d) as claimed. From the date of March 29, 2000 onward, my co-applicants and I diligently worked toward reduction to practice of this invention.

4. Attached to this declaration and marked as exhibit B is a copy of my lab notes showing an initial sketch of the claimed invention. The notes are dated May 12, 2000. The notes illustrate essential elements of the claimed invention. What is shown is a fiber optic coupling assembly comprising:

a) a first optical waveguide having a first terminal end,

b) a section of graded index fiber ,

wherein the first terminal end of said graded index fiber is in optical communication with the first terminal end of the first optical waveguide

whereby an optical beam propagating from the first terminal end of the first optical waveguide and exiting the second terminal end of the graded index fiber is reduced to a diameter d at distance from the terminal end of the graded index fiber L , wherein d is less than about 20 microns and L is greater than about 220 microns.

In claim 1 of the instant invention d is defined to be less than about 30 microns and L is greater than about 220 microns. These dimensions correspond to the coupling configurations in Markus Duelli's initial lab notes of March/April 2000.

5. Subsequent calculations to determine the required grin parameter to realize certain working distances and diameters were used to generate the specifications for the custom Grin fiber. On June 16, 2000 Markus Duelli prepared a working paper entitled "Specifications for Custom Grin-Fiber" showing a Custom Multimode Fiber (CMMF) index. Copies of the calculations and a copy of Markus Duelli's working paper dated June 16, 2000, which indicates the specifications for the custom Grin fiber, are enclosed herewith as exhibit C.

6. In a continuous effort to reduce this invention to practice applicants diligently proceeded, and in July of 2000, just shortly after the specifications for the Grin fiber were determined, the fiber tubes required to build a prototype of the claimed invention, were ordered. E-mail correspondence between myself and supplier, FiberCore Jena GmbH, dated between June 24, 2000 and July 16, 2000 and subsequent proof of delivery dated September 15, 2000, are attached herewith as exhibit D.

7. Attached as exhibit E is a copy of co-applicant and co-inventor, Leland Black's lab notes detailing the test results with commercial multimode fiber. The lab notes are dated July 31, 2000.

8. In September 2000 the invention was reduced to practice when prototypes of the invention were built and tested. A copy of my lab notes dated September 21, 2000, detailing the first measurements of spot size (diameter d) for different grin lengths of the first prototypes built, are attached herewith as exhibit F.

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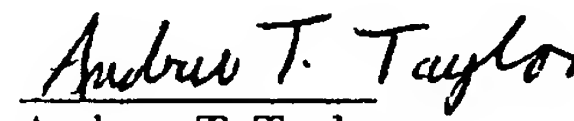
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11. Applicants demonstrated due diligence by filing a provisional patent application for the claimed invention on March 16, 2001.

12. Because of the extensive effort required to develop a functioning prototype of the claimed invention, it is believed that no undue delay occurred.

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Sworn at the city of Santa Rosa in the
State of California, this twenty-fourth day of
March, 2004


Andrew T. Taylor



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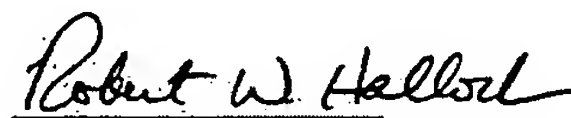
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Robert W. Hallock



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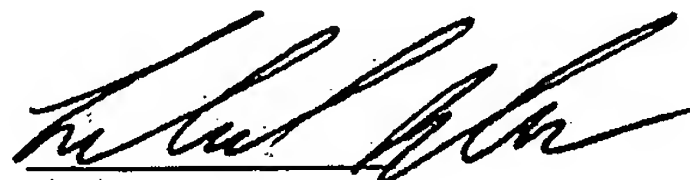
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Leland Black

Work continued from Page

maximum reasonable core-radius for current
switch design:

$$r \approx 12 \mu\text{m} \quad (\text{beam diameter} = 24 \mu\text{m})$$

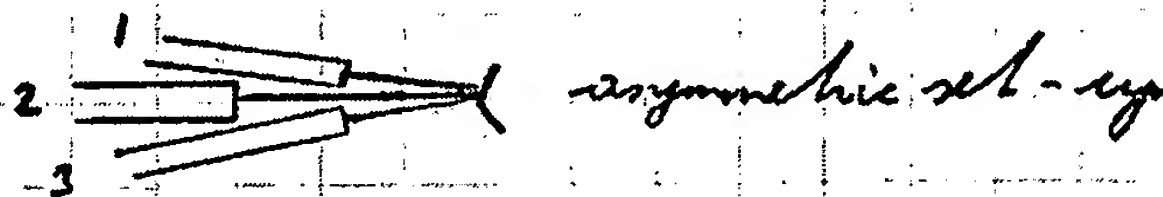
for -0.3 dB insertion loss \Rightarrow working distance = 156 μm
distance to mirror = 78 μm

$\Rightarrow 77^\circ$ between fibers (more than for linear fibers!)

for -0.5 dB insertion loss \Rightarrow working distance = 200 μm
distance to mirror = 100 μm
 64° degrees between fibers (no tolerance!)

\Rightarrow for 2x2 switch TEC-fibers not feasible.

possible solution for 1x2 switch:



e.g.: 90° between fibers 1-3 and min. distance 30 μm

dist to mirror = 84 $\mu\text{m} \Rightarrow$ dist to fiber 2 has to be
 $156 - 84 \mu\text{m} = 72 \mu\text{m}!$

not possible!

SCIENTIFIC DIGEST PRODUCTIONS - CHICAGO, ILL.

Work continued to Page

SIGNATURE

Markus Drelli

DATE

3/29/2000

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BOOK NO.

Work continued from Page

using lensed fibers we need 140° between fiber 1-3. Can we do better with TEC-fibers?

140° between 1-3 \Rightarrow distance to mirror = $39 \mu\text{m}$

distance mirror - fiber 2 = $117 \mu\text{m}$

for $117 \mu\text{m}$ we need an ~~offset~~ angle of 60° between fibers 1-2

\Rightarrow TEC fibers with $r = 12 \mu\text{m}$ would allow a slightly better (closer) angle between the fibers.

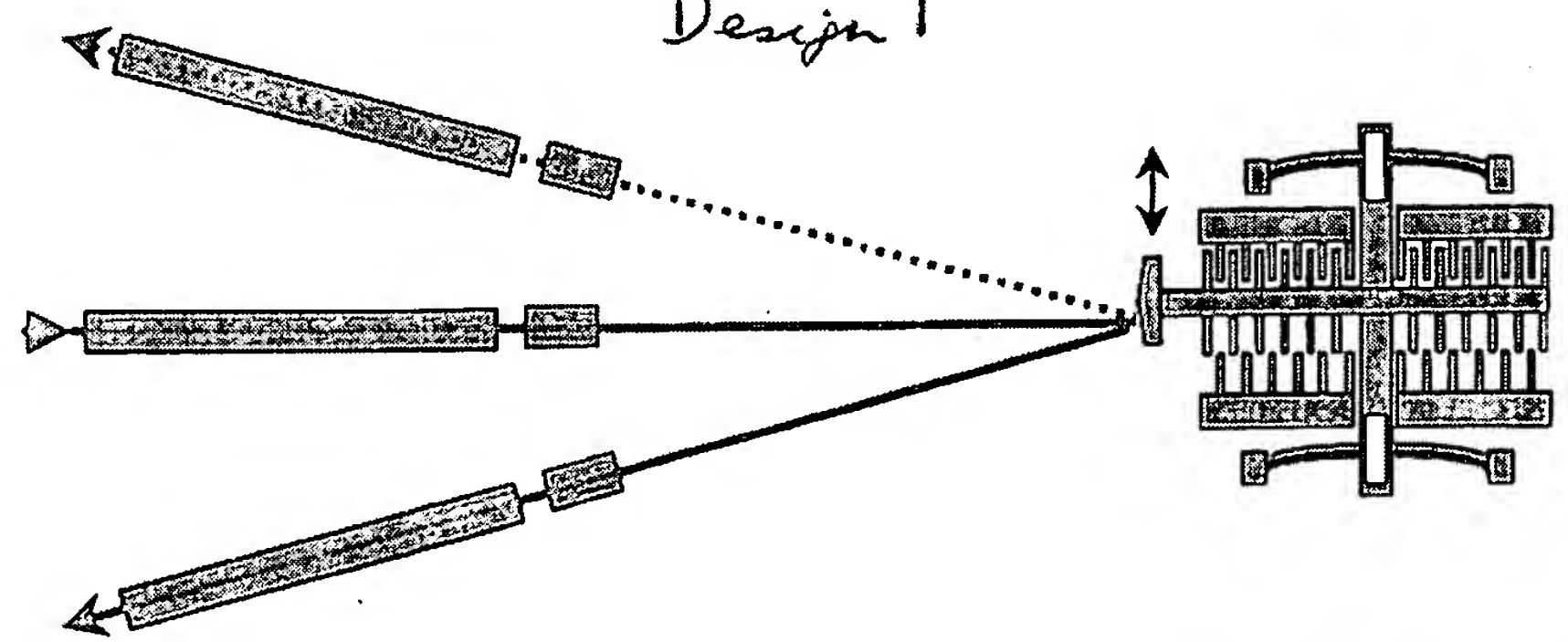
concern with TEC-fibers: - core size tolerance

- AR-coating

- return loss! \rightarrow angle polishing?

Possible 1x2 configuration:

Design 1



Work continued to Page

SIGNATURE

Markus Drelli

DATE

3/30/2000

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PROJECT NO.

WORK NO.

Work continued from Page

- main concern in this set-up: lateral movement of the beam during switching - ringing

calculations for quadrimino - fibers:

- spot size on mirror: $15 \mu\text{m}$
- maximum working distance (spot size on grad. lens $\leq 80 \mu\text{m}$)
 $= 1200 \mu\text{m}$
- ~~minimum~~ ^{minimum} angle between fibers (with $10 \mu\text{m}$ distance between neighbouring fibers): 6.5°

⇒ choose the following parameters:

- angle between fibers: 10°
⇒ input angle on mirror 5° (⇒ PDL neglectable)
- working distance: $800 \mu\text{m}$
- fiber - to - fiber distance: $1500 \mu\text{m}$

⇒ quadrimino parameters:

- spot size: $15 \mu\text{m}$
- working distance: ~~$800 \mu\text{m}$~~ $800 \mu\text{m}$
(beam size on grad lens: $49.9 \mu\text{m}$)
 53.2°

SIGNATURE

Markus Drelli

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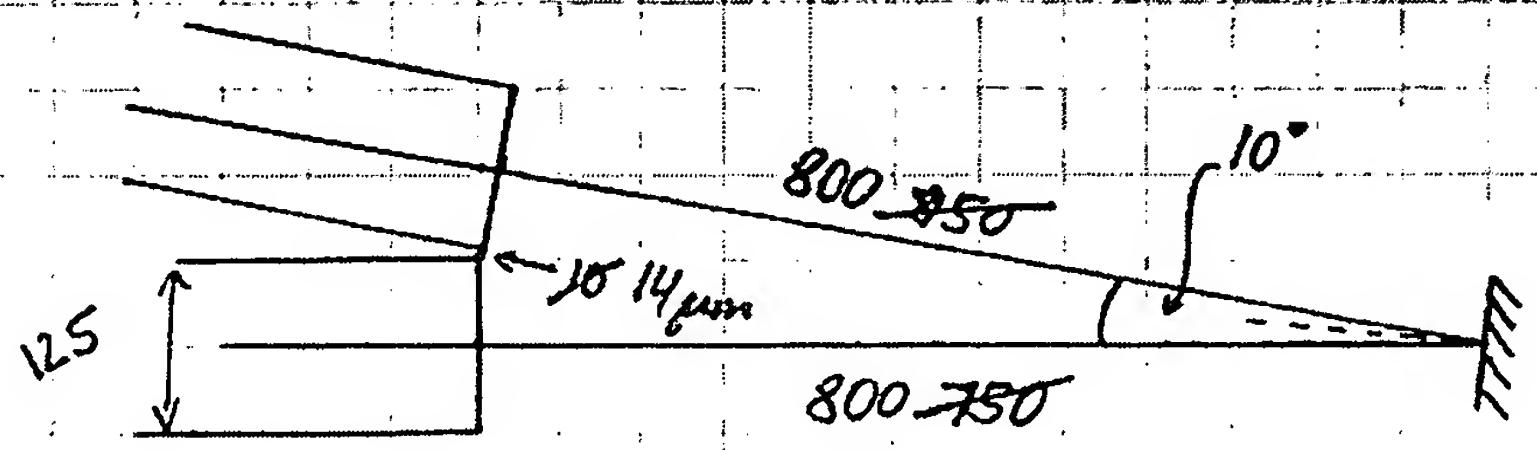
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PROJECT NO.

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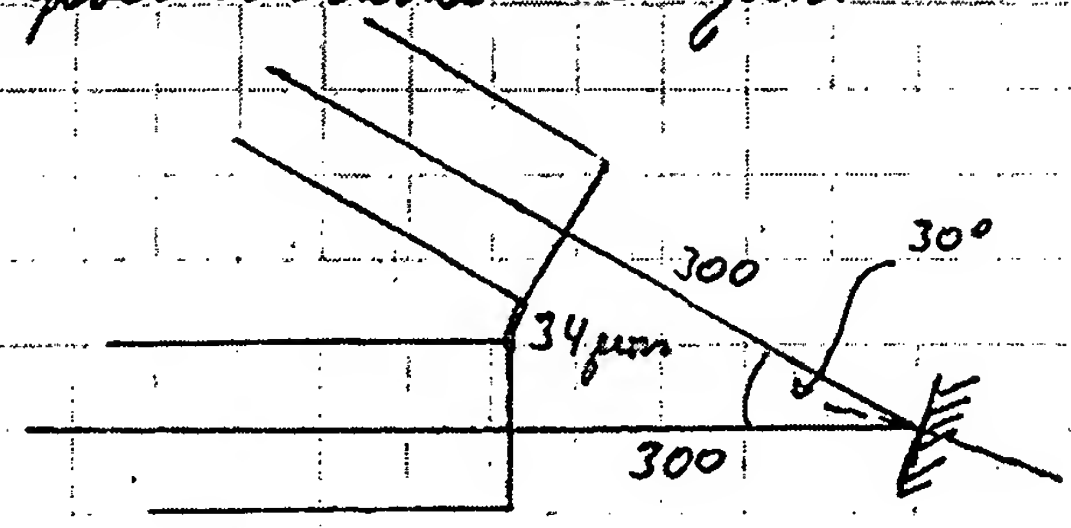


incidence angle on mirror: 5° , $PDL_{theory} \leq 1 \cdot 10^{-3}$
 $= (7.7 \cdot 10^{-4} \text{ dB})$

or define maximum $PDL < 0.01 \text{ dB}$

$\Rightarrow \alpha = 30^\circ$, $PDL = 0.007 \text{ dB}$ (incidence angle on mirror $= 15^\circ$)
 working distance $> 254 \mu\text{m}$

\Rightarrow set working distance $= 300 \mu\text{m}$
 fiber-to-fiber distance $= 600 \mu\text{m}$



Requirements to acquire for this design:

working distance: $300 \mu\text{m}$, spot size: $\leq 15 \mu\text{m}$

SCIENTIFIC PUBLICATIONS, CHICAGO, ILLINOIS

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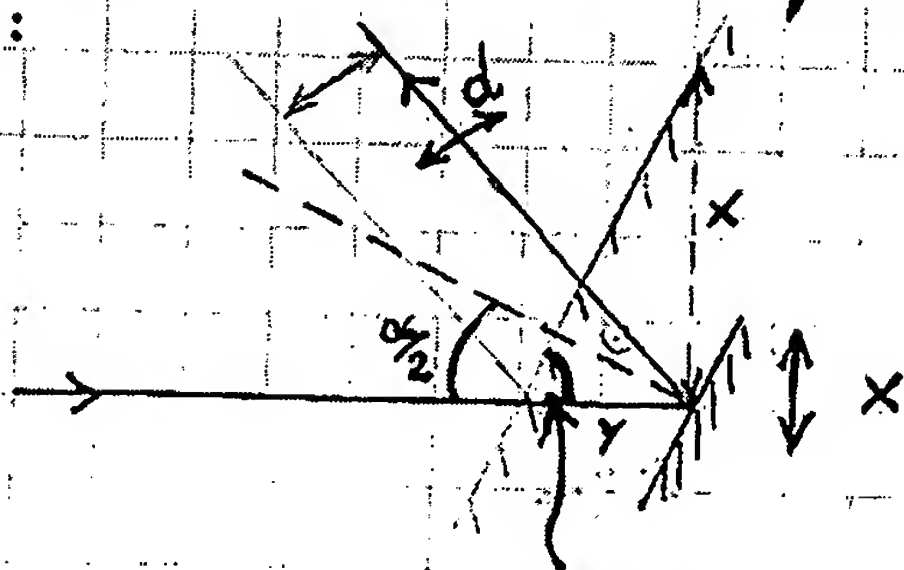
Concern with Graded-index fibers:

- availability (one supplier)
- price
- OCLI has to do the AR-coating
- Return loss

to get return loss to -40-50 dB OCLI has to angle polish (8°) and AR-coat the fibers.

Additional considerations for design 1: (page 51)

lateral movement of beam dependent on mirror position:



$$90^\circ - \frac{\alpha}{2} \Rightarrow y = \frac{x}{\tan(90^\circ - \frac{\alpha}{2})}$$

$$\Rightarrow d = y \cdot \sin \alpha = \frac{x}{\tan(90^\circ - \frac{\alpha}{2})} \cdot \sin \alpha$$

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Markus Duller

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PROJECT NO.

FIGURE NO.

e.g.: $x = \pm 10 \mu\text{m}$, $\alpha = 30^\circ \Rightarrow d = \pm 1.34 \mu\text{m}$

$\alpha = 10^\circ \Rightarrow d = \pm 0.152 \mu\text{m}$

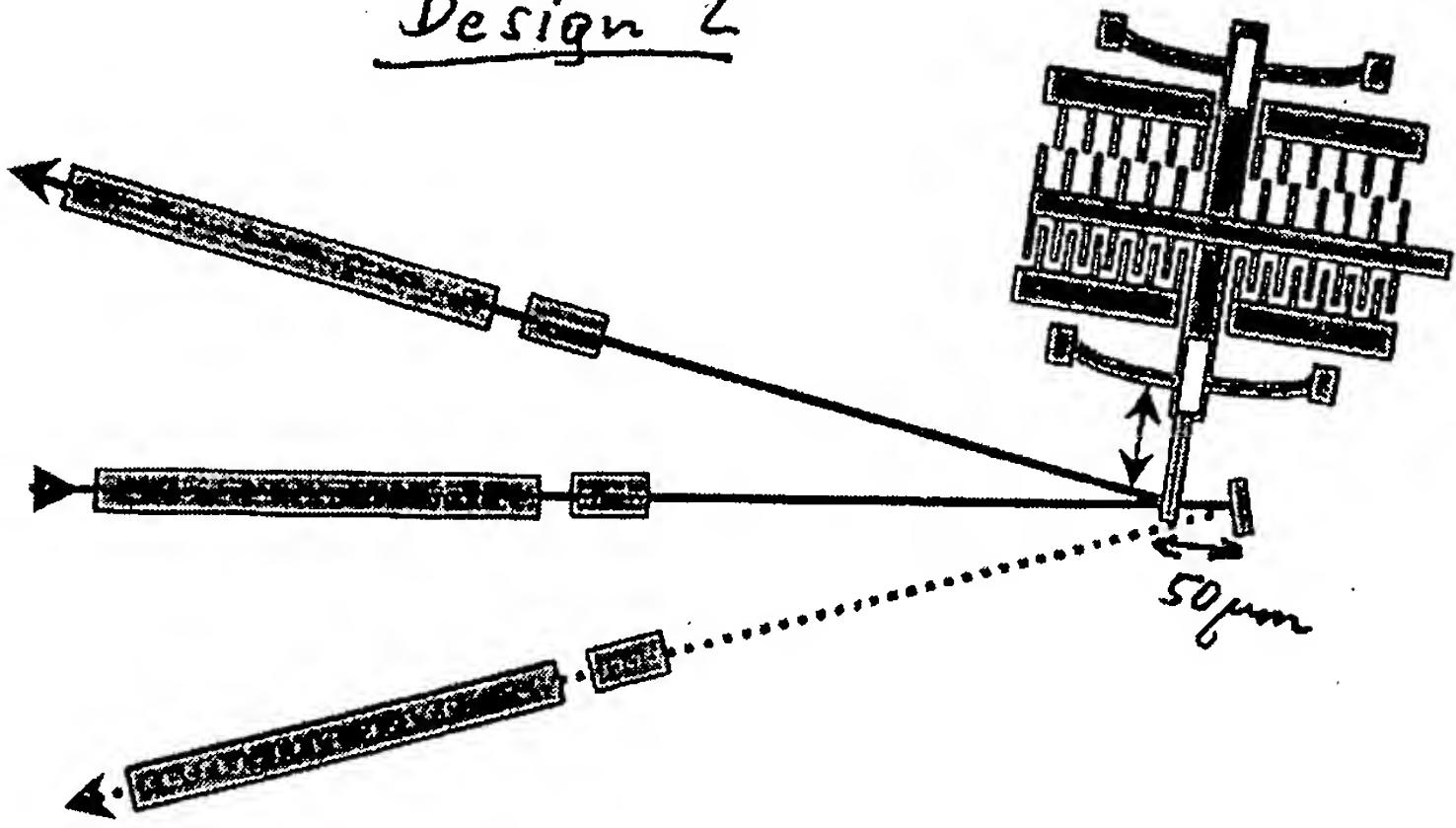
\Rightarrow for small angles this movement is not of great concern.

$d = 0.15 \mu\text{m}$ causes an additional loss of 0.02 dB for cleaved fibers.

for $d = 1.5 \mu\text{m}$ this loss increases to 0.17 dB!

However, this sideways motion of the beam can be avoided by using Design 2:

Design 2



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3/30/2000

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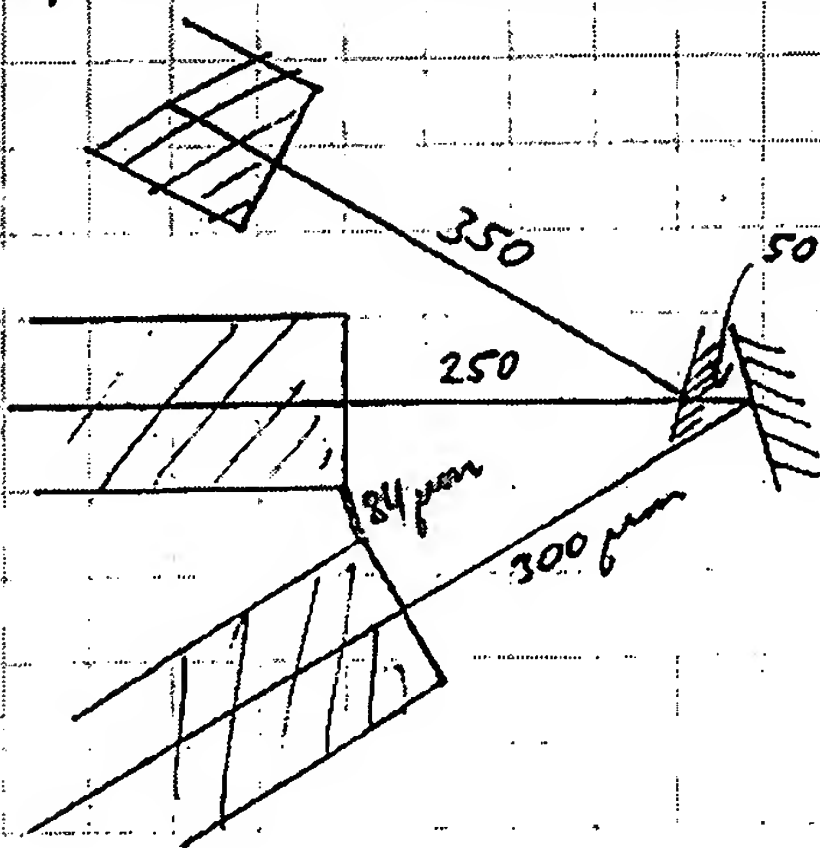
geometric limitations:

beam size $15\mu\text{m}$ \Rightarrow static mirror size: $30\mu\text{m}$
equals size of moving mirror

sew distance between moving and static mirror: $50\mu\text{m}$
(according to Mingao)

a) angle between fibers: 30° , PDL (theoretical) = 0.007 dB

form of beam between the two mirrors;



beam waist on mirror:
 $15.2\mu\text{m}$

beam waist on quad - lens:
 $42.2\mu\text{m}$

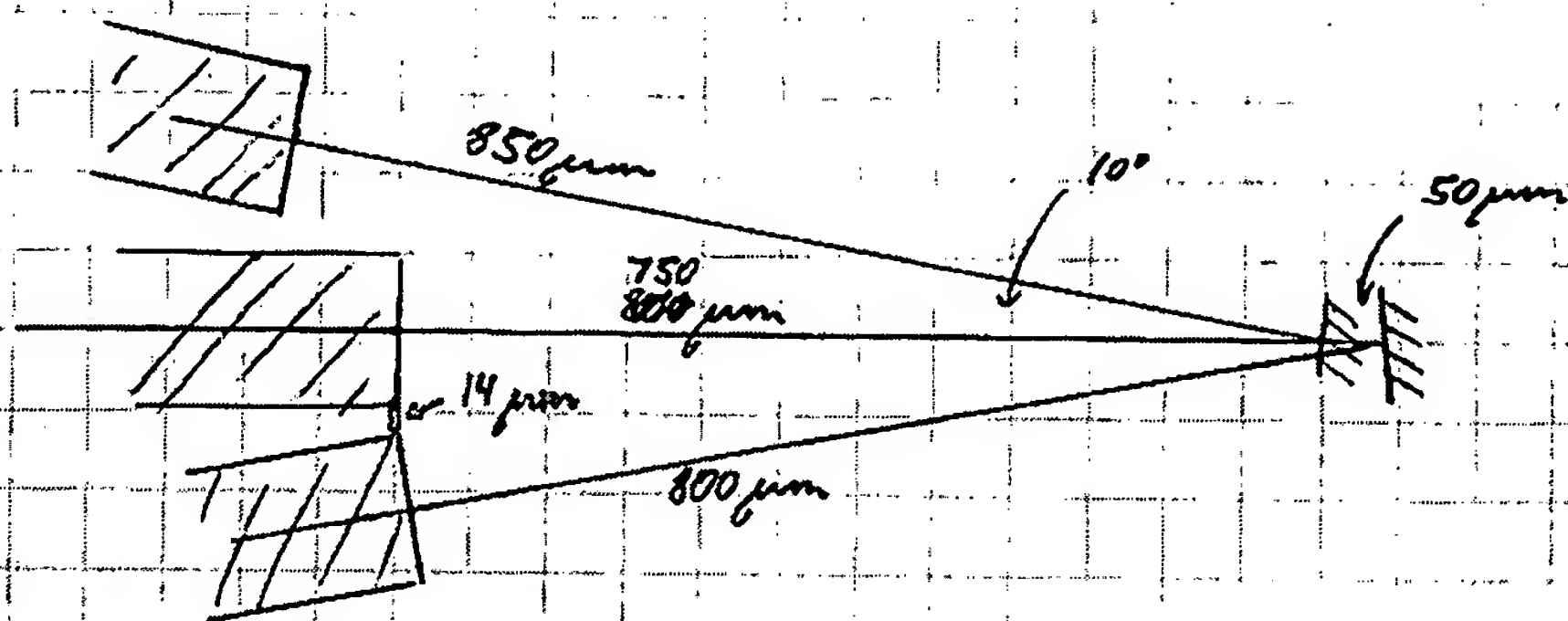
Gradiosimo spec: beam waist at focus = $15\mu\text{m}$
working distance $300\mu\text{m}$

expected loss with standard gradiosimo fibers: $< 1\text{ dB}$ including
Fresnel losses

Markus Drulli

4/10/2000

b) angle between fibers 10° , PDL $< 1 \cdot 10^{-3}$
 focus in between the two mirrors



beam waist on mirrors: $15.2 \mu\text{m}$
 beam waist at focus: ~~15 μm~~ $15 \mu\text{m}$
 beam waist at pin lens: $106 \mu\text{m}$

too big, should be $\leq 60\%$ of pin diameter

⇒ beam waist on mirrors: $22.1 \mu\text{m}$
 beam waist at focus: $22 \mu\text{m}$
 beam waist at pin lens: $75 \mu\text{m}$

working distance: $800 \mu\text{m}$

estimated insertion loss for standard gradations: $< 1 \text{ dB}$
 including Fresnel losses

Markus Drelli

4/10/2000

→ M/ACTIVES / MEMS

ACCESS

BARBARA

RON F

CHRIS T

MARCO D

CRISTO

MINHAS D

ANTHONY M

CAREY M

BRAYANT H

BOB H3 GROUP

ANDREW F. IDEA

OPTEC



5.1M

MIN

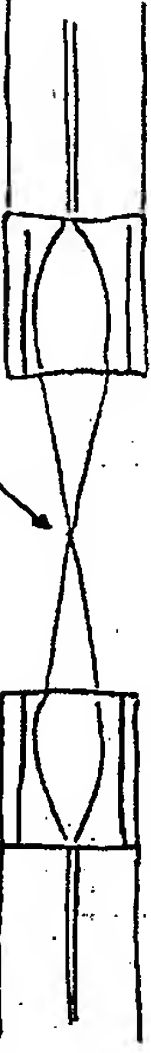
CUSTOMER / GOVERNMENT

SMALLER / CORE

SMALLER / FIBER



SPOT SIZE 300um



→ REDUCE I.D. (WHICH WOULD CAUSE R.L.) INDEP. OF
GRADED INDEX M.M. FIBER MUST MATCH @ SMF 2F
CORE AREA.

→ GERMANIUM TO RAISE n / REDUCE TO LOWER n

→ BEND RADIUS

US OPTICAL LOSS

20mm R. VS 10mm R

(TWO)

→ SMF28 / FUSED / GRAD. FIBER

SILICA

GRADUALLY FIBER

→ ~2um TOLERANCE

→ FORWARD JDSU F.P.P

REPORT TO RICK R.

Work continued from Page

1111E

BOOK NO.

MEMS DRAWING

VACUUM (MAYBE THIN BOTTOM)

ELECTRICAL CONTACT ARMS

ELECTRICAL CONNECTION FOR
ACTUATION
(MAYBE TURN BOTTOM
CENTER OF ROTATION)

GONIOMETER STAGE TO ALIGN
CHIP WITH FIBERS

FIBERS

SCIENTIFIC BRIDGEMAN PRODUCTIONS CHICAGO ILL 60605 MADE IN USA

SIGNATURE

Michael Blum

DISCLOSED TO AND UNDERSTOOD BY

DATE

WITNESS

Work continued to Page

DATE 5/12/00

DATE

no.	x	beam throw [um]			grin length [um]			spot size [um]		
		g = 2mm^-1	g = 3mm^-1	g = 4 mm^-1	y01	y01	y01	g = 2mm^-1	g = 3mm^-1	g = 3mm^-1
1	1.00E-03	10.00	-6.57	-6.34	-6.02	-6.57E-04	-6.34E-04	-6.02E-04	10.57	10.57
2	2.00E-03	20.00	-13.15	-12.69	-12.05	-1.32E-03	-1.27E-03	-1.21E-03	10.57	10.58
3	3.00E-03	30.00	-19.74	-19.07	-18.11	-1.97E-03	-1.91E-03	-1.81E-03	10.58	10.61
4	4.00E-03	40.00	-26.34	-25.46	-24.21	-2.63E-03	-2.55E-03	-2.42E-03	10.60	10.64
5	5.00E-03	50.00	-32.96	-31.90	-30.36	-3.30E-03	-3.19E-03	-3.04E-03	10.62	10.68
6	6.00E-03	60.00	-39.61	-38.38	-36.58	-3.96E-03	-3.84E-03	-3.66E-03	10.64	10.73
7	7.00E-03	70.00	-46.28	-44.93	-42.87	-4.63E-03	-4.49E-03	-4.29E-03	10.67	10.79
8	8.00E-03	80.00	-52.99	-51.54	-49.26	-5.30E-03	-5.15E-03	-4.93E-03	10.70	10.86
9	9.00E-03	90.00	-59.74	-58.22	-55.76	-5.97E-03	-5.82E-03	-5.58E-03	10.73	10.94
10	1.00E-02	100.00	-66.54	-65.00	-62.39	-6.65E-03	-6.50E-03	-6.24E-03	10.77	11.03
11	1.10E-02	110.00	-73.38	-71.88	-69.15	-7.34E-03	-7.19E-03	-6.91E-03	10.82	11.13
12	1.20E-02	120.00	-80.28	-78.87	-76.07	-8.03E-03	-7.89E-03	-7.61E-03	10.87	11.25
13	1.30E-02	130.00	-87.25	-85.99	-83.16	-8.72E-03	-8.60E-03	-8.32E-03	10.92	11.37
14	1.40E-02	140.00	-94.28	-93.25	-90.43	-9.43E-03	-9.32E-03	-9.04E-03	10.98	11.51
15	1.50E-02	150.00	-101.38	-100.66	-97.91	-1.01E-02	-1.01E-02	-9.79E-03	11.05	11.66
16	1.60E-02	160.00	-108.57	-108.24	-105.61	-1.09E-02	-1.08E-02	-1.06E-02	11.12	11.82
17	1.70E-02	170.00	-115.84	-116.00	-113.54	-1.16E-02	-1.16E-02	-1.14E-02	11.19	12.00
18	1.80E-02	180.00	-123.21	-123.96	-121.71	-1.23E-02	-1.24E-02	-1.22E-02	11.27	12.20
19	1.90E-02	190.00	-130.68	-132.14	-130.13	-1.31E-02	-1.32E-02	-1.30E-02	11.35	12.41
20	2.00E-02	200.00	-138.25	-140.56	-138.81	-1.38E-02	-1.41E-02	-1.39E-02	11.45	12.64
21	2.10E-02	210.00	-145.95	-149.23	-147.73	-1.46E-02	-1.49E-02	-1.48E-02	11.54	12.89
22	2.20E-02	220.00	-153.77	-158.18	-156.88	-1.54E-02	-1.58E-02	-1.57E-02	11.65	13.16
23	2.30E-02	230.00	-161.72	-167.43	-166.22	-1.62E-02	-1.67E-02	-1.66E-02	11.76	13.45
24	2.40E-02	240.00	-169.81	-177.01	-175.68	-1.70E-02	-1.77E-02	-1.76E-02	11.87	13.76
25	2.50E-02	250.00	-178.06	-186.93	-185.18	-1.78E-02	-1.87E-02	-1.85E-02	12.00	14.10
26	2.60E-02	260.00	-186.47	-197.22	-194.54	-1.86E-02	-1.97E-02	-1.95E-02	12.13	14.47
27	2.70E-02	270.00	-195.06	-207.92	-203.56	-1.95E-02	-2.08E-02	-2.04E-02	12.26	14.87
28	2.80E-02	280.00	-203.83	-219.04	-211.89	-2.04E-02	-2.19E-02	-2.12E-02	12.41	15.30
29	2.90E-02	290.00	-212.79	-230.61	-219.09	-2.13E-02	-2.31E-02	-2.19E-02	12.57	15.76
30	3.00E-02	300.00	-221.97	-242.65	-224.51	-2.22E-02	-2.43E-02	-2.25E-02	12.73	16.27
31	3.10E-02	310.00	-231.37	-255.19	-227.29	-2.31E-02	-2.55E-02	-2.27E-02	12.90	16.82
32	3.20E-02	320.00	-241.00	-268.24	-226.32	-2.41E-02	-2.68E-02	-2.26E-02	13.08	17.41
33	3.30E-02	330.00	-250.90	-281.81	-220.23	-2.51E-02	-2.82E-02	-2.20E-02	13.28	18.05
34	3.40E-02	340.00	-261.06	-295.88	-207.47	-2.61E-02	-2.96E-02	-2.07E-02	13.48	18.74
35	3.50E-02	350.00	-271.51	-310.44	-186.51	-2.72E-02	-3.10E-02	-1.87E-02	13.70	19.49
36	3.60E-02	360.00	-282.27	-325.42	-156.22	-2.82E-02	-3.25E-02	-1.56E-02	13.92	20.29
37	3.70E-02	370.00	-293.35	-340.71	-116.41	-2.93E-02	-3.41E-02	-1.16E-02	14.16	21.14
38	3.80E-02	380.00	-304.79	-356.17	-68.32	-3.05E-02	-3.56E-02	-6.83E-03	14.42	22.19
39	3.90E-02	390.00	-316.60	-371.52	-14.84	-3.17E-02	-3.72E-02	-1.48E-03	14.69	23.37

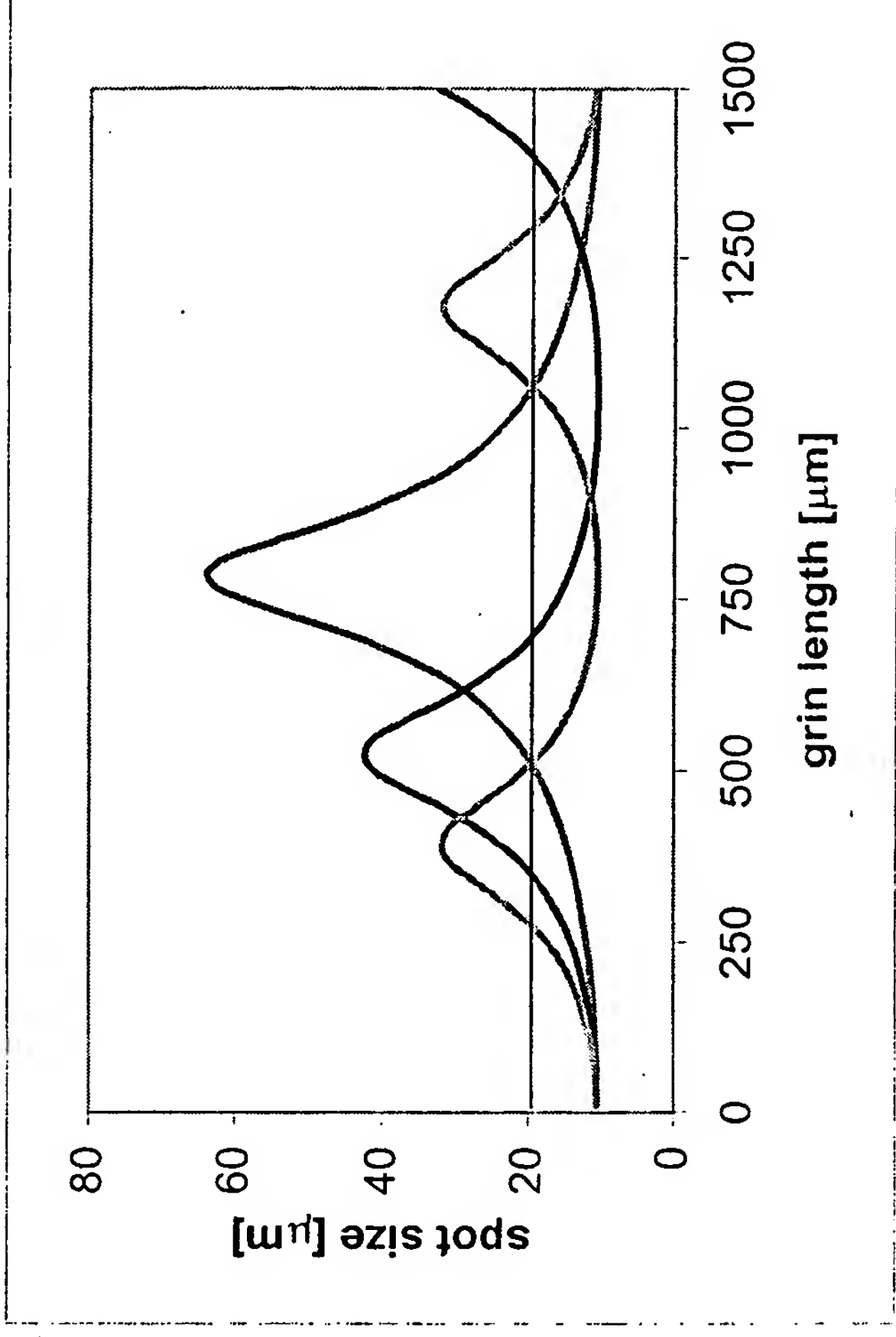
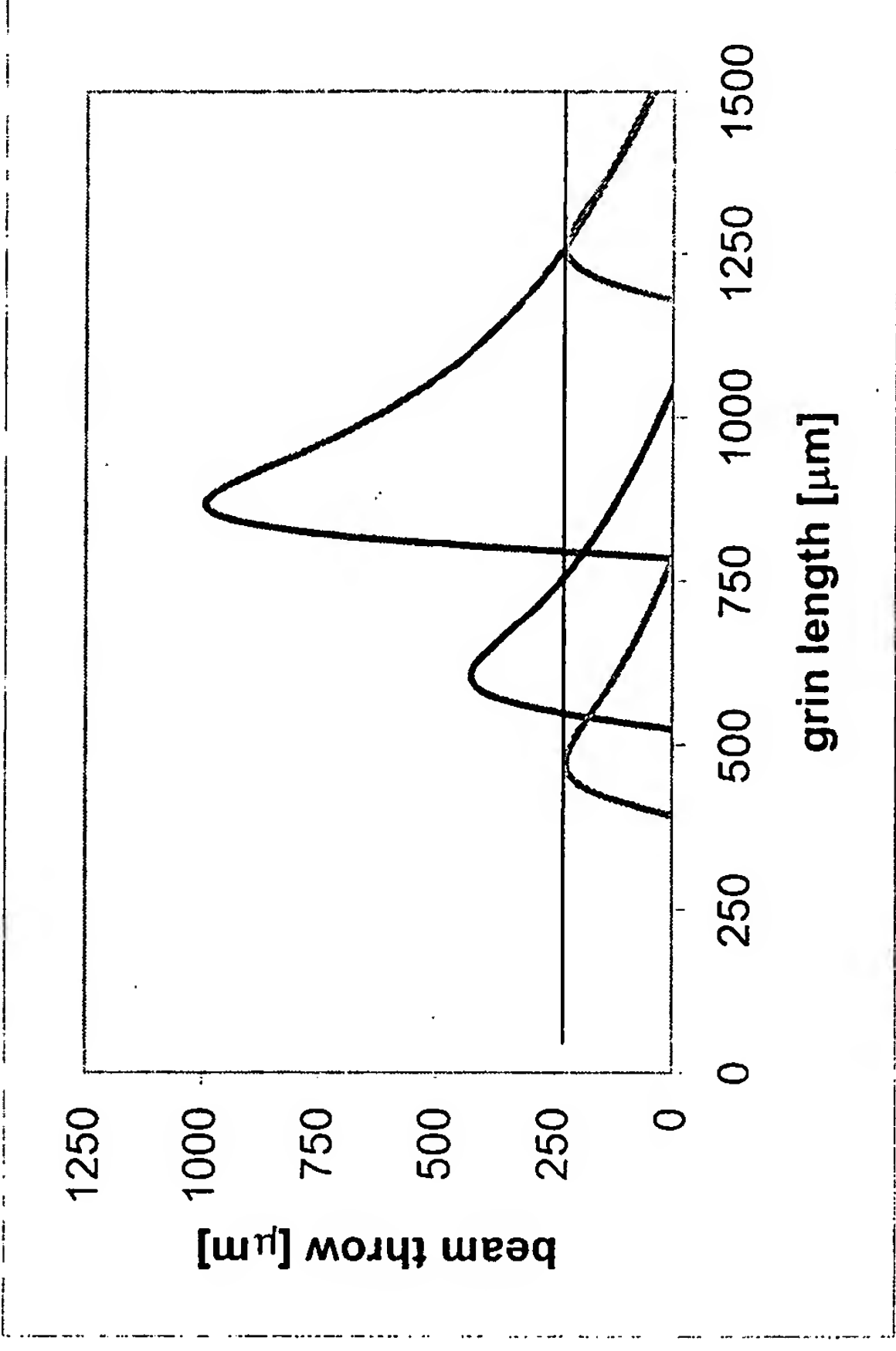
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41	4.10E-02	410.00	-341.44	-400.23	91.33	-3.41E-02	-4.00E-02	9.13E-03	15.27	26.06
42	4.20E-02	420.00	-354.53	-412.23	135.87	-3.55E-02	-4.12E-02	1.36E-02	15.59	27.51
43	4.30E-02	430.00	-368.11	-421.28	171.36	-3.68E-02	-4.21E-02	1.71E-02	15.93	28.89
44	4.40E-02	440.00	-382.20	-425.86	197.26	-3.82E-02	-4.26E-02	1.97E-02	16.29	30.64
45	4.50E-02	450.00	-396.86	-423.96	214.27	-3.97E-02	-4.24E-02	2.14E-02	16.67	32.28
46	4.60E-02	460.00	-412.10	-413.09	223.76	-4.12E-02	-4.13E-02	2.24E-02	17.08	34.19
47	4.70E-02	470.00	-427.99	-390.33	227.31	-4.28E-02	-3.90E-02	2.27E-02	17.51	36.10
48	4.80E-02	480.00	-444.56	-352.73	226.40	-4.45E-02	-3.53E-02	2.26E-02	17.97	37.85
49	4.90E-02	490.00	-461.86	-297.94	222.28	-4.62E-02	-2.98E-02	2.22E-02	18.46	39.73
50	5.00E-02	500.00	-479.93	-225.22	215.95	-4.80E-02	-2.25E-02	2.16E-02	18.98	41.15
51	5.10E-02	510.00	-498.85	-136.54	208.17	-4.99E-02	-1.37E-02	2.08E-02	19.54	42.04
52	5.20E-02	520.00	-518.65	-37.02	199.47	-5.19E-02	-3.70E-03	1.99E-02	20.14	42.42
53	5.30E-02	530.00	-539.40	65.59	190.26	-5.39E-02	6.56E-03	1.90E-02	20.79	42.36
54	5.40E-02	540.00	-561.16	162.76	180.81	-5.61E-02	1.63E-02	1.81E-02	21.47	41.84
55	5.50E-02	550.00	-583.98	247.35	171.32	-5.84E-02	2.47E-02	1.71E-02	22.21	40.81
56	5.60E-02	560.00	-607.92	315.11	161.90	-6.08E-02	3.15E-02	1.62E-02	23.01	39.25
57	5.70E-02	570.00	-633.04	364.92	152.64	-6.33E-02	3.65E-02	1.53E-02	23.86	37.25
58	5.80E-02	580.00	-659.37	398.08	143.59	-6.59E-02	3.98E-02	1.44E-02	24.78	35.60
59	5.90E-02	590.00	-686.94	417.20	134.78	-6.87E-02	4.17E-02	1.35E-02	25.77	33.61
60	6.00E-02	600.00	-715.73	425.28	126.22	-7.16E-02	4.25E-02	1.26E-02	26.84	31.82
61	6.10E-02	610.00	-745.71	425.13	117.92	-7.46E-02	4.25E-02	1.18E-02	27.98	30.05
62	6.20E-02	620.00	-776.76	419.12	109.86	-7.77E-02	4.19E-02	1.10E-02	29.20	28.53
63	6.30E-02	630.00	-808.69	409.11	102.04	-8.09E-02	4.09E-02	1.02E-02	30.55	27.10
64	6.40E-02	640.00	-841.17	396.50	94.44	-8.41E-02	3.96E-02	9.44E-03	32.18	25.66
65	6.50E-02	650.00	-873.68	382.30	87.06	-8.74E-02	3.82E-02	8.71E-03	33.96	24.29
66	6.60E-02	660.00	-905.43	367.25	79.87	-9.05E-02	3.67E-02	7.99E-03	35.91	23.03
67	6.70E-02	670.00	-935.26	351.83	72.86	-9.35E-02	3.52E-02	7.29E-03	38.00	21.88
68	6.80E-02	680.00	-961.48	336.40	66.02	-9.61E-02	3.36E-02	6.60E-03	40.19	20.89
69	6.90E-02	690.00	-981.73	321.18	59.32	-9.82E-02	3.21E-02	5.93E-03	42.32	20.06
70	7.00E-02	700.00	-992.71	306.31	52.76	-9.93E-02	3.06E-02	5.28E-03	44.90	19.27
71	7.10E-02	710.00	-990.05	291.89	46.31	-9.90E-02	2.92E-02	4.63E-03	47.49	18.54
72	7.20E-02	720.00	-968.15	277.95	39.96	-9.68E-02	2.78E-02	4.00E-03	50.34	17.86
73	7.30E-02	730.00	-920.36	264.53	33.71	-9.20E-02	2.65E-02	3.37E-03	53.34	17.24
74	7.40E-02	740.00	-839.61	251.63	27.52	-8.40E-02	2.52E-02	2.75E-03	55.97	16.66
75	7.50E-02	750.00	-719.83	239.23	21.40	-7.20E-02	2.39E-02	2.14E-03	58.97	16.12
76	7.60E-02	760.00	-558.20	227.32	15.32	-5.58E-02	2.27E-02	1.53E-03	61.31	15.63
77	7.70E-02	770.00	-357.79	215.88	9.27	-3.58E-02	2.16E-02	9.27E-04	62.84	15.17
78	7.80E-02	780.00	-129.20	204.88	3.25	-1.29E-02	2.05E-02	3.25E-04	63.57	14.75
79	7.90E-02	790.00	110.27	194.30	-2.77	1.10E-02	1.94E-02	-2.77E-04	63.86	14.36
80	8.00E-02	800.00	340.43	184.11	-8.79	3.40E-02	1.84E-02	-8.79E-04	62.92	14.00
81	8.10E-02	810.00	543.57	174.29	-14.84	5.44E-02	1.74E-02	-1.48E-03	61.46	13.67
82	8.20E-02	820.00	708.49	164.81	-20.91	7.08E-02	1.65E-02	-2.09E-03	59.18	13.36

83	8.30E-02	830.00	831.57	155.64	-27.03	8.32E-02	1.56E-02	-2.70E-03	56.22	13.08
84	8.40E-02	840.00	915.23	146.77	-33.21	9.15E-02	1.47E-02	-3.32E-03	53.56	12.82
85	8.50E-02	850.00	965.39	138.17	-39.46	9.65E-02	1.38E-02	-3.95E-03	50.59	12.57
86	8.60E-02	860.00	989.09	129.83	-45.80	9.89E-02	1.30E-02	-4.58E-03	47.69	12.35
87	8.70E-02	870.00	993.07	121.71	-52.24	9.93E-02	1.22E-02	-5.22E-03	45.15	12.14
88	8.80E-02	880.00	982.99	113.80	-58.79	9.83E-02	1.14E-02	-5.88E-03	42.48	11.95
89	8.90E-02	890.00	963.35	106.09	-65.48	9.63E-02	1.06E-02	-6.55E-03	40.37	11.78
90	9.00E-02	900.00	937.50	98.56	-72.31	9.38E-02	9.86E-03	-7.23E-03	38.17	11.62
91	9.10E-02	910.00	907.89	91.20	-79.31	9.08E-02	9.12E-03	-7.93E-03	36.07	11.47
92	9.20E-02	920.00	876.25	83.98	-86.48	8.76E-02	8.40E-03	-8.65E-03	34.11	11.33
93	9.30E-02	930.00	843.77	76.90	-93.85	8.44E-02	7.69E-03	-9.38E-03	32.32	11.21
94	9.40E-02	940.00	811.27	69.94	-101.43	8.11E-02	6.99E-03	-1.01E-02	30.68	11.10
95	9.50E-02	950.00	779.28	63.09	-109.23	7.79E-02	6.31E-03	-1.09E-02	29.30	11.00
96	9.60E-02	960.00	748.14	56.34	-117.27	7.48E-02	5.63E-03	-1.17E-02	28.07	10.92
97	9.70E-02	970.00	718.07	49.68	-125.55	7.18E-02	4.97E-03	-1.26E-02	26.93	10.84
98	9.80E-02	980.00	689.18	43.09	-134.09	6.89E-02	4.31E-03	-1.34E-02	25.86	10.77
99	9.90E-02	990.00	661.52	36.56	-142.88	6.62E-02	3.66E-03	-1.43E-02	24.86	10.71
100	1.00E-01	1000.00	635.09	30.09	-151.91	6.35E-02	3.01E-03	-1.52E-02	23.93	10.67
101	1.01E-01	1010.00	609.88	23.67	-161.16	6.10E-02	2.37E-03	-1.61E-02	23.07	10.63
102	1.02E-01	1020.00	585.84	17.28	-170.56	5.86E-02	1.73E-03	-1.71E-02	22.27	10.60
103	1.03E-01	1030.00	562.93	10.91	-180.06	5.63E-02	1.09E-03	-1.80E-02	21.53	10.58
104	1.04E-01	1040.00	541.09	4.56	-189.51	5.41E-02	4.56E-04	-1.90E-02	20.84	10.57
105	1.05E-01	1050.00	520.27	-1.78	-198.75	5.20E-02	-1.78E-04	-1.99E-02	20.19	10.57
106	1.06E-01	1060.00	500.39	-8.12	-207.50	5.00E-02	-8.12E-04	-2.08E-02	19.59	10.57
107	1.07E-01	1070.00	481.41	-14.48	-215.38	4.81E-02	-1.45E-03	-2.15E-02	19.03	10.59
108	1.08E-01	1080.00	463.27	-20.86	-221.85	4.63E-02	-2.09E-03	-2.22E-02	18.50	10.61
109	1.09E-01	1090.00	445.91	-27.26	-226.18	4.46E-02	-2.73E-03	-2.26E-02	18.01	10.65
110	1.10E-01	1100.00	429.28	-33.71	-227.39	4.29E-02	-3.37E-03	-2.27E-02	17.55	10.69
111	1.11E-01	1110.00	413.35	-40.21	-224.24	4.13E-02	-4.02E-03	-2.24E-02	17.11	10.74
112	1.12E-01	1120.00	398.05	-46.77	-215.28	3.98E-02	-4.68E-03	-2.15E-02	16.70	10.81
113	1.13E-01	1130.00	383.35	-53.40	-198.93	3.83E-02	-5.34E-03	-1.99E-02	16.32	10.88
114	1.14E-01	1140.00	369.21	-60.11	-173.77	3.69E-02	-6.01E-03	-1.74E-02	15.96	10.96
115	1.15E-01	1150.00	355.59	-66.92	-139.05	3.56E-02	-6.69E-03	-1.39E-02	15.62	11.06
116	1.16E-01	1160.00	342.47	-73.83	-95.17	3.42E-02	-7.38E-03	-9.52E-03	15.30	11.16
117	1.17E-01	1170.00	329.80	-80.85	-44.14	3.30E-02	-8.09E-03	-4.41E-03	14.99	11.28
118	1.18E-01	1180.00	317.56	-88.01	10.46	3.18E-02	-8.80E-03	1.05E-03	14.71	11.41
119	1.19E-01	1190.00	305.72	-95.31	64.21	3.06E-02	-9.53E-03	6.42E-03	14.44	11.55
120	1.20E-01	1200.00	294.25	-102.76	112.86	2.94E-02	-1.03E-02	1.13E-02	14.18	11.70
121	1.21E-01	1210.00	283.14	-110.39	153.40	2.83E-02	-1.10E-02	1.53E-02	13.94	11.87
122	1.22E-01	1220.00	272.35	-118.21	184.45	2.72E-02	-1.18E-02	1.84E-02	13.71	12.06
123	1.23E-01	1230.00	261.88	-126.23	206.12	2.62E-02	-1.26E-02	2.06E-02	13.50	12.26
124	1.24E-01	1240.00	251.70	-134.47	219.48	2.52E-02	-1.34E-02	2.19E-02	13.29	12.47
125	1.25E-01	1250.00	241.78	-142.96	226.04	2.42E-02	-1.43E-02	2.26E-02	13.10	12.71

126	1.26E-01	1260.00	232.12	-151.71	227.36	2.32E-02	-1.52E-02	2.27E-02	12.92	12.96
127	1.27E-01	1270.00	222.71	-160.74	224.84	2.23E-02	-1.61E-02	2.25E-02	12.74	13.23
128	1.28E-01	1280.00	213.51	-170.08	219.59	2.14E-02	-1.70E-02	2.20E-02	12.58	13.53
129	1.29E-01	1290.00	204.53	-179.75	212.51	2.05E-02	-1.80E-02	2.13E-02	12.42	13.85
130	1.30E-01	1300.00	195.75	-189.78	204.25	1.96E-02	-1.90E-02	2.04E-02	12.28	14.20
131	1.31E-01	1310.00	187.15	-200.18	195.28	1.87E-02	-2.00E-02	1.95E-02	12.14	14.58
132	1.32E-01	1320.00	178.73	-210.99	185.93	1.79E-02	-2.11E-02	1.86E-02	12.01	14.98
133	1.33E-01	1330.00	170.47	-222.23	176.44	1.70E-02	-2.22E-02	1.76E-02	11.88	15.42
134	1.34E-01	1340.00	162.36	-233.93	166.97	1.62E-02	-2.34E-02	1.67E-02	11.76	15.90
135	1.35E-01	1350.00	154.39	-246.11	157.62	1.54E-02	-2.46E-02	1.58E-02	11.65	16.42
136	1.36E-01	1360.00	146.57	-258.80	148.45	1.47E-02	-2.59E-02	1.48E-02	11.55	16.98
137	1.37E-01	1370.00	138.86	-271.99	139.51	1.39E-02	-2.72E-02	1.40E-02	11.45	17.58
138	1.38E-01	1380.00	131.28	-285.70	130.81	1.31E-02	-2.86E-02	1.31E-02	11.36	18.24
139	1.39E-01	1390.00	123.80	-299.91	122.37	1.24E-02	-3.00E-02	1.22E-02	11.28	18.95
140	1.40E-01	1400.00	116.42	-314.60	114.18	1.16E-02	-3.15E-02	1.14E-02	11.20	19.71
141	1.41E-01	1410.00	109.14	-329.68	106.23	1.09E-02	-3.30E-02	1.06E-02	11.12	20.52
142	1.42E-01	1420.00	101.95	-345.04	98.52	1.02E-02	-3.45E-02	9.85E-03	11.05	21.41
143	1.43E-01	1430.00	94.84	-360.49	91.02	9.48E-03	-3.60E-02	9.10E-03	10.99	22.51
144	1.44E-01	1440.00	87.80	-375.76	83.73	8.78E-03	-3.76E-02	8.37E-03	10.93	23.72
145	1.45E-01	1450.00	80.83	-390.41	76.63	8.08E-03	-3.90E-02	7.66E-03	10.87	25.05
146	1.46E-01	1460.00	73.93	-403.82	69.69	7.39E-03	-4.04E-02	6.97E-03	10.82	26.46
147	1.47E-01	1470.00	67.08	-415.12	62.92	6.71E-03	-4.15E-02	6.29E-03	10.78	27.91
148	1.48E-01	1480.00	60.28	-423.09	56.29	6.03E-03	-4.23E-02	5.63E-03	10.74	29.23
149	1.49E-01	1490.00	53.53	-426.08	49.78	5.35E-03	-4.26E-02	4.98E-03	10.70	31.04
150	1.50E-01	1500.00	46.81	-421.94	43.38	4.68E-03	-4.22E-02	4.34E-03	10.67	32.71

g = 4mm⁻¹ y02 y02 y02

10.57	1.06E-03	1.06E-03	1.06E-03
10.60	1.06E-03	1.06E-03	1.06E-03
10.63	1.06E-03	1.06E-03	1.06E-03
10.69	1.06E-03	1.06E-03	1.07E-03
10.76	1.06E-03	1.07E-03	1.08E-03
10.85	1.06E-03	1.07E-03	1.08E-03
10.95	1.07E-03	1.08E-03	1.09E-03
11.07	1.07E-03	1.09E-03	1.11E-03
11.21	1.07E-03	1.09E-03	1.12E-03
11.37	1.08E-03	1.10E-03	1.14E-03
11.55	1.08E-03	1.11E-03	1.16E-03
11.76	1.09E-03	1.12E-03	1.18E-03
11.98	1.09E-03	1.14E-03	1.20E-03
12.23	1.10E-03	1.15E-03	1.22E-03
12.51	1.10E-03	1.17E-03	1.25E-03
12.82	1.11E-03	1.18E-03	1.28E-03
13.16	1.12E-03	1.20E-03	1.32E-03
13.53	1.13E-03	1.22E-03	1.35E-03
13.94	1.14E-03	1.24E-03	1.39E-03
14.39	1.14E-03	1.26E-03	1.44E-03
14.88	1.15E-03	1.29E-03	1.49E-03
15.42	1.16E-03	1.32E-03	1.54E-03
15.99	1.18E-03	1.34E-03	1.60E-03
16.61	1.19E-03	1.38E-03	1.66E-03
17.29	1.20E-03	1.41E-03	1.73E-03
18.16	1.21E-03	1.45E-03	1.82E-03
19.11	1.23E-03	1.49E-03	1.91E-03
20.13	1.24E-03	1.53E-03	2.01E-03
21.20	1.26E-03	1.58E-03	2.12E-03
22.22	1.27E-03	1.63E-03	2.22E-03
23.46	1.29E-03	1.68E-03	2.35E-03
24.66	1.31E-03	1.74E-03	2.47E-03
26.05	1.33E-03	1.80E-03	2.60E-03
27.41	1.35E-03	1.87E-03	2.74E-03
28.68	1.37E-03	1.95E-03	2.87E-03
30.01	1.39E-03	2.03E-03	3.00E-03
30.99	1.42E-03	2.11E-03	3.10E-03
31.58	1.44E-03	2.22E-03	3.16E-03
31.83	1.47E-03	2.34E-03	3.18E-03

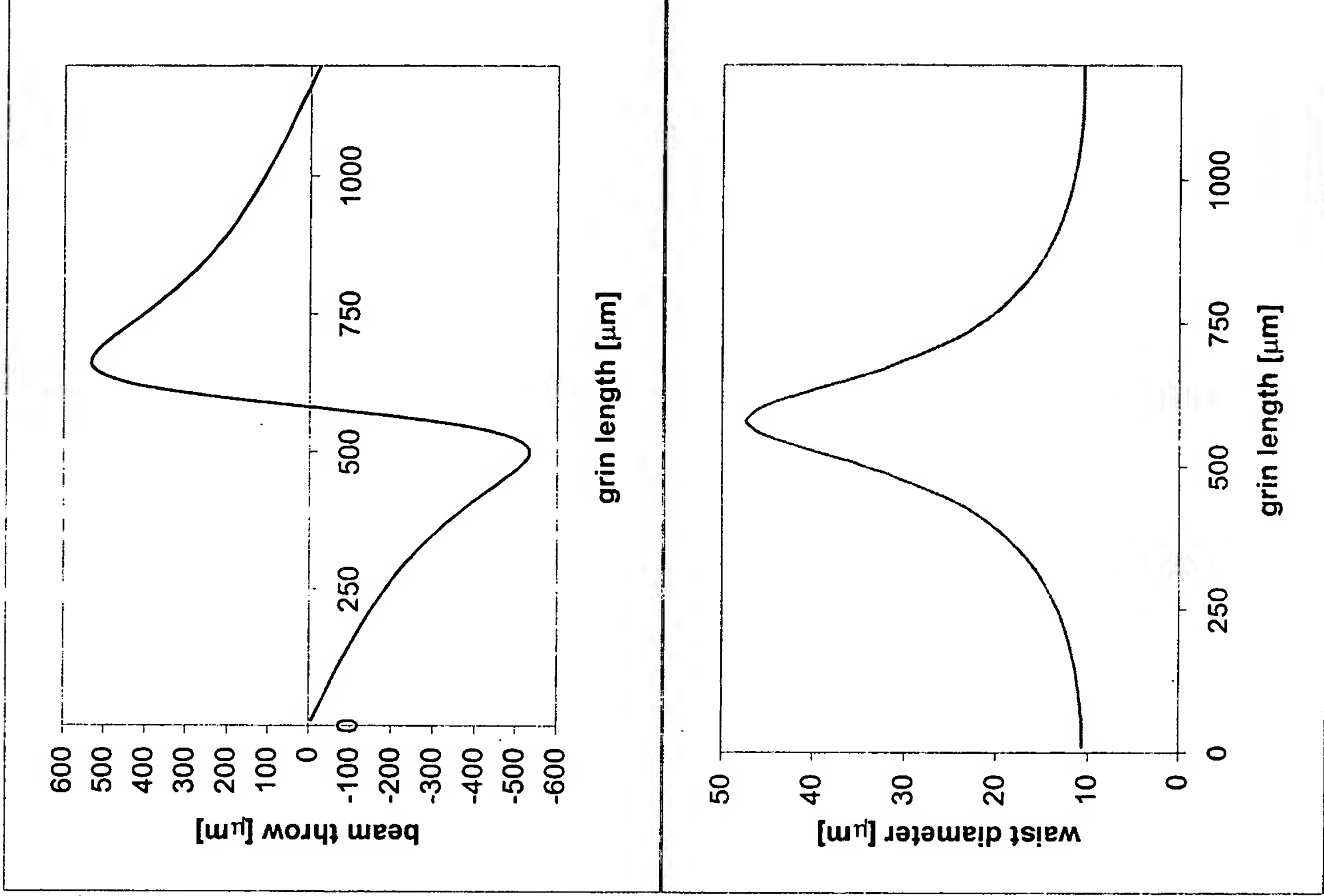


31.76	1.50E-03	2.47E-03	3.18E-03
31.36	1.53E-03	2.61E-03	3.14E-03
30.59	1.56E-03	2.75E-03	3.06E-03
29.44	1.59E-03	2.89E-03	2.94E-03
27.98	1.63E-03	3.06E-03	2.80E-03
26.82	1.67E-03	3.23E-03	2.68E-03
25.34	1.71E-03	3.42E-03	2.53E-03
24.10	1.75E-03	3.61E-03	2.41E-03
22.74	1.80E-03	3.78E-03	2.27E-03
21.77	1.85E-03	3.97E-03	2.18E-03
20.71	1.90E-03	4.11E-03	2.07E-03
19.65	1.95E-03	4.20E-03	1.97E-03
18.66	2.01E-03	4.24E-03	1.87E-03
17.75	2.08E-03	4.24E-03	1.77E-03
16.95	2.15E-03	4.18E-03	1.70E-03
16.32	2.22E-03	4.08E-03	1.63E-03
15.72	2.30E-03	3.92E-03	1.57E-03
15.17	2.39E-03	3.73E-03	1.52E-03
14.65	2.48E-03	3.56E-03	1.47E-03
14.18	2.58E-03	3.36E-03	1.42E-03
13.75	2.68E-03	3.18E-03	1.38E-03
13.36	2.80E-03	3.00E-03	1.34E-03
13.00	2.92E-03	2.85E-03	1.30E-03
12.68	3.06E-03	2.71E-03	1.27E-03
12.38	3.22E-03	2.57E-03	1.24E-03
12.11	3.40E-03	2.43E-03	1.21E-03
11.87	3.59E-03	2.30E-03	1.19E-03
11.66	3.80E-03	2.19E-03	1.17E-03
11.47	4.02E-03	2.09E-03	1.15E-03
11.30	4.23E-03	2.01E-03	1.13E-03
11.14	4.49E-03	1.93E-03	1.11E-03
11.01	4.75E-03	1.85E-03	1.10E-03
10.90	5.03E-03	1.79E-03	1.09E-03
10.80	5.33E-03	1.72E-03	1.08E-03
10.72	5.60E-03	1.67E-03	1.07E-03
10.66	5.90E-03	1.61E-03	1.07E-03
10.61	6.13E-03	1.56E-03	1.06E-03
10.58	6.28E-03	1.52E-03	1.06E-03
10.57	6.36E-03	1.48E-03	1.06E-03
10.57	6.39E-03	1.44E-03	1.06E-03
10.58	6.29E-03	1.40E-03	1.06E-03
10.61	6.15E-03	1.37E-03	1.06E-03
10.66	5.92E-03	1.34E-03	1.07E-03

10.72	5.62E-03	1.31E-03	1.07E-03
10.80	5.36E-03	1.28E-03	1.08E-03
10.89	5.06E-03	1.26E-03	1.09E-03
11.00	4.77E-03	1.23E-03	1.10E-03
11.13	4.52E-03	1.21E-03	1.11E-03
11.28	4.25E-03	1.20E-03	1.13E-03
11.45	4.04E-03	1.18E-03	1.15E-03
11.64	3.82E-03	1.16E-03	1.16E-03
11.86	3.61E-03	1.15E-03	1.19E-03
12.09	3.41E-03	1.13E-03	1.21E-03
12.36	3.23E-03	1.12E-03	1.24E-03
12.65	3.07E-03	1.11E-03	1.27E-03
12.97	2.93E-03	1.10E-03	1.30E-03
13.33	2.81E-03	1.09E-03	1.33E-03
13.72	2.69E-03	1.08E-03	1.37E-03
14.15	2.59E-03	1.08E-03	1.41E-03
14.61	2.49E-03	1.07E-03	1.46E-03
15.12	2.39E-03	1.07E-03	1.51E-03
15.68	2.31E-03	1.06E-03	1.57E-03
16.27	2.23E-03	1.06E-03	1.63E-03
16.90	2.15E-03	1.06E-03	1.69E-03
17.68	2.08E-03	1.06E-03	1.77E-03
18.58	2.02E-03	1.06E-03	1.86E-03
19.57	1.96E-03	1.06E-03	1.96E-03
20.62	1.90E-03	1.06E-03	2.06E-03
21.69	1.85E-03	1.06E-03	2.17E-03
22.62	1.80E-03	1.06E-03	2.26E-03
23.99	1.75E-03	1.07E-03	2.40E-03
25.22	1.71E-03	1.07E-03	2.52E-03
26.71	1.67E-03	1.08E-03	2.67E-03
27.90	1.63E-03	1.09E-03	2.79E-03
29.33	1.60E-03	1.10E-03	2.93E-03
30.51	1.56E-03	1.11E-03	3.05E-03
31.31	1.53E-03	1.12E-03	3.13E-03
31.74	1.50E-03	1.13E-03	3.17E-03
31.94	1.47E-03	1.14E-03	3.19E-03
31.62	1.44E-03	1.15E-03	3.16E-03
31.05	1.42E-03	1.17E-03	3.11E-03
30.10	1.39E-03	1.19E-03	3.01E-03
28.80	1.37E-03	1.21E-03	2.88E-03
27.50	1.35E-03	1.23E-03	2.75E-03
26.17	1.33E-03	1.25E-03	2.62E-03
24.75	1.31E-03	1.27E-03	2.47E-03

Beam throw (=fiber-to-fiber working distance/2), beam waist ($2 \cdot w_0$), and beam width ($2 \cdot r$) at exit of grin lens as a function of grin length:
 Beam waist and beam width taken at 1/e² intensity level, wavelength=1550nm, SMF28 - grin fiber assembly
 $g = 2.7 \text{ mm}^{-1}$, $n_0=1.4815$, $NA=0.16$, core diam=80 micrometer

grin length [μm]	WD/2 [μm]	$2 \cdot w_0$ [μm]	$2 \cdot r$ [μm]
10	-6.41	10.57	10.63
20	-12.84	10.58	10.82
30	-19.27	10.60	11.17
40	-25.74	10.62	11.68
50	-32.23	10.66	12.23
60	-38.77	10.70	12.87
70	-45.35	10.75	13.64
80	-51.99	10.81	14.38
90	-58.70	10.87	15.29
100	-65.48	10.94	16.18
110	-72.35	11.03	17.05
120	-79.32	11.12	18.06
130	-86.39	11.22	19.05
140	-93.58	11.33	20.03
150	-100.90	11.45	21.00
160	-108.35	11.58	21.96
170	-115.97	11.72	22.91
180	-123.74	11.88	23.85
190	-131.71	12.04	24.83
200	-139.86	12.22	25.83
210	-148.23	12.41	26.81
220	-156.84	12.62	27.79
230	-165.69	12.84	28.74
240	-174.81	13.08	29.68
250	-184.22	13.34	30.60
260	-193.94	13.62	31.51
270	-204.00	13.92	32.39
280	-214.42	14.24	33.25
290	-225.24	14.58	34.08
300	-236.47	14.95	34.89
310	-248.15	15.35	35.68
320	-260.30	15.78	36.43
330	-272.97	16.24	37.15
340	-286.18	16.74	37.90
350	-299.97	17.28	38.68
360	-314.36	17.86	39.42
370	-329.37	18.49	40.14



380	-345.04	19.17	40.81	Working point for 1x2 design:	grin length 830+-20 micrometer working distance 550+-50 micrometer waist diameter 16.4 +- 1 micrometer
390	-361.35	19.90	41.44		
400	-378.30	20.69	42.00		
410	-395.84	21.54	42.50	Working point for 2x2 design:	grin length 990+-20 micrometer working distance 238+-50 micrometer waist diameter 11.8 +- 1 micrometer
420	-413.91	22.45	42.90		
430	-432.36	23.45	43.41		
440	-450.97	24.68	43.99	Largest beam width in Grin fiber: 47.3 micrometer = 59% of core diameters (would like to stay <60%)	
450	-469.41	26.03	44.36		
460	-487.17	27.49	44.71		
470	-503.52	29.06	45.12		
480	-517.40	30.67	45.47		
490	-527.37	32.17	45.75		
500	-531.44	34.34	46.32		
510	-527.00	36.04	46.30		
520	-510.83	38.29	46.53		
530	-479.26	40.33	46.78		
540	-428.65	42.38	47.01		
550	-356.31	44.40	46.93		
560	-261.82	45.88	47.33		
570	-148.29	46.77	47.27		
580	-22.80	47.40	47.41		
590	104.60	46.95	47.20		
600	223.38	46.27	47.35		
610	325.20	45.00	47.14		
620	405.52	43.15	47.04		
630	463.63	40.92	46.62		
640	501.57	39.07	46.51		
650	522.78	36.79	46.27		
660	530.99	34.86	46.07		
670	529.61	32.83	45.84		
680	521.49	31.23	45.54		
690	508.80	29.63	45.14		
700	493.18	28.04	44.91		
710	475.83	26.53	44.44		
720	457.56	25.14	44.15		
730	438.97	23.88	43.63		
740	420.43	22.78	43.02		
750	402.21	21.86	42.65		
760	384.46	20.99	42.19		
770	367.30	20.18	41.64		
780	350.76	19.42	41.04		
790	334.86	18.73	40.38		

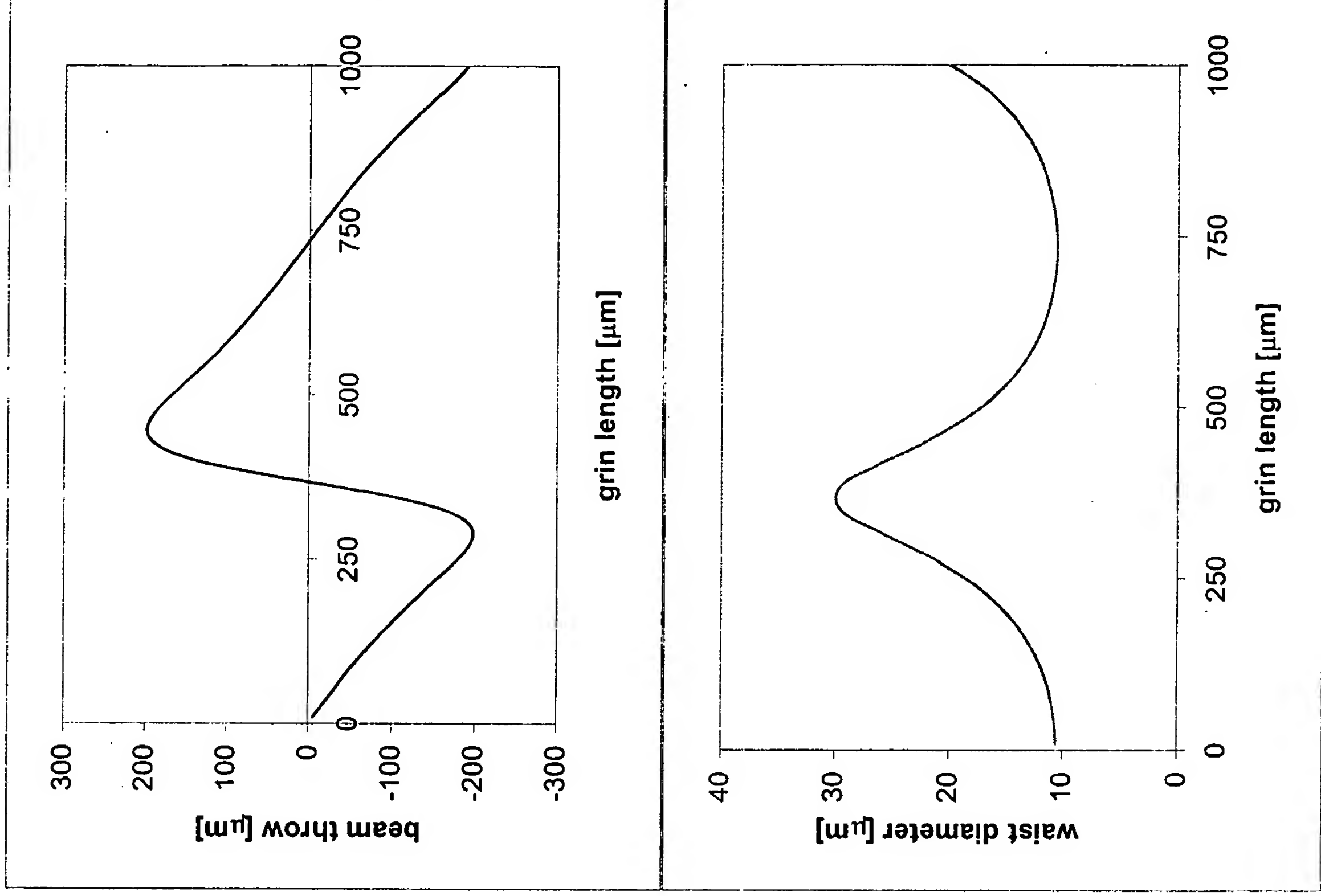
800	319.62	18.08	39.68
810	305.01	17.48	38.94
820	291.01	16.93	38.18
830	277.60	16.42	37.40
840	264.74	15.94	36.69
850	252.41	15.50	35.95
860	240.56	15.09	35.18
870	229.18	14.71	34.37
880	218.22	14.36	33.55
890	207.66	14.03	32.70
900	197.48	13.72	31.82
910	187.64	13.44	30.93
920	178.12	13.17	30.01
930	168.90	12.93	29.08
940	159.95	12.70	28.13
950	151.26	12.49	27.16
960	142.81	12.29	26.18
970	134.58	12.10	25.18
980	126.55	11.93	24.18
990	118.71	11.77	23.25
1000	111.04	11.63	22.30
1010	103.53	11.49	21.34
1020	96.16	11.37	20.38
1030	88.93	11.26	19.40
1040	81.82	11.15	18.41
1050	74.82	11.06	17.41
1060	67.91	10.97	16.48
1070	61.10	10.90	15.61
1080	54.37	10.83	14.71
1090	47.70	10.77	13.91
1100	41.10	10.72	13.15
1110	34.55	10.67	12.44
1120	28.04	10.64	11.87
1130	21.57	10.61	11.34
1140	15.12	10.59	10.91
1150	8.69	10.57	10.68
1160	2.28	10.57	10.57
1170	-4.13	10.57	10.59
1180	-10.55	10.58	10.74
1190	-16.98	10.59	11.00
1200	-23.44	10.61	11.49

For a fixed working distance of 550 +- 50 micrometer and varying the g-parameter, we get the following grin lengths and waist diameters:

g [mm-1]	grin lengths [μm]	2*w0 [μm]
2.5	920	15.35
2.52	911	15.41
2.54	902	14.48
2.56	892	15.6
2.58	884	15.64
2.6	875	15.72
2.62	866	15.82
2.64	858	15.88
2.66	849	16
2.68	841	16.06
2.7	833	16.13
2.72	825	16.22
2.74	817	16.3
2.76	809	16.4
2.78	801	16.5
2.8	794	16.56
2.82	786	16.68
2.84	779	16.75
2.86	772	16.82
2.88	764	16.96
2.9	757	17.05

Beam throw (=fiber-to-fiber working distance/2), beam waist ($2 \cdot r$), and beam width ($2 \cdot r$) at exit of grin lens as a function of grin length:
 Beam waist and beam width taken at 1/e2 intensity level; wavelength=1550nm, SMF28 - grin fiber assembly
 $g = 4.2778 \text{ mm}^{-1}$, $n_0=1.471$, $NA=0.267$, core diam=85 micrometer (used in **Gradissimo** fibers)

grin length [μm]	WD/2 [μm]	$2 \cdot w_0$ [μm]	$2 \cdot r$ [μm]
10	-5.96	10.57	10.63
20	-11.93	10.60	10.80
30	-17.94	10.64	11.11
40	-23.98	10.70	11.60
50	-30.08	10.78	12.14
60	-36.25	10.88	12.71
70	-42.50	11.00	13.40
80	-48.85	11.14	14.16
90	-55.32	11.30	14.89
100	-61.91	11.48	15.68
110	-68.65	11.69	16.53
120	-75.55	11.92	17.38
130	-82.62	12.18	18.20
140	-89.88	12.47	19.02
150	-97.34	12.79	19.83
160	-105.01	13.14	20.63
170	-112.90	13.54	21.42
180	-120.99	13.97	22.21
190	-129.29	14.44	22.97
200	-137.77	14.95	23.70
210	-146.38	15.51	24.38
220	-155.06	16.10	24.99
230	-163.68	16.80	25.58
240	-172.10	17.64	26.24
250	-180.05	18.57	26.69
260	-187.20	19.56	27.32
270	-193.06	20.57	27.76
280	-196.96	21.44	28.31
290	-198.03	22.72	28.54
300	-195.16	23.87	28.91
310	-187.04	25.27	29.22
320	-172.28	26.36	29.44
330	-149.67	27.71	29.66
340	-118.61	28.79	29.91
350	-79.56	29.51	30.05
360	-34.45	29.88	29.98
370	13.50	29.94	29.96
380	60.32	29.71	30.03

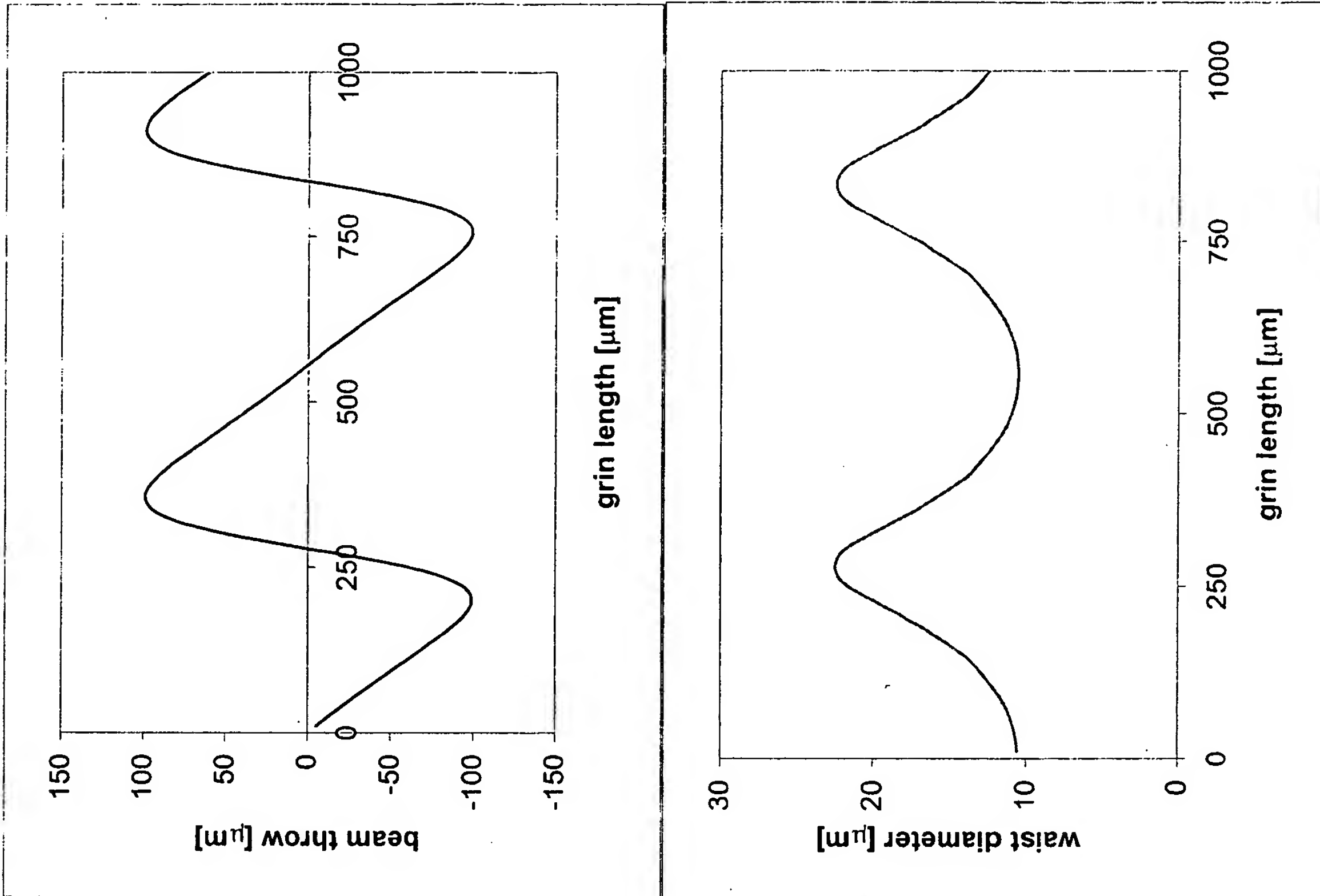


390	102.36	29.15	30.01
400	137.07	28.23	29.68
410	163.37	26.97	29.63
420	181.45	25.80	29.33
430	192.33	24.51	29.00
440	197.33	23.24	28.67
450	197.84	22.07	28.48
460	195.06	20.98	27.92
470	189.97	20.01	27.58
480	183.32	19.00	26.97
490	175.67	18.04	26.46
500	167.42	17.16	25.89
510	158.86	16.36	25.23
520	150.19	15.76	24.66
530	141.54	15.19	24.01
540	133.00	14.66	23.30
550	124.62	14.17	22.54
560	116.43	13.72	21.77
570	108.45	13.31	20.98
580	100.69	12.94	20.18
590	93.14	12.60	19.37
600	85.79	12.30	18.56
610	78.64	12.03	17.74
620	71.66	11.79	16.90
630	64.85	11.57	16.06
640	58.20	11.37	15.21
650	51.68	11.21	14.48
660	45.28	11.06	13.73
670	38.98	10.93	12.97
680	32.78	10.82	12.39
690	26.65	10.74	11.83
700	20.59	10.67	11.32
710	14.57	10.62	10.91
720	8.58	10.58	10.69
730	2.62	10.57	10.58
740	-3.34	10.57	10.58
750	-9.31	10.59	10.71
760	-15.29	10.62	10.95
770	-21.32	10.68	11.37
780	-27.39	10.75	11.90
790	-33.53	10.84	12.46
800	-39.74	10.95	13.06
810	-46.05	11.07	13.83

820	-52.46	11.22	14.57
830	-59.00	11.40	15.30
840	-65.67	11.59	16.16
850	-72.50	11.81	17.01
860	-79.49	12.06	17.84
870	-86.67	12.34	18.66
880	-94.04	12.64	19.47
890	-101.62	12.98	20.28
900	-109.41	13.36	21.07
910	-117.41	13.77	21.86
920	-125.62	14.23	22.64
930	-134.03	14.72	23.38
940	-142.59	15.26	24.09
950	-151.24	15.83	24.73
960	-159.91	16.45	25.29
970	-168.44	17.26	25.97
980	-176.63	18.15	26.52
990	-184.19	19.12	27.05
1000	-190.68	20.13	27.64

Beam throw (=fiber-to-fiber working distance/2), beam waist ($2 \cdot w_0$), and beam width ($2 \cdot r$) at exit of grin lens as a function of grin length:
 Beam waist and beam width taken at $1/e^2$ intensity level, wavelength=1550nm, SMF28 - grin fiber assembly
 $g = 5.65 \text{ mm}^{-1}$, $n_0=1.486$, $NA=0.2$, core diam=50 micrometer (Corning InfiniCor CL2000)

grin length [μm]	WD/2 [μm]	$2 \cdot w_0$ [μm]	$2 \cdot r$ [μm]
10	-5.25	10.58	10.62
20	-10.51	10.62	10.78
30	-15.80	10.69	11.03
40	-21.12	10.79	11.43
50	-26.48	10.91	11.93
60	-31.89	11.07	12.47
70	-37.35	11.26	13.04
80	-42.88	11.48	13.64
90	-48.47	11.73	14.26
100	-54.11	12.02	14.93
110	-59.79	12.35	15.62
120	-65.48	12.72	16.29
130	-71.13	13.11	16.92
140	-76.70	13.53	17.58
150	-82.06	14.04	18.26
160	-87.11	14.69	18.88
170	-91.63	15.39	19.36
180	-95.37	16.11	19.96
190	-97.99	16.74	20.44
200	-99.04	17.63	20.94
210	-97.96	18.29	21.20
220	-94.13	19.27	21.57
230	-86.90	20.02	21.87
240	-75.74	20.92	22.04
250	-60.41	21.65	22.34
260	-41.18	22.14	22.48
270	-18.97	22.39	22.47
280	4.73	22.45	22.45
290	28.05	22.32	22.48
300	49.23	21.98	22.45
310	66.99	21.39	22.21
320	80.66	20.56	22.03
330	90.21	19.76	21.67
340	96.02	18.90	21.41
350	98.68	18.03	21.05
360	98.84	17.21	20.65
370	97.11	16.51	20.30

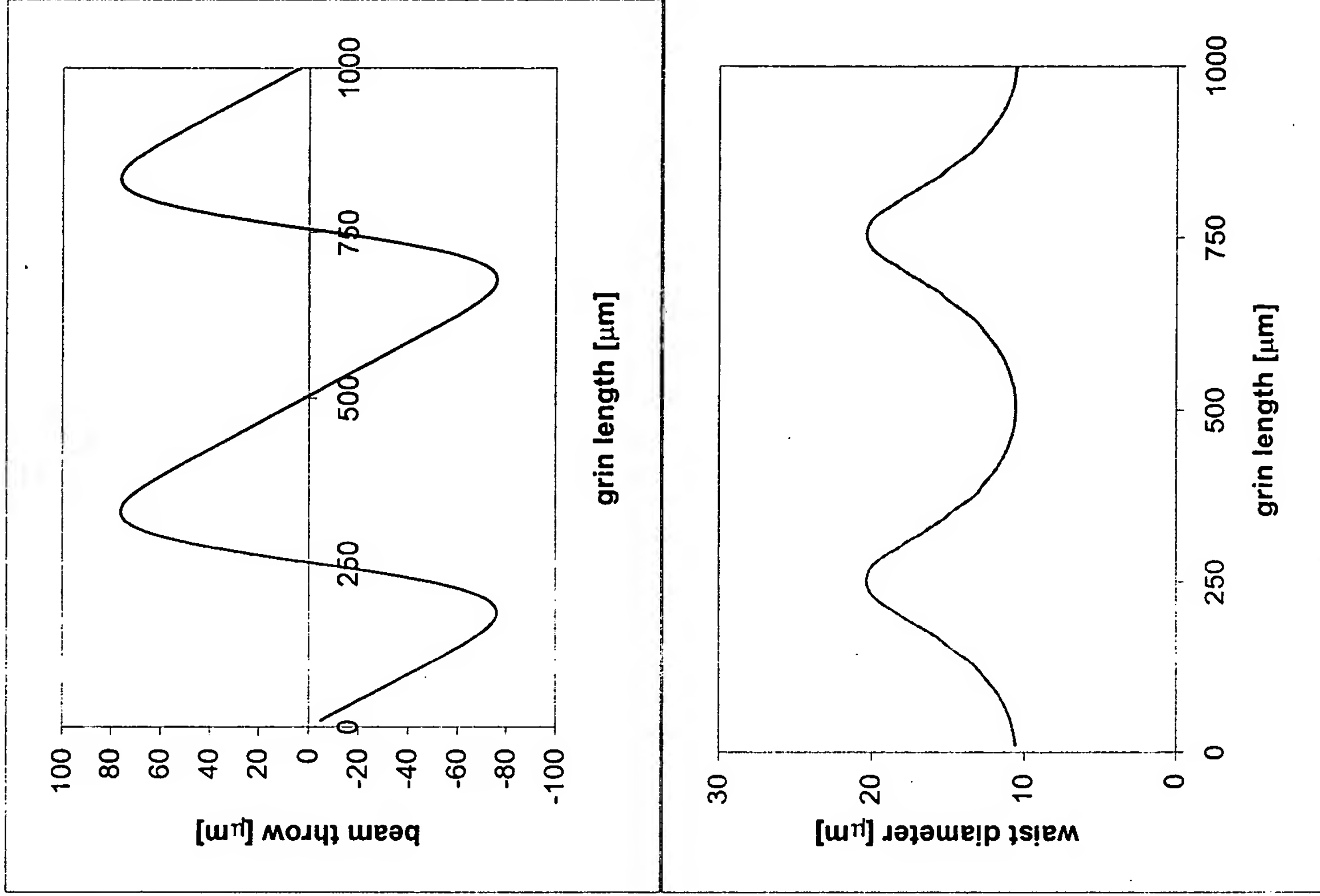


380	94.00	15.82	19.70
390	89.91	15.10	19.19
400	85.16	14.42	18.64
410	79.97	13.80	17.99
420	74.51	13.37	17.31
430	68.90	12.95	16.67
440	63.22	12.57	16.02
450	57.53	12.22	15.35
460	51.87	11.90	14.65
470	46.25	11.63	14.01
480	40.68	11.39	13.40
490	35.18	11.18	12.81
500	29.73	11.00	12.25
510	24.34	10.86	11.73
520	19.00	10.74	11.25
530	13.70	10.66	10.92
540	8.42	10.60	10.70
550	3.17	10.57	10.59
560	-2.08	10.57	10.57
570	-7.34	10.59	10.67
580	-12.61	10.64	10.87
590	-17.90	10.72	11.16
600	-23.24	10.83	11.62
610	-28.62	10.97	12.14
620	-34.05	11.14	12.69
630	-39.54	11.34	13.28
640	-45.09	11.57	13.88
650	-50.70	11.84	14.51
660	-56.36	12.15	15.21
670	-62.05	12.49	15.89
680	-67.73	12.87	16.54
690	-73.36	13.28	17.17
700	-78.85	13.70	17.85
710	-84.11	14.29	18.51
720	-88.97	14.96	19.09
730	-93.22	15.67	19.55
740	-96.57	16.38	20.20
750	-98.62	16.99	20.48
760	-98.90	17.87	20.94
770	-96.81	18.70	21.30
780	-91.70	19.60	21.63
790	-82.97	20.36	22.00

800	-70.16	21.24	22.13
810	-53.22	21.88	22.42
820	-32.66	22.27	22.49
830	-9.64	22.43	22.45
840	14.13	22.42	22.46
850	36.79	22.21	22.49
860	56.74	21.78	22.39
870	72.92	21.09	22.05
880	84.93	20.17	21.94
890	92.93	19.45	21.62
900	97.41	18.50	21.22
910	99.01	17.71	20.82
920	98.35	16.84	20.48
930	96.02	16.25	20.09
940	92.48	15.54	19.42
950	88.09	14.83	18.99
960	83.14	14.17	18.39
970	77.83	13.62	17.72
980	72.30	13.20	17.04
990	66.65	12.80	16.42
1000	60.97	12.42	15.76

Beam throw (=fiber-to-fiber working distance/2), beam waist ($2 \cdot w_0$), and beam width ($2 \cdot r$) at exit of grin lens as a function of grin length:
 Beam waist and beam width taken at 1/e² intensity level, wavelength=1550nm, SMF28 - grin fiber assembly
 $g \approx 6.237 \text{ mm}^{-1}$, $n_0=1.487$, $NA=0.275$, core diam=62.5 micrometer (Corning InfiniCor CL1000)

grin length [μm]	WD/2 [μm]	$2 \cdot w_0$ [μm]	$2 \cdot r$ [μm]
10	-4.92	10.58	10.62
20	-9.85	10.63	10.77
30	-14.79	10.71	11.01
40	-19.75	10.82	11.35
50	-24.74	10.96	11.82
60	-29.75	11.14	12.34
70	-34.77	11.36	12.89
80	-39.81	11.61	13.47
90	-44.84	11.90	14.07
100	-49.83	12.22	14.68
110	-54.73	12.57	15.29
120	-59.48	12.94	15.90
130	-63.98	13.43	16.46
140	-68.09	14.02	16.95
150	-71.62	14.66	17.59
160	-74.33	15.27	18.09
170	-75.89	15.82	18.55
180	-75.91	16.56	18.90
190	-73.92	17.30	19.28
200	-69.43	18.04	19.56
210	-62.01	18.72	19.87
220	-51.40	19.44	20.09
230	-37.66	19.93	20.31
240	-21.32	20.22	20.34
250	-3.39	20.32	20.32
260	14.80	20.27	20.34
270	31.87	20.06	20.34
280	46.66	19.65	20.20
290	58.46	19.01	19.87
300	67.04	18.25	19.72
310	72.57	17.60	19.40
320	75.43	16.77	19.00
330	76.10	16.17	18.78
340	75.06	15.46	18.18
350	72.74	14.89	17.81
360	69.48	14.25	17.20
370	65.56	13.64	16.65

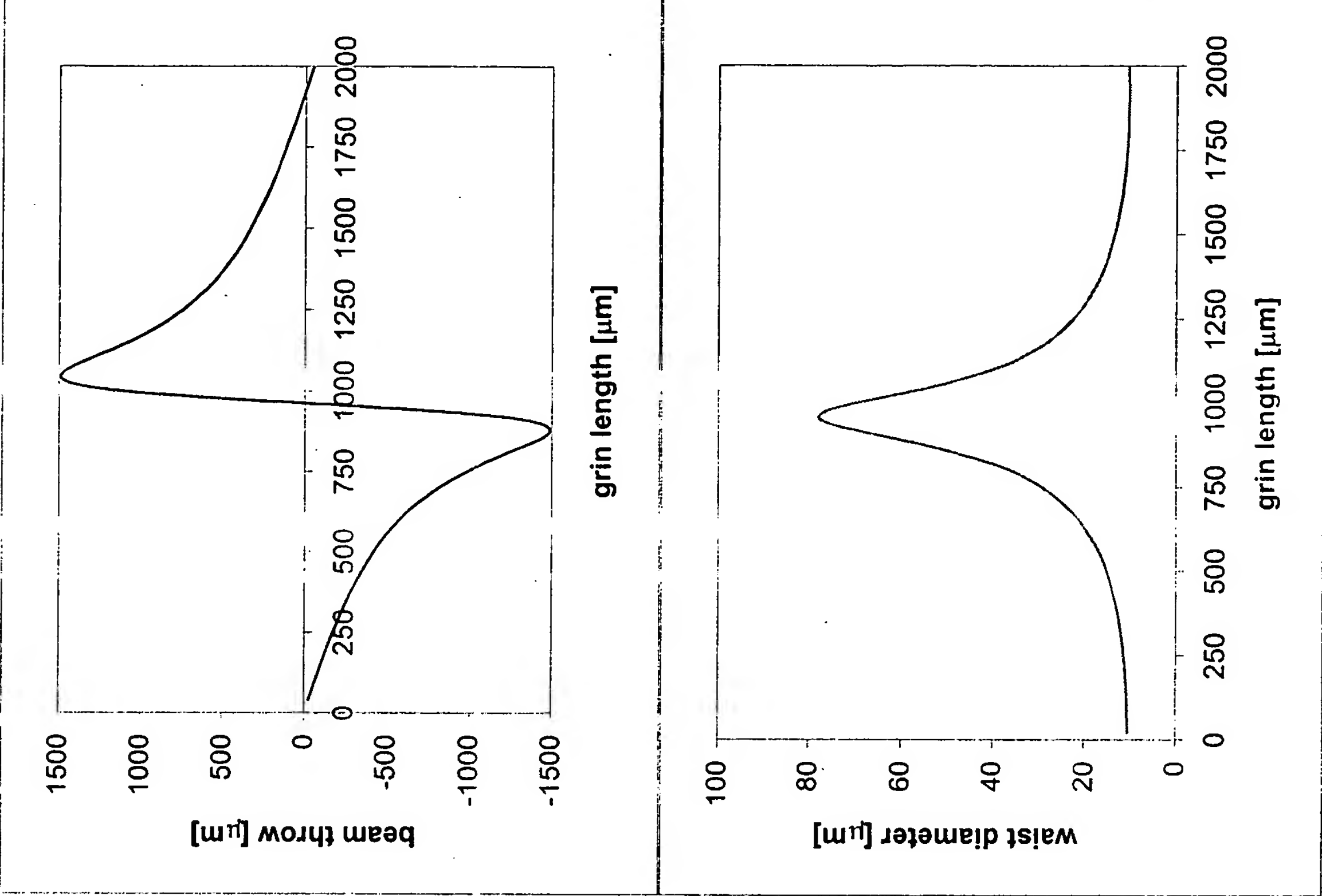


380	61.18	13.08	16.11
390	56.51	12.71	15.52
400	51.66	12.35	14.90
410	46.69	12.02	14.29
420	41.68	11.71	13.69
430	36.64	11.45	13.10
440	31.61	11.22	12.54
450	26.59	11.03	12.01
460	21.60	10.87	11.52
470	16.63	10.74	11.12
480	11.68	10.65	10.85
490	6.74	10.59	10.66
500	1.82	10.57	10.57
510	-3.10	10.57	10.59
520	-8.02	10.61	10.70
530	-12.96	10.67	10.91
540	-17.91	10.77	11.20
550	-22.89	10.91	11.64
560	-27.89	11.07	12.14
570	-32.91	11.28	12.68
580	-37.95	11.51	13.25
590	-42.98	11.79	13.84
600	-47.99	12.10	14.45
610	-52.93	12.44	15.06
620	-57.75	12.81	15.68
630	-62.35	13.22	16.26
640	-66.62	13.80	16.76
650	-70.40	14.42	17.36
660	-73.44	15.05	17.94
670	-75.47	15.57	18.28
680	-76.11	16.30	18.72
690	-74.92	16.97	19.09
700	-71.41	17.80	19.44
710	-65.12	18.41	19.81
720	-55.70	19.20	19.95
730	-43.09	19.78	20.26
740	-27.62	20.13	20.35
750	-10.14	20.30	20.33
760	8.12	20.31	20.33
770	25.76	20.16	20.35
780	41.50	19.83	20.27
790	54.46	19.27	20.00

800	64.23	18.51	19.83
810	70.86	17.87	19.44
820	74.66	17.07	19.15
830	76.08	16.38	18.78
840	75.62	15.61	18.37
850	73.72	15.12	17.99
860	70.77	14.49	17.43
870	67.07	13.86	16.81
880	62.85	13.28	16.32
890	58.27	12.85	15.74
900	53.47	12.48	15.13
910	48.54	12.14	14.52
920	43.54	11.82	13.91
930	38.51	11.54	13.32
940	33.47	11.30	12.75
950	28.45	11.09	12.20
960	23.44	10.92	11.70
970	18.46	10.79	11.24
980	13.51	10.68	10.94
990	8.57	10.61	10.72
1000	3.64	10.57	10.59

Beam throw (=fiber-to-fiber working distance/2), beam waist ($2 \cdot w_0$), and beam width ($2 \cdot r$) at exit of grin lens as a function of grin length:
 Beam waist and beam width taken at 1/e² intensity level, wavelength=1550nm, SMF28 - grin fiber assembly
 $g = 1.633 \text{ mm}^{-1}$, $n_0=1.487$, $NA=0.15$, core diam=125 micrometer (Grin-Rod)

grin length [μm]	WD/2 [μm]	$2 \cdot w_0$ [μm]	$2 \cdot r$ [μm]
20	-13.21	10.57	10.82
40	-26.44	10.59	11.71
60	-39.73	10.62	12.96
80	-53.10	10.65	14.54
100	-66.57	10.71	16.30
120	-80.19	10.77	18.38
140	-93.97	10.84	20.39
160	-107.95	10.93	22.46
180	-122.16	11.03	24.70
200	-136.64	11.14	26.94
220	-151.42	11.27	29.17
240	-166.55	11.42	31.39
260	-182.06	11.57	33.59
280	-198.01	11.75	35.77
300	-214.44	11.95	37.92
320	-231.41	12.16	40.05
340	-248.98	12.39	42.14
360	-267.22	12.65	44.20
380	-286.21	12.93	46.22
400	-306.03	13.24	48.19
420	-326.78	13.58	50.13
440	-348.56	13.95	52.01
460	-371.49	14.35	53.85
480	-395.71	14.80	55.64
500	-421.37	15.28	57.37
520	-448.66	15.82	59.05
540	-477.79	16.42	60.66
560	-508.97	17.07	62.22
580	-542.50	17.80	63.70
600	-578.69	18.62	65.12
620	-617.89	19.52	66.47
640	-660.55	20.54	67.74
660	-707.14	21.69	68.92
680	-758.23	22.99	70.01
700	-814.43	24.47	70.99
720	-876.44	26.17	71.84
740	-944.94	28.11	72.53



760	-1020.50	30.36	73.63
780	-1103.40	32.96	74.58
800	-1193.07	35.91	75.12
820	-1287.16	39.82	75.69
840	-1379.54	44.52	75.96
860	-1456.58	49.80	76.55
880	-1490.55	55.67	76.84
900	-1431.05	62.63	77.34
920	-1203.29	69.52	77.71
940	-741.10	75.47	78.06
960	-75.48	77.88	77.90
980	613.88	76.24	78.06
1000	1126.63	71.06	77.75
1020	1399.87	64.17	77.15
1040	1487.99	57.07	76.99
1060	1468.60	50.88	76.70
1080	1397.70	45.60	76.22
1100	1307.06	40.75	75.88
1120	1212.64	36.65	75.16
1140	1121.76	33.55	74.74
1160	1037.35	30.88	73.85
1180	960.24	28.56	72.68
1200	890.30	26.55	72.00
1220	826.98	24.81	71.18
1240	769.61	23.29	70.23
1260	717.50	21.95	69.16
1280	670.01	20.77	68.00
1300	626.57	19.73	66.75
1320	586.67	18.80	65.41
1340	549.89	17.97	64.01
1360	515.83	17.22	62.53
1380	484.17	16.55	60.99
1400	454.63	15.94	59.39
1420	426.98	15.39	57.73
1440	400.98	14.89	56.01
1460	376.47	14.44	54.23
1480	353.28	14.03	52.41
1500	331.27	13.65	50.53
1520	310.32	13.31	48.60
1540	290.31	12.99	46.64
1560	271.16	12.71	44.62
1580	252.76	12.45	42.58

possible working points for 1x2 design:

grin length: 1.44 - 1.54 mm

working distance: 802 - 580 micrometer

waist diameter: 15 - 13 micrometer

possible working points for 2x2 inline design:

grin length: 1.52 - 1.75mm

working distance: 620 - 230 micrometer

waist diameter: 13.3 - 11 micrometer

Largest beam diameter in the Grin rod: 80 micrometer = 64% of Grin diameter,
(we would like to stay below 60%).

1600	235.05	12.21	40.49
1620	217.96	11.99	38.37
1640	201.42	11.79	36.22
1660	185.38	11.61	34.05
1680	169.78	11.45	31.85
1700	154.57	11.30	29.64
1720	139.72	11.17	27.41
1740	125.18	11.05	25.17
1760	110.92	10.95	22.93
1780	96.89	10.86	20.81
1800	83.07	10.78	18.80
1820	69.43	10.72	16.74
1840	55.92	10.66	14.92
1860	42.53	10.62	13.29
1880	29.23	10.59	11.94
1900	15.99	10.57	10.93
1920	2.78	10.57	10.58
1940	-10.43	10.57	10.73
1960	-23.65	10.58	11.49
1980	-36.93	10.61	12.62
2000	-50.27	10.65	14.14

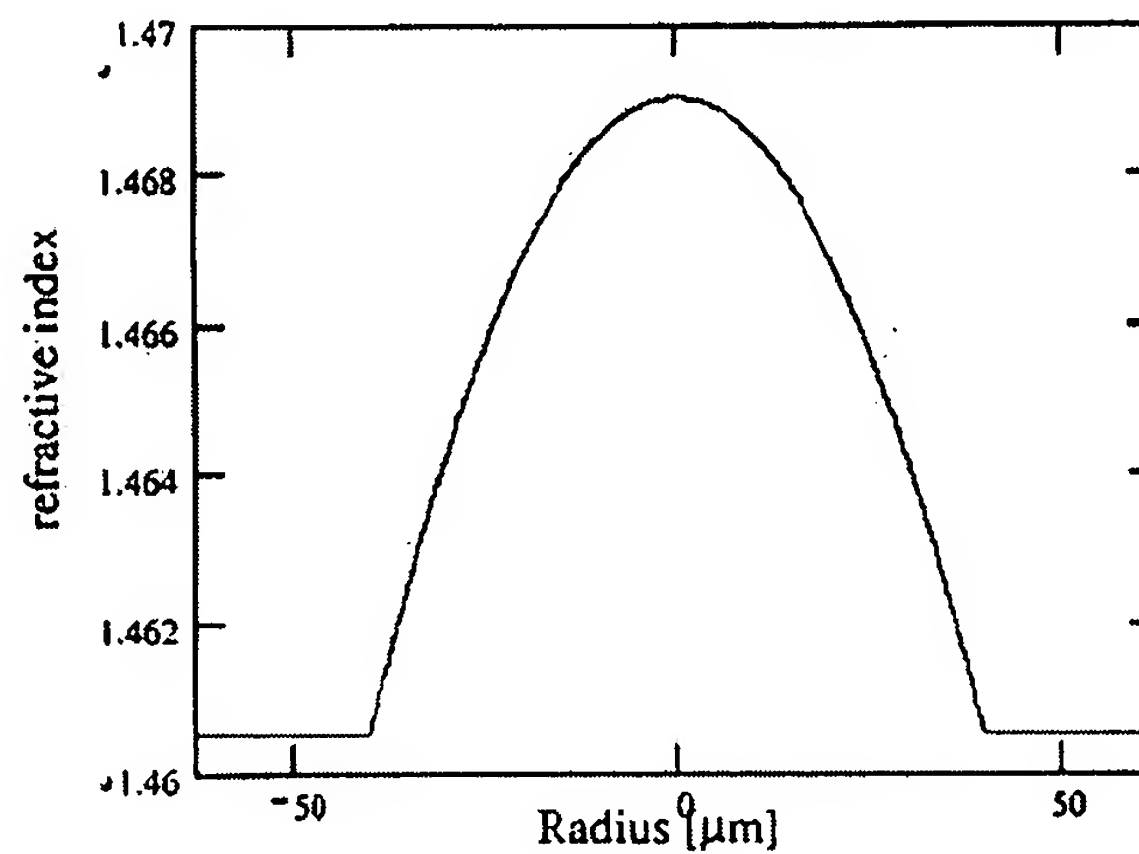
Specifications for Custom Grin-fiber

The on-axis refractive index has to closely match the refractive index of SMF-28.
SMF-28: $n_{\text{eff}} = 1.4682$ at 1550nm.

Custom Grin-fiber on axis refractive index: $1.467 < n_0 < 1.47$ at 1550nm

Grin profile:

$$n(r) = n_0 \left(1 - \frac{g^2}{2} r^2 \right) \quad g = 2.7 \text{ mm}^{-1}$$



Buffer 250 μm, cladding diameter 125 μm, core diameter 80 μm.

So I think we have some details we discussed!

Technical contact in Germany: Dr. Klein, ext. 166: there is always a 1% dip in the middle (~1µm wide) of a 62.5µm core, but this dip is not measurable with standard instruments.
MCVD process



Andrew Taylor
07/16/2000 08:40:26 PM

To: MEMS
cc:

Subject: Completion of first round of communication with FiberCore

Team,

Here are the final comments from FiberCore on the specs we submitted to them:

- NA = 0.16 0.02 (n ~ 9 10-3)
 - Undoped cladding (in future they will be able to do this and hit our on axis index spec)
 - Ge/P doped core
 - Profile spec $g = (2.70 \ 0.15) \text{mm}^{-1}$
 - small layer related index variations possible
 - small central dip possible
- and
- \$5/meter, 1 km minimum

$$\left. \begin{array}{l} n_o = 1.4815 \\ n_{\text{clad}} = 1.4729 \end{array} \right\} \Rightarrow RL = 47 \text{ dB}$$

measured for
standard grin
= 56 dB

An invitation to review the specs we sent, the quote and lead time, and the amount we need to order follows this email.

Regards, Andrew



"BOB SEBESTO" <BOBSEBESTO@prodigy.net> on 07/16/2000 09:06:22 AM

To: Andrew Taylor/Saro/OCLI@OCLI
cc:

Subject: Fw: OCLI Information

Andrew:

Attached are our final comments regarding the fiber. These are basically the FCJ specifications. If they are acceptable, please email me your order and we will begin processing it. By the way, we will also need the standard credit references (3 references and a bank) to open your account.

Regards,

Bob Sebesto



- att1.htm



- Comments on OCLI spec.doc

Forwarded by Andrew Taylor/Saro/OCLI on 07/16/2000 08:29 PM

"BOB SEBESTO" <BOBSEBESTO@prodigy.net> on 07/11/2000 12:35:41 PM



Grin fiber NA: $NA \approx n_o \cdot g \cdot a$

$$n^2 = n_o^2 (1 - g^2 r)$$

$$\Rightarrow n \approx n_o (1 - \frac{g^2}{2} r)$$

n_o = on axis refractive index
 a = core radius
 g = grin parameter

To: Andrew Taylor/Saro/OCLI@OCLI
cc: "Lothar Brehm" <brehm.fcj@t-online.de>

Subject:

Andrew:

Following up our recent telephone conversation, to follow is the information regarding the fiber we can provide. This is the closest we can come to your original requirements until our new process is up and running. At that time we may be able to produce the tubes required (fluorine doped) which would give you the on-axis refractive index close to the refractive index of SMF-28.

1.. Maximum refractive index difference between cladding and center of the core of nearly 9×10^{-3} is o.k.

2.. Based on undoped cladding material the on-axis refractive index is essentially higher than the refractive index of SMF-28.

Pricing and delivery will be:

Price: \$ 5.00/meter

Minimum Order: 1,000 meters

Delivery: 8 - 10 weeks ARO

After review, please let me know if you require any additional information and how you would like to proceed.

Regards,

Bob Sebesto
Director, Sales and Marketing
FiberCore, Inc.



- att1.htm



Andrew Taylor

07/11/2000 02:13:16 PM

To: "BOB SEBESTO" <BOBSEBESTO@prodigy.net>
cc: Bob Hallock/Saro/OCLI@OCLI, Markus Duelli/Saro/OCLI@OCLI

Subject: Re: Fiber Specifications 7/11/00 

Bob,

Thank you for the technical feedback, pricing and lead time information regarding the requested set of fiber specifications. Based on your email below, we have modified our original specs to not match the on-axis SMF-28 index. I understand that FiberCore may be able to match the on-axis SMF-28 index when your newly patented process to make depressed tubes in-house becomes available. Until then, we would like to get fiber drawn with the following specs (see attachment below for printable version):

Optical Specifications

$g = 2.70 \pm 0.01 \text{ mm}^{-1}$

On-axis refractive index to match as closely as possible that of SMF-28 fiber (see attachment for index profile)

Dimensional Specifications

Core Diameter: 80 micron \pm 2 micron

Cladding Diameter: 125 micron \pm 1 micron

Core-Clad Concentricity: < 2 micron

Cladding Non-circularity < 2%

Core Non-circularity < 5%

Coating Geometry: 245 micron \pm 5 micron

Fiber Length: > 10 m lengths

Mechanical Specifications

Proof Test: 0.7 GPa

Standard Dual Coating Draw



FiberCore Specifications_

Please let me know if we need to make any additional modifications, or if I can issue a PO.

Best Regards, Andrew

OCLI Proprietary

"BOB SEBESTO" <BOBSEBESTO@prodigy.net> on 07/11/2000 12:35:41 PM



"BOB SEBESTO" <BOBSEBESTO@prodigy.net> on 07/11/2000 12:35:41 PM

To: Andrew Taylor/Saro/OCLI@OCLI
cc: "Lothar Brehm" <brehm.fcj@t-online.de>

Subject:

Andrew:

Following up our recent telephone conversation, to follow is the information regarding

the fiber we can provide. This is the closest we can come to your original requirements until our new process is up and running. At that time we may be able to produce the tubes required (fluorine doped) which would give you the on-axis refractive index close to the refractive index of SMF-28.

1.. Maximum refractive index difference between cladding and center of the core of nearly 9×10^{-3} is o.k.

2.. Based on undoped cladding material the on-axis refractive index is essentially higher than the refractive index of SMF-28.

Pricing and delivery will be:

Price: \$ 5.00/meter

Minimum Order: 1,000 meters

Delivery: 8 - 10 weeks ARO

After review, please let me know if you require any additional information and how you would like to proceed.

Regards,

Bob Sebesto
Director, Sales and Marketing
FiberCore, Inc.



- att1.htm



Andrew Taylor

07/11/2000 11:07:51 AM

To: Bob Hallock/Saro/OCLI@OCLI, Markus Duelli/Saro/OCLI@OCLI, Don Friedrich/Saro/OCLI@OCLI, Bryant Hichwa/Corporate/OCLI@OCLI

cc:

Subject: FiberCore Update with cost and lead times

Team,

Below is the correspondence to date we have had with Robert Sebesto of FiberCore. In the most recent email below he indicates they can/will draw fiber for us:

- 1) Adjustments on index profile we asked for that causes a Δn on axis (the supply of depressed clad tubes appears to be limited)
- 2) \$5 a meter, 1 km minimum
- 3) 8-10 week lead time

We can do 1 of 3 things:

- 1) Buy as is.....
- 2) Re-send GRIN profile without asking for a depressed index cladding, but matched on axis
- 3) Look for a different supplier (only other company I am aware of that will do custom orders was SSOC, Spectran Specialty Optics Corporation, which is now owned by Lucent and thus may not be willing to do such small orders these days; I do have a contact inside which I have not pursued in Mike O'Connor at (860) 678-6534)

I recommend we try #2 above ASAP. Additionally, we should keep our correspondence going with Sebesto for future business as he believes they will be able to do our original GRIN profile with their newly patented process (which comes online in a few months) using a F doped cladding. Please keep in mind this chunk of business is small potatoes for FiberCore (or any other fiber manufacturer). Robert indicated to me they will do 12 million in sales this year with another 20 million on the books that they don't have the capacity for.....

Regards, Andrew

----- Forwarded by Andrew Taylor/Saro/OCLI on 07/11/2000 10:34 AM -----

"BOB SEBESTO" <BOBSEBESTO@prodigy.net> on 07/11/2000 12:35:41 PM



To: Andrew Taylor/Saro/OCLI@OCLI

cc: "Lothar Brehm" <brehm.fcj@t-online.de>

Subject:

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After review, please let me know if you require any additional information and how you would like to proceed.

Regards,

Bob Sebesto
Director, Sales and Marketing
FiberCore, Inc.

----- Forwarded by Andrew Taylor/Saro/OCLI on 07/11/2000 10:36 AM -----

"BOB SEBESTO" <BOBSEBESTO@prodigy.net> on 06/27/2000 11:29:18 PM



To: Andrew Taylor/Saro/OCLI@OCLI
cc: "Dr. Wolfgang Haemmerle" <haemmerle@fibercore.de>, "Lothar Brehm" <brehm.fc@t-online.de>
Subject: Re: Custom preform/fiber for OCLI

Andrew:

I am traveling with our V.P. of Operations this week and I will review the new specification with him tomorrow and let you know if any additional changes need to be made or discussed.

Regards,

Bob Sebesto

----- Original Message -----

From: Andrew Taylor
To: BOB SEBESTO
Cc: Chuck DeLuca ; Lothar Brehm ; haemmerle@fibercore.de ; John Olson ; Bob Fallock
Sent: Tuesday, June 27, 2000 4:28 PM
Subject: Re: Custom preform/fiber for OCLI

Robert,

The attached information is considered OCLI proprietary and is submitted pursuant to the NDA between OCLI and FiberCore, Inc. dated 6/15/00. Please review the latest set of specifications (given in the FiberCore Specifications_1.doc below) and communicate back to us:

- 1) What specs can/can't be met; please suggest changes to specs which can be met when possible to do so
- 2) Please provide us with an estimation of cost; formal RFQ not necessary at this time
- 3) Please provide us with estimated lead times

Please contact me directly at (707) 525-7177 if you have any questions.

Best Regards, Andrew

(See attached file: FiberCore FAX3.doc) (See attached file: FiberCore Specifications_1.doc)

PS I also sent you a 2 page eFax with the aforementioned information:

"BOB SEBESTO" <BOBSEBESTO@prodigy.net> on 06/24/2000 09:22:32 PM

To: Andrew Taylor/Saro/OCLI@OCLI

cc: "Chuck DeLuca" <FBCE2CDL@aol.com>, "Lothar Brehm" <brehm.fcj@t-online.de>

Subject: Fw: Special preform/fiber for Olli

Andrew:

To follow is the response from our plant regarding the special preform you requested. As discussed, we may be able to produce the required tubes with our new process, but this would not be available until late this year. If you would like to make any changes to your requirements which could possibly enable us to provide preforms, please let me know and I will pass it on to the right people.

In the interim, if you would like a copy of our standard multimode preform specification, please advise and I will fax it to you.

Sincerely,

Bob Sebesto
Director, Sales and Marketing
FiberCore, Inc.

----- Original Message -----

From: Wolfgang Haemmerle

To: Charles DeLuca

Cc: Mohd Aslami

Sent: Monday, June 19, 2000 8:52 AM

Subject: Special preform/fiber for Olli

I see the following problem for making such a special fiber:

a.. based on the sent picture the needed index difference for the graded index profile is nearly 9×10^{-3}

b.. the core/clad index difference for the SMF-28 is nearly 4.5×10^{-3}
(standard SMF with only Ge doped core and undoped cladding, index difference core/clad nearly 4.5×10^{-3}).

c.. that means the cladding should have for the special GRIN fiber a negative index difference of $(9 \times 10^{-3} - 4.5 \times 10^{-3} - 4.5 \times 10^{-3} = 0)$.

d.. such a high index depression of -4.5×10^{-3} is impossible to make with Standard-MCVD, if possible with a improved MCVD only a grave with a narrow width

can be made.

e.. such low index substrate tubes are at this time not available (only F-320 with a index difference of $-1.2 \cdot 10^{-3}$).

f.. no tolerances are known for the profile parameters, the core diameter, the index difference

In the meantime it would be desireable to get the unknown tolerances for the different GRIN-fiber parameters.

Best Regards,

Wolfgang Haemmerle

--

Research & Development

Tel: +49-3641-610 160

Fax: +49-3641-610 101

email: haemmerle@fibercore.de

FiberCore Jena GmbH



- att1.htm

FiberCore Jena GmbH

INFOGLAS

Göschwitzer Str. 20, 07745 Jena

Tel.: 49 - 3641 / 6 10 140

Fax: 49 - 3641 / 6 10 101

E-Mail: pinter@fibercore.de

Fax:	001 707 525 7846	Date:	15.09.00
To:	Optical Coating Laboratory, Inc. Santa Rosa / USA	From:	Hans-Freimut Pinter Sales
Attn.:	Mrs. Lee Anne Seaman	Copy:	Bob Sebesto
Ref:	Dispatch details	Pages:	1 + 1

Dear Mrs. Seaman,

we would like to announce the dispatch of one box with optical fiber in accordance with your **PO 109002** dated 07/19/2000 by FEDEX today. Please refer to the following tracking No.:

8214 0439 9191

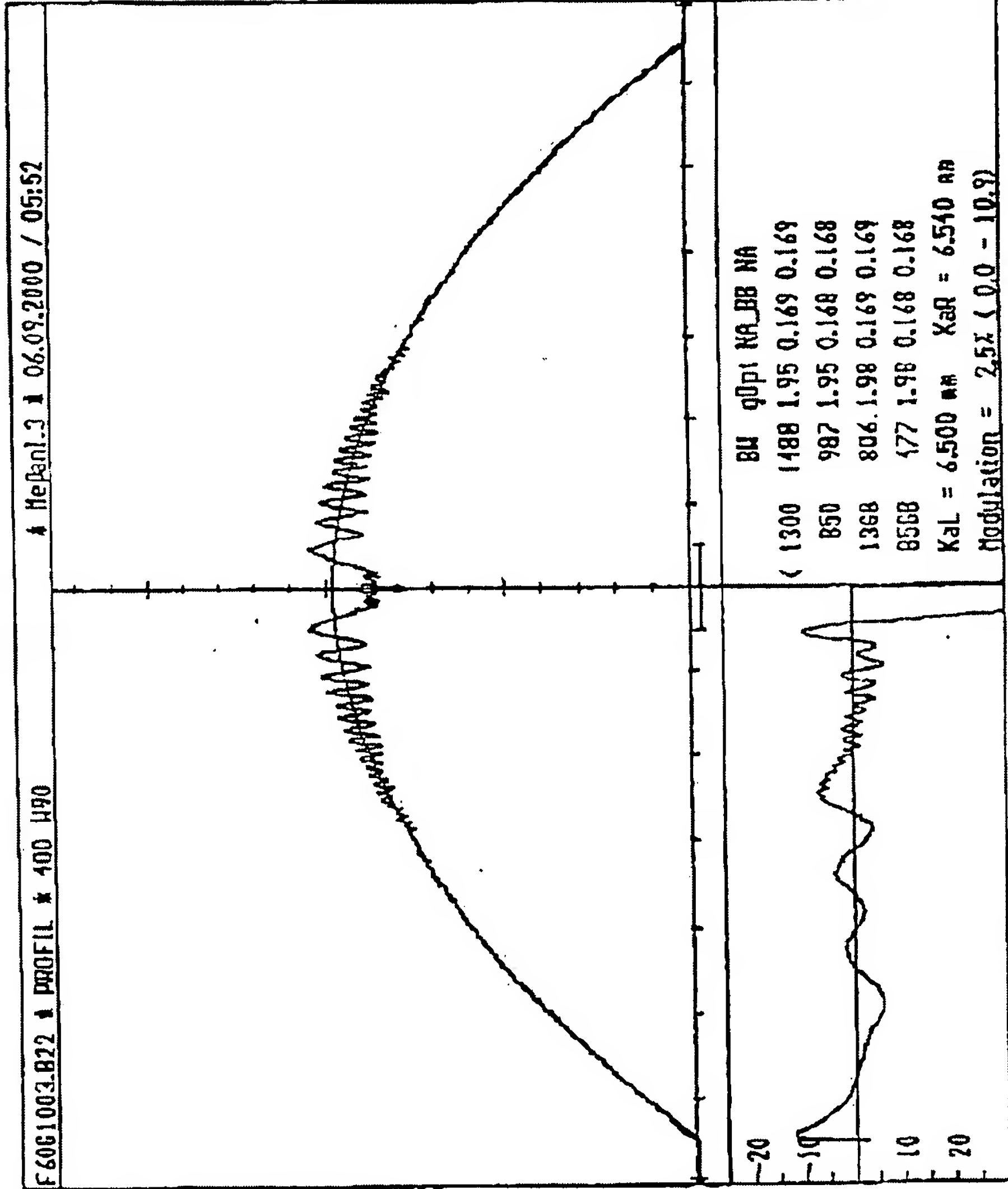
Please find the characterization data of the used preform together with the fiber refractive index profile enclosed.

We thank you very much for the order, hope to continue our technical and commercial relation.

Best regards



Hans-Freimut Pinter
Sales



OPTICAL COUPLEMENT

• BUILD TWO SAMPLES

w/ PUSH 2' = 23um (SIMILAR TO PART 1)

1.2 = -0.52 dB

ONE FIBER FIT

ONE " DID NOT FIT

• NEXT TWO SAMPLES

1.2 = -0.77 dB

(2' = 23um PUSH)

(BAD COUPLING SPICE)

TITLE

Work continued from Page

BOOK NO.

185

SHORT STRIP LENGTH SPICE

→ NO TOOLING AT THIS POINT, TRY & PROVE THAT A TOTAL STRIP LENGTH OF ~5mm IS POSSIBLE

SPICE	LENGTH	
1	-0.07	3.5 mm
2	-0.04	3.6 mm
3	-0.07	3.5 mm
4	-0.21	3.5 mm
5	-0.22	3.5 mm
6	-0.01	3.7 mm
7	-0.00	3.5 mm
8	-0.00	3.6 mm
9		
10		
11		
12		

HAVING PROBABLY w/ CLEAVES

2 PUSH = 23um
2 PUSH = 23um

SCIENTIFIC BINDER PRODUCTIONS CHICAGO 60605 MADE IN USA

SIGNATURE

Work continued to Page

DATE

DISCLOSED TO AND UNDERSTOOD BY

DATE

WITNESS

DATE

SHORT SPICE

900um

→ 900um will not fit thru
S.S. SPINER CLAMP GUIDE. TRY
REMOVING.

→ DOES NOT WORK

Work continued from Page

SHORT SPICE CONT

MADE 4 OPTICAL SAMPLES:

0.00 IN SPICE ALIGNMENT 1st PAIR: -1.2dB HIGH

0.00 IN SPICE ALIGNMENT 2nd PAIR: -0.90dB 6 N/A

→ PROGRAM MAYBE AN ISSUE. THIS WAS A
SM PROGRAM USED FOR MULTIMODE.
READY SHOULD USE MM PROGRAM &
MODIFY TO GET SHORT SPICE LENGTH

INDICATES
AN ANGLE ON END
OF FIBER(S)

[Signature]

7/31/00

OCLI

29

PROJECT NO. 19889

BOOK NO. 1130

TITLE Spot Size Measurement

Work continued from Page

3/4 pitch CMMF-SMF, 80um core, optics

Fiber #	GRIN length	# fringes	D_2	D_1	S	Beam radius at waist Spot Size
#6	2076	0	2520	1061	10	6.76
			2600	1019	10	6.24
			2590	1030	10	6.33
Average:			2570	1036.667	10	6.44

$$\text{stddev } D_1 = \Delta W_1 = 21.78 \mu\text{m}$$

$$\text{stddev } D_2 = \Delta W_2 = 43.59 \mu\text{m}$$

$$\Delta S = 50 \mu\text{m}$$

$$\therefore \text{spot size} = 12.87 \mu\text{m} \pm 1.16 \mu\text{m}$$

#7	2102	1	3247	1406	10	5.36
			3277	1414	10	5.30
			3296	1419	10	5.26
			3273.33	1413	10	5.30

$$\Delta W_1 = 6.56 \mu\text{m}$$

$$\Delta W_2 = 24.70 \mu\text{m}$$

$$\Delta S = 50 \mu\text{m}$$

$$\therefore \text{spot size} = 10.61 \mu\text{m} \pm 0.41 \mu\text{m}$$

~ continued on next page ~

Work continued to Page 30

Signature: Andrew Taylor

DATE: 9/21/00

DATE

SIGNATURE

20

OCLI

PROJECT NO. 19889

TITLE Spot Size Measurement

BOOK NO. 1130

Work continued from Page 29

Fiber #	GRIN Length	#fringes	Δ_2	Δ_1	S	Beam radius at waist
---------	-------------	----------	------------	------------	---	----------------------

# 8	2158 μm	2	4261	2335	10	5.12
			4334	2332	10	4.93
			4345	2317	10	4.87
			4313.33	2328	10	4.97

$$\Delta w_1 = 9.64 \mu\text{m} \quad \Delta S = 50 \mu\text{m}$$

$$\Delta w_2 = 45.65 \mu\text{m}$$

$$\therefore \text{spot size} = 9.44 \mu\text{m} \pm 0.60 \mu\text{m}$$

# 9	2185 μm	1	3833	1889	10	5.08
			3834	1899	10	5.10
			3872	1897	10	5.00
			3846.33	1895	10	5.06

$$\Delta w_1 = 5.29, \Delta w_2 = 22.23, \Delta S = 50 \mu\text{m}$$

$$\therefore \text{spot size} = 10.11 \mu\text{m} \pm 0.34 \mu\text{m}$$

recentered beam						
# 10	1930 μm	1	2654	2723	1308	10 6.97
			2678	2713	1316	10 7.06
				2704	1299	10 7.02
			2713.33	1307.667	10	7.02

$$\Delta w_1 = 8.50 \mu\text{m} \quad \Delta w_2 = 9.50 \mu\text{m}, \Delta S = 50 \mu\text{m}$$

$$\therefore \text{spot size} = 14.04 \mu\text{m} \pm 0.43 \mu\text{m}$$

~ continued on next page ~

SCOTT'S COPY PRODUCTIONS CHICAGO 60605

Work continued to Page 31

SIGNATURE

Andrew Taylor

DATE

9/21/00

DISCLOSED TO AND UNDERSTOOD BY

DATE

INITIALS

DATE

PROJECT NO. 19889

TITLE Spot Size Measurement BOOK NO. 1130

Work continued from Page 30

Fiber #	GRW Length	#fringes	D_2	D_1	S	Beam radius at waist (um)
11	1938	0	1724	598	8	7.01
			1693	620	8	7.36
			1704	605	8	7.18
			1707	607.667	8	7.18

$$\Delta w_1 = 11.24, \Delta w_2 = 15.72, \Delta S = 50 \mu m$$

$$\text{spot size} = 14.36 \mu m \pm 0.79 \mu m$$

*Note: due to small D_1 MFD, remeasuring #11

11	1938	0	2280	996	10	7.69
			2263	984	10	7.72
			2273	1003	10	7.77
			2272	994.33	10	7.72

$$\Delta w_1 = 9.61, \Delta w_2 = 8.54, \Delta S = 50 \mu m$$

$$\text{spot size} = 15.44 \mu m \pm 0.52 \mu m$$

#12	1934	2	3058	1846	10	8.14
			3060	1810	10	7.89
			3070	1824	10	7.92
			3062.67	1826.67	10	

$$\Delta w_1 = 18.15$$

$$\Delta S = 50 \mu m$$

$$\Delta w_2 = 6.43$$

$$\text{spot size} = 15.97 \mu m \pm 0.71 \mu m$$

*Note: Beam had a funny shape

SUNSHINE PRODUCTIONS CHICAGO 60632

Work continued to Page 32

SIGNATURE

Andrew Taylor

DATE 9/21/00

WORK UNDERSTOOD BY

DATE

WITNESS

DATE

TYPE (CLEAN S.D.)
SMF / CMMF (1st ANNEAL ATTEMPT)

→ SOURCE, MORE GRUBS SO
CMMF BETWEEN ELECTRODES,
LOW POWER ARC, CLEAN

LENGTH
~850

QUALITY
BAD (?)



TITLE

PROJECT NO.
BOOK NO.

Work continued from Page

TYPE (CLEAN S.D.)

SMF / CMMF

SMF / CMMF

SMF / CMMF

SMF / SMF

SMF / MMF

SMF / MMF

CMMF / CMMF

CMMF / SMF

SMF / CMMF (NO ZUMP)

SMF / CMMF (PULL)

SMF / CMMF HIGH POWER

UP TO 130 (5000ms)

SMF / CMMF LOW-ER POWER

DOWN TO 50 (750ms)

SMF / CMMF LOW TENSION

DOWN TO 100 grs

SMF / CMMF HIGH TENSION

UP TO 300 grs

SCIENTIFIC BONDING PRODUCTIONS CHICAGO 48885 MADE IN USA

SIGNATURE

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2110 051

STANDARD
CMMF
SPICED/CLEAN

CMMF CLEANING

LENGTH (in)

700, 850

350

~1200

ANY LENGTH

350

~550

~850

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2110 051

STANDARD
CMMF
SPICED/CLEAN

CMMF CLEANING

LENGTH (in)

700, 850

350

~1200

ANY LENGTH

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2110 051

STANDARD
CMMF
SPICED/CLEAN

CMMF CLEANING

LENGTH (in)

700, 850

350

~1200

ANY LENGTH

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2110 051

STANDARD
CMMF
SPICED/CLEAN

CMMF CLEANING

LENGTH (in)

700, 850

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ANY LENGTH

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2110 051

STANDARD
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SPICED/CLEAN

CMMF CLEANING

LENGTH (in)

700, 850

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ANY LENGTH

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2110 051

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SPICED/CLEAN

CMMF CLEANING

LENGTH (in)

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ANY LENGTH

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2110 051

STANDARD
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SPICED/CLEAN

CMMF CLEANING

LENGTH (in)

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ANY LENGTH

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LENGTH (in)

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ANY LENGTH

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2110 051

STANDARD
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SPICED/CLEAN

CMMF CLEANING

LENGTH (in)

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~1200

ANY LENGTH

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STANDARD
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SPICED/CLEAN

CMMF CLEANING

LENGTH (in)

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~1200

ANY LENGTH

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Work continued from Page

ANNEAL PROCESS MODIFICATIONS

9/28/00 - 1st ATTEMPT

MANUAL MOTION (NO SPECIFIC LENGTH OF MOTION), USED 2nd PROGRAM TO RUN LOW POWER ARC. CLEAVED.
→ RESULTED IN DIFFERENT CLEAVE SURFACE.
NOT GOOD BUT IMPROVED STANDARD CLEAVE.



THIS CLEAVE:

10/5/00 - 2nd ATTEMPT

• SET FIBER CONTAIN SPACE TO MOVE 500µm HAD TO RELEASE OPPOSITE JAW TO ALLOW MOVEMENT.
• SWITCHED TO SECOND PROGRAM AFTER PROGRAM PAUSE & JAW MOVEMENT.
• 2nd PROGRAM FOR LOW POWER ANNEAL ARC.
→ RESULTED IN 'GOOD' QUALITY FLAT CLEAVE

10/6/00

• INSTEAD OF 2nd PROGRAM FOR ANNEAL, COMPARING FOR OPERATOR, CAN WE USE MULTIPLE CLEANING ARCS.
• RAISE CLEANING TIME FROM 200µms TO 600µms. NOT TOO MUCH CLEANING POWER, BUT WON'T NEED TO USE EXCESSIVE MULTIPLE CLEANING ARCS FOR ANNEAL.
• 5 ARCS REQUIRED TO GIVE ENOUGH ANNEALING FOR GOOD CLEAVE.

SCIENTIFIC BINDERY PRODUCTIONS CHICAGO 60605 MADE IN USA

SIGNATURE

Andrew T. Taylor

DISCLOSED TO AND UNDERSTOOD BY

Andrew T. Taylor

DATE

11/14/00

WITNESS

Work continued to Page 66

DATE

11/13/00

DATE

CONTINUED FROM PAGE 65

10/6/00 CONT

SETTINGS:

ARC POWER 53
DURATION 2150
PUSH DISTANCE 21
CLEANING ARC 600
ARC POWER OFFSET 0
PULL START TIME 1500
PULL DISTANCE 20

ISSUES:

WHEN MOVING FIBER FOR ANNEAL, IF FIBER DOES NOT RESET THE SAME WAY INTO ALIGNMENT V-GROOVES, THE RESULTING LATERAL OFFSET STRIPS GETS ANNEALED OUT OF THE FIBER. THIS RESULTS IN A PERMANENT KINK IN THE FIBER.

SOLUTION: ULTRASONICALLY CLEAN BOTH FIBER PARTS BEFORE PLACING IN SPICER. DEBRIS ON FIBER SLIDES THRU V-GROOVE AND INTERFERES W/ RESETTING OF FIBER.

10/18/00

CAN REDUCE # OF ANNEAL ARCS REQUIRED BY INCREASING LENGTH OF CLEANING ARC & REDUCING ARC POWER.

INITIAL PROGRAM: 5 ANNEALS: 5
POWER: 800
TIME: 600 ms
2nd PROGRAM: POWER: 25
TIME: 1000
3rd PROGRAM: POWER: 10
TIME: 2000 ms
4 ANNEALS: 2

ALL RESULT IN QUALITY CLEAVES.

ISSUES: PROGRAM DOES NOT SELF ADJUST TO ACCOMMODATE WEAR AND/OR REPLACEMENT OF THE ELECTRODES.

SOLUTION: SET UP A FUSION SPICER PROGRAM TO OPERATE OFF AN ARC CHECK. THIS PROGRAM WOULD ACCOMMODATE ELECTRODE WEAR PERFORM ARC CHECK ON DAILY BASES.

CONTINUED TO PAGE 66

TITLE

Work continued from Page 66

10/30/00 ARC CHECK PROGRAM - REFER TO PAGES 48-51

SETTINGS:

ARC POWER 35
PRE-FUSE TIME 240 ms
ARC DURATION 2150 ms
2 PUSH DISTANCE 21 mm
ARC POWER COMPENSATION: 65
CLEANING POWER OFFSET: 0
CLEANING TIME 1000 ms
2 PULL START TIME 1500 ms
2 PULL DISTANCE 20 mm
AUTO ADDITIONAL ARC: 0
REPEAT ARC TIMES: 1
REPEAT ARC DURATION: 0
REPEAT ARC INTERVAL: 5000 ms
REPEAT ARC POWER OFFSET: 0
CLEAVE ANGLE: 2
LOSS LIMIT: 0.2 dB
ALIGNING TYPE: CLAD
MODE FIELD RADIUS LEFT: 4.75 mm
MODE FIELD RADIUS RIGHT: 35.05 mm
TENSION: 200 g
OF ANNEALS: 4

RESULTS IN GOOD QUALITY OPTICS

Flow chart of process page 68

SCIENTIFIC BIRDY PRODUCTIONS CHICAGO 80035 MADE IN USA

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WITNESS

DATE 11/14/00

Work continued to Page 68

DATE 11/13/00

Work continued from Page

CMFZ SPICE KINK



POTENTIAL ISSUE

AS SEEN ON BRICEZ

SEEN/ CMFZ BUT NOT CMFZ

POSSIBLE PROBLEMS

5pm PUSH
5pm PULL
100ms PREFUSE

→ LOOKED BETTER WHEN STANDARD PROGRAM
BUT NOT AS GOOD AS CMFZ
→ GOOD CLEAN

3pm
PUSH
PULL

100ms PREFUSE

→ STILL EXHIBITED PROBLEM, ALSO NECKED DOWN @ SPICE JOINT

3pm
PUSH
PULL
100ms PREFUSE

→ SAME PROBLEM, LESS NECKING DOWN @ JOINT

5pm
PUSH
PULL
100ms PREFUSE

→ KINK LOOKED GOOD BUT NECKED @ JOINT

* DUE TO LONG HEAT CMFZ CLEARED TWICE
EXCESSIVE REWINDING. REPEAT

SCIENTIFIC UNDER PRODUCTIONS CHICAGO ILL 60601 MADE IN USA

SIGNATURE

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WITNESS

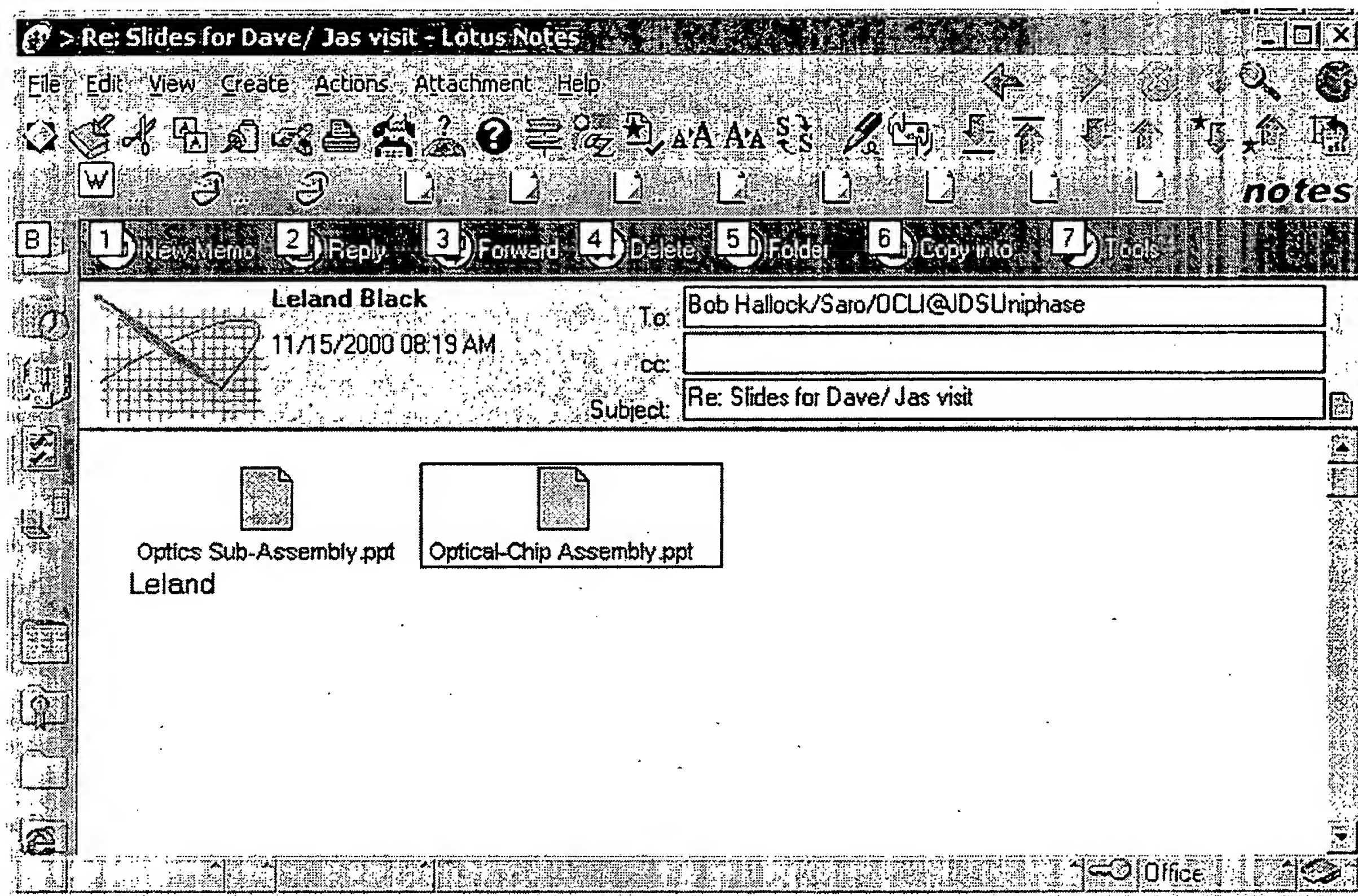
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DATE

1/2/01

Work continued to Page





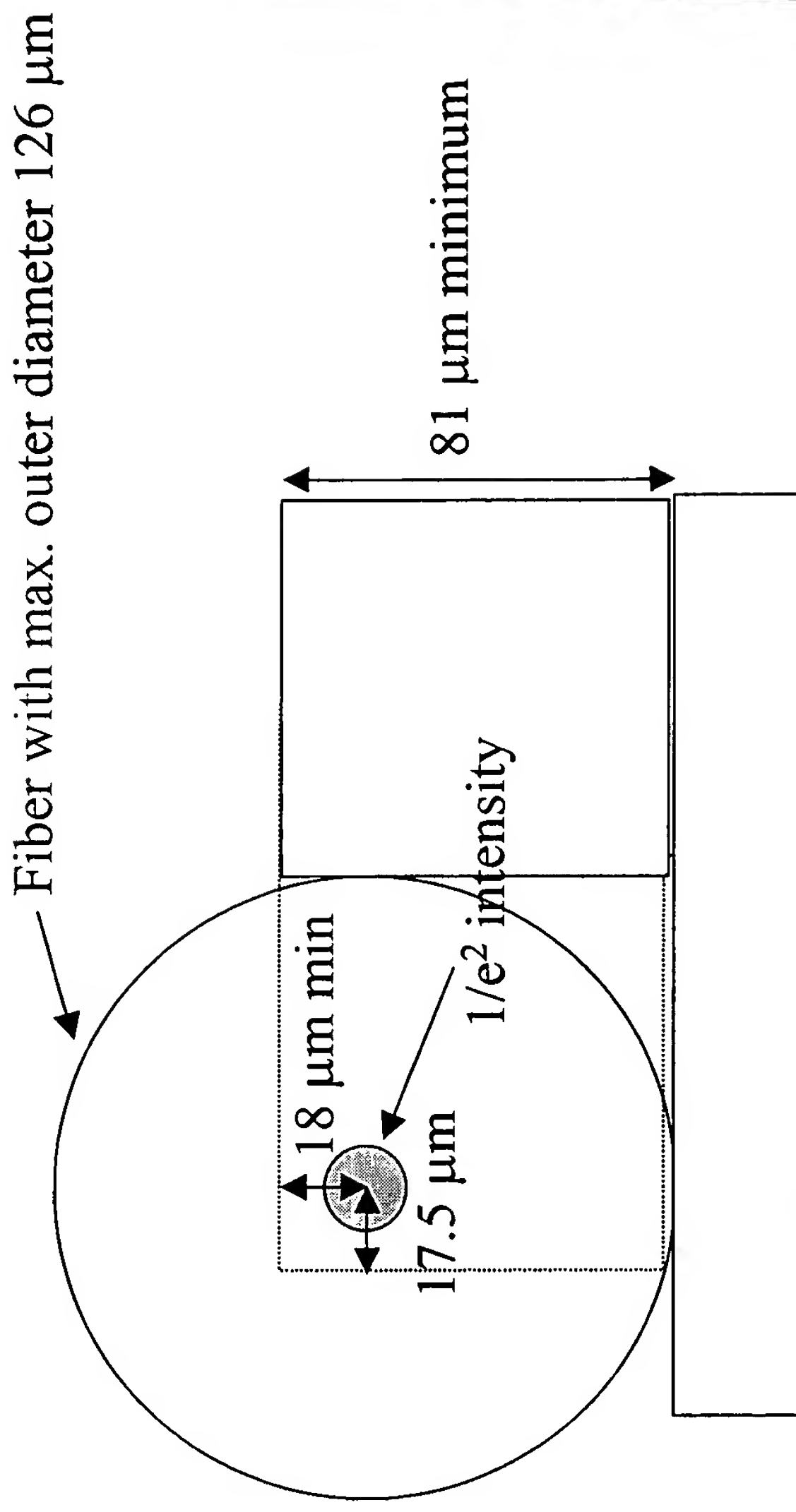
MEMS Optical Sub-Assembly

**Manufacturing Process and
Optical Performance**

Beam Size Limitation

Electrostatic MEMS mirror actuator

- Mirror movement: $35\text{ }\mu\text{m}$
- Minimum fiber trench depth: $81\text{ }\mu\text{m}$



For excess loss $<0.05\text{dB}$ mirror diameter has to be larger than $3.54 \times$ beam waist
 \Rightarrow maximum projected beam waist at mirror ($1/e^2$ -intensity radius) = $9.9\text{ }\mu\text{m}$

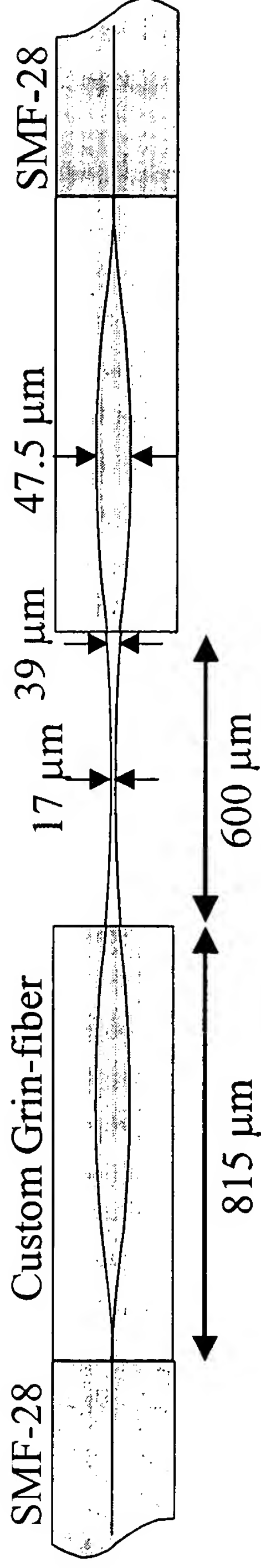
MEMS Product Development

JDS Uniphase

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Optics Solution

Single mode fiber - custom Grin fiber assembly
(30 degree angle between fibers)



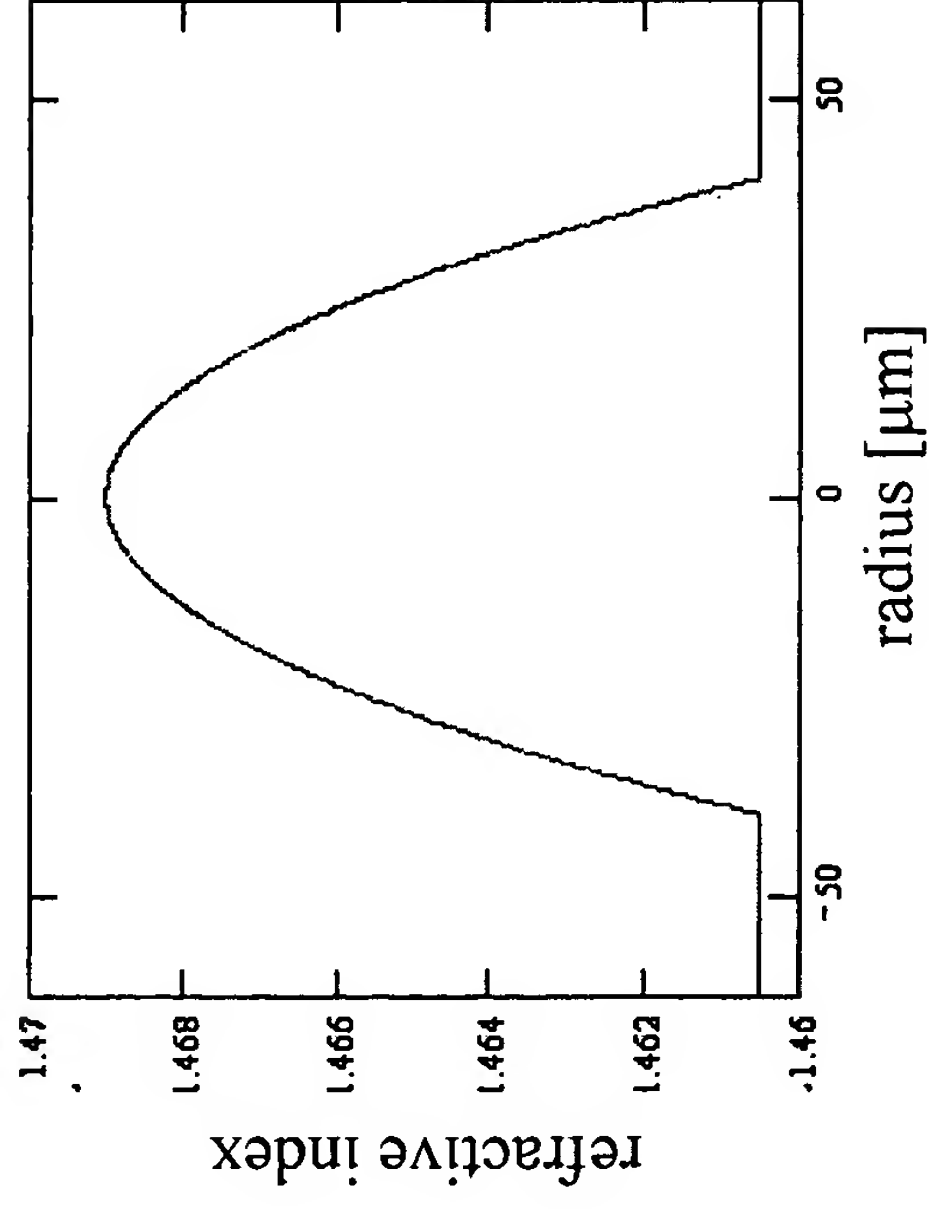
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Optics Solution - Con't

Gradient index profile:



$$n(r) := n_0 \left(1 - \frac{g^2}{2} \cdot r^2 \right)$$

$$g = 2.7 \text{ mm}^{-1}$$

$$n_0 = 1.469 \text{ (@ } \lambda = 1.55 \mu\text{m)}$$

Return loss (4 degree angle cleave): 76 dB without AR
82 dB with 1% AR

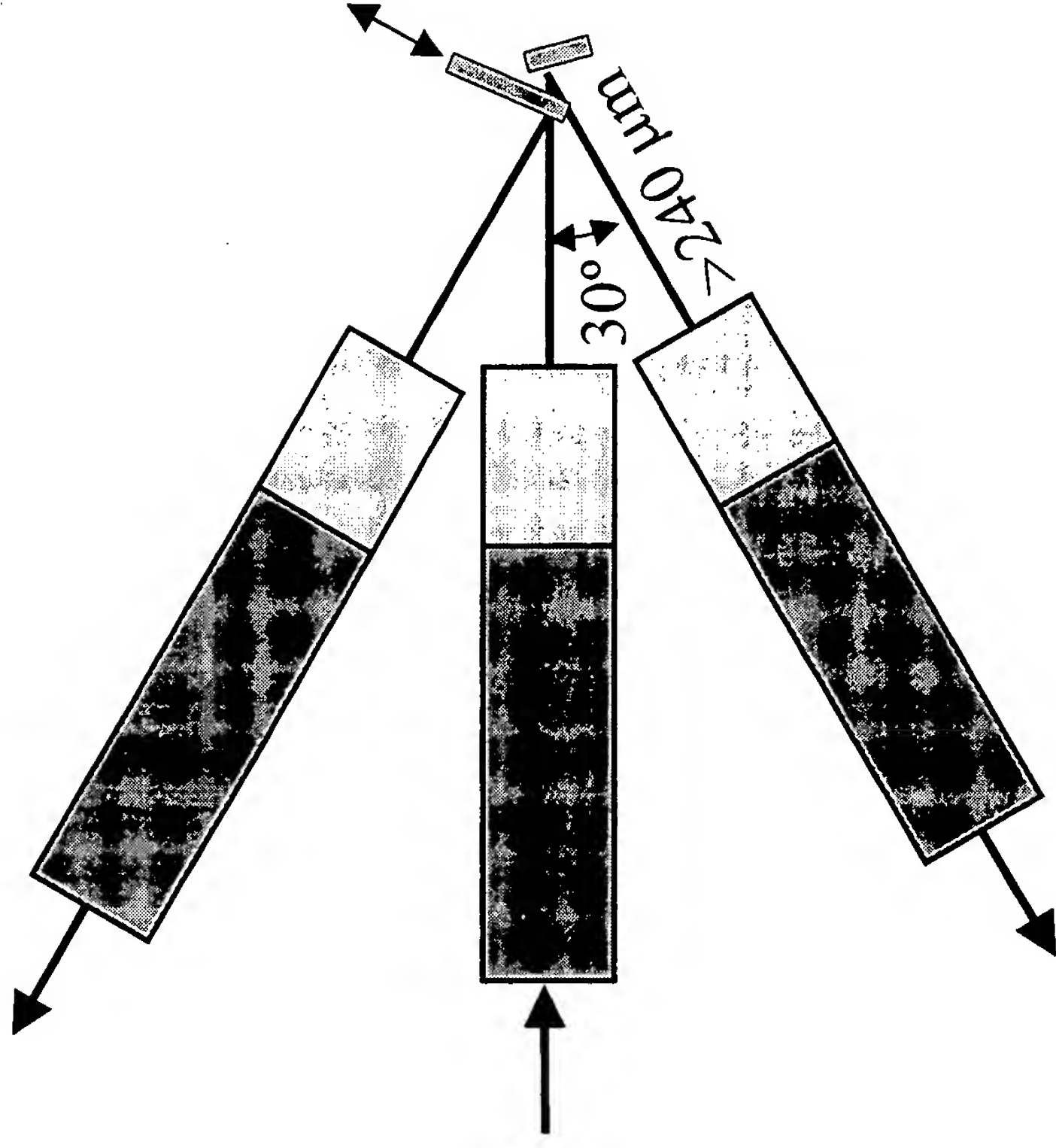
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Fiber-to-Fiber Working Distance

(30 degree angle between fibers)



126 μm diameter fibers touch at a working distance of 480 μm

\Rightarrow fiber-to-fiber working distance $>480 \mu\text{m}$

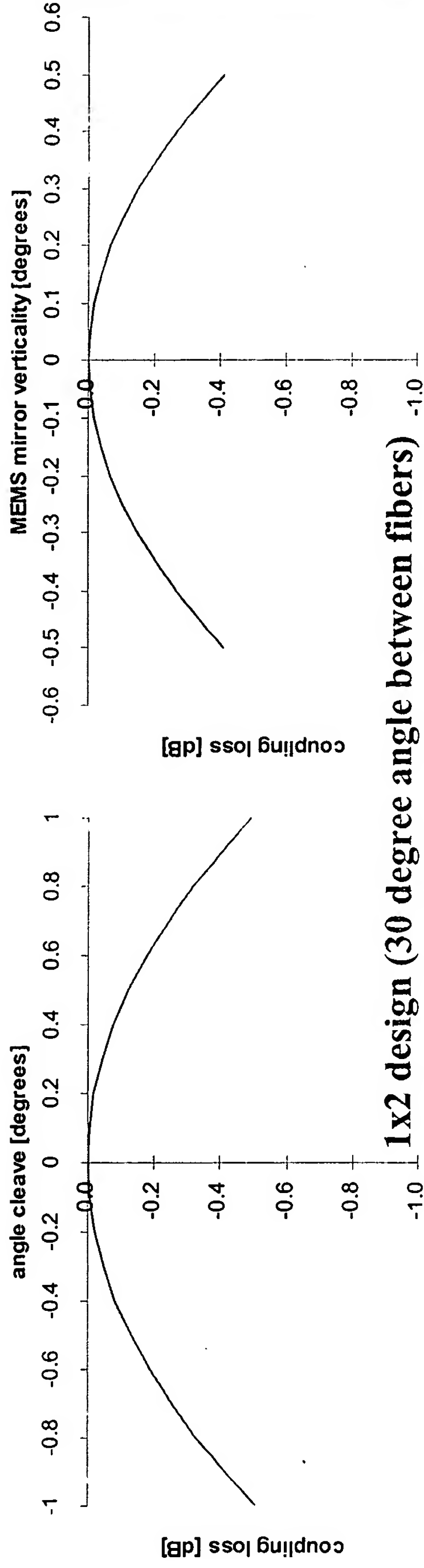
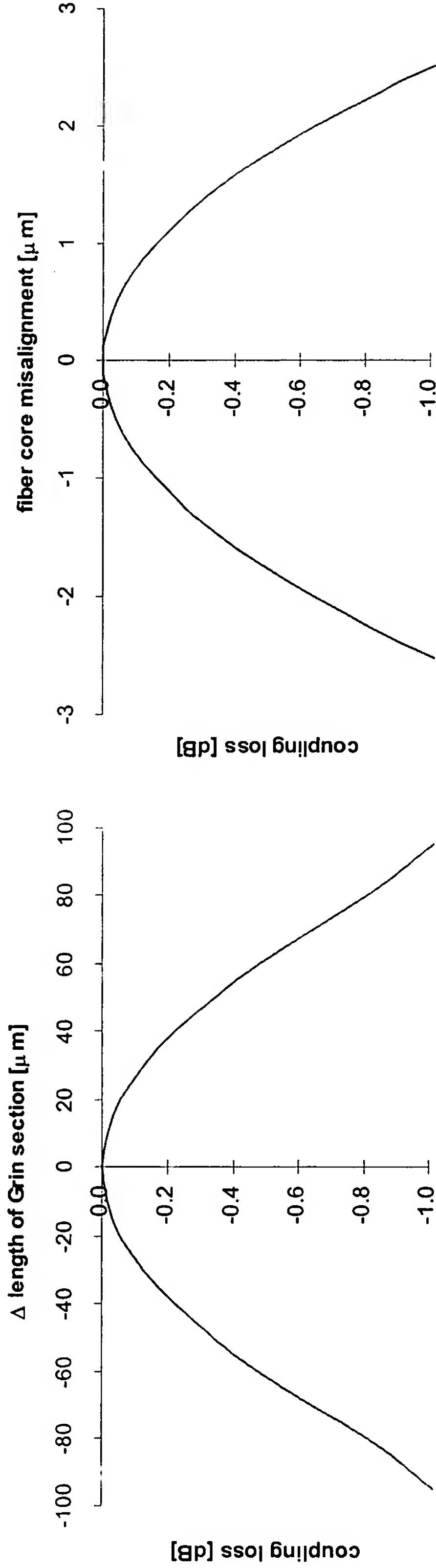
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Fabrication Tolerances

(30 degree angle between fibers) Single mode fiber - custom Grin fiber assembly



1x2 design (30 degree angle between fibers)

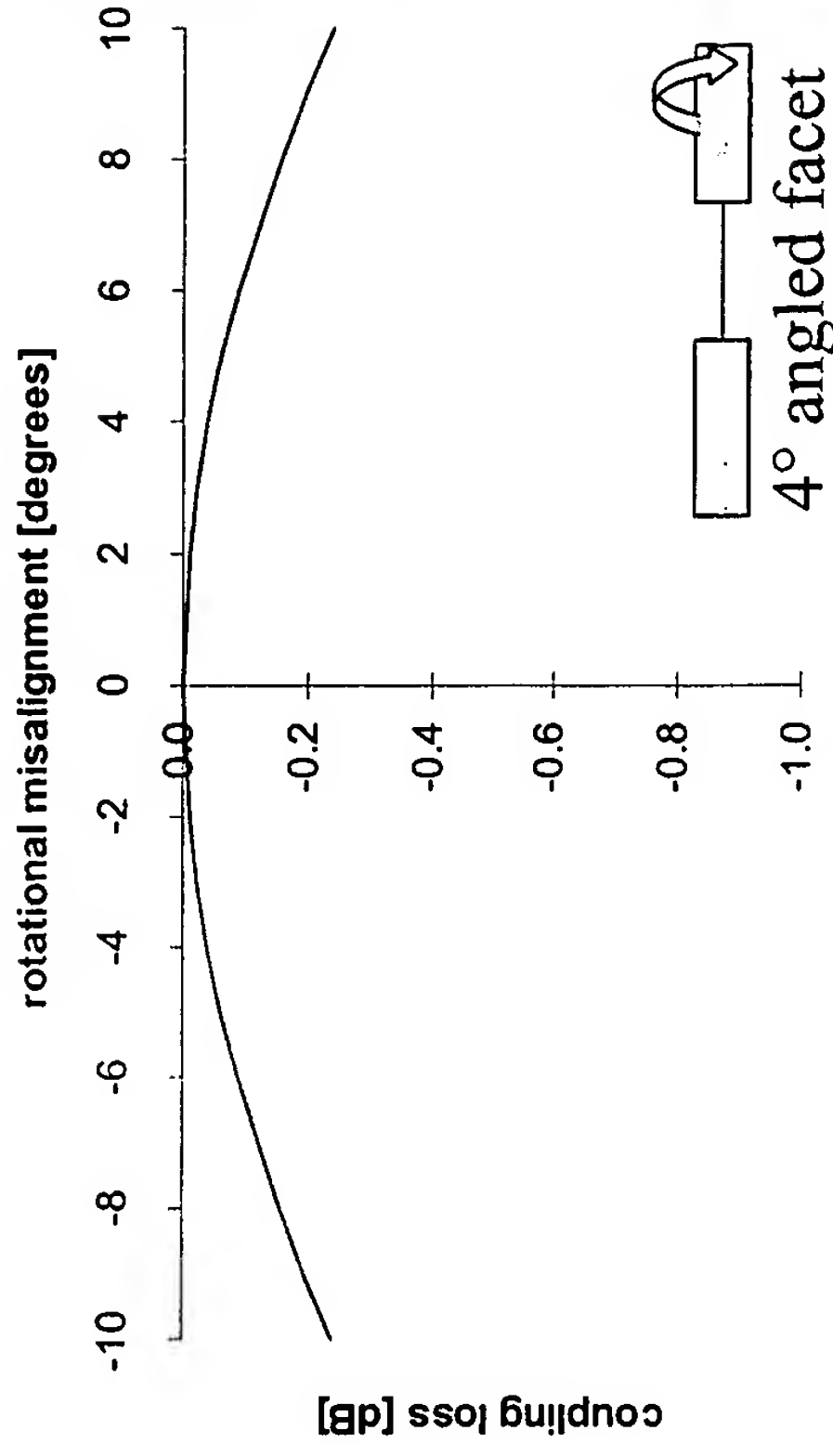
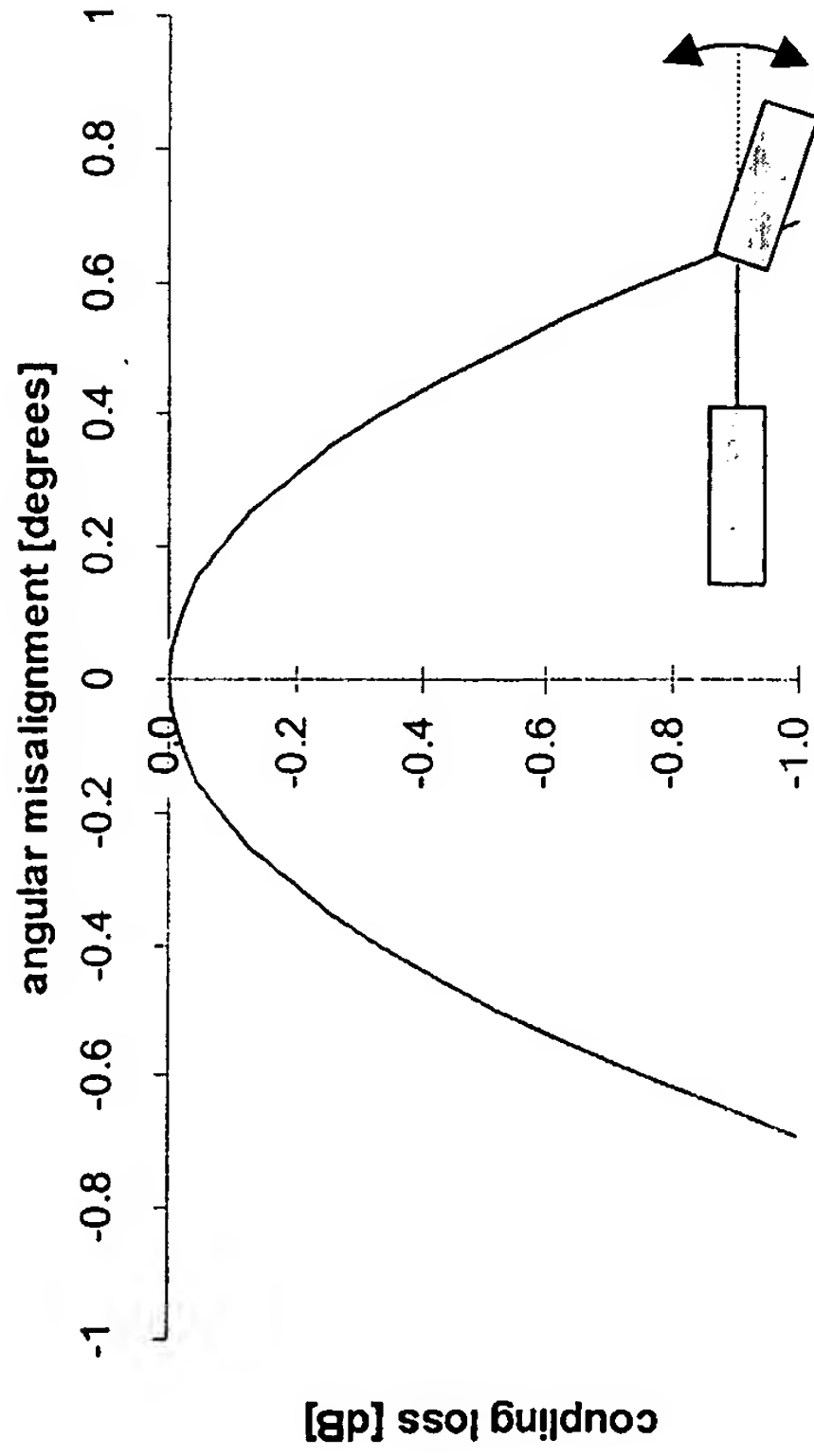
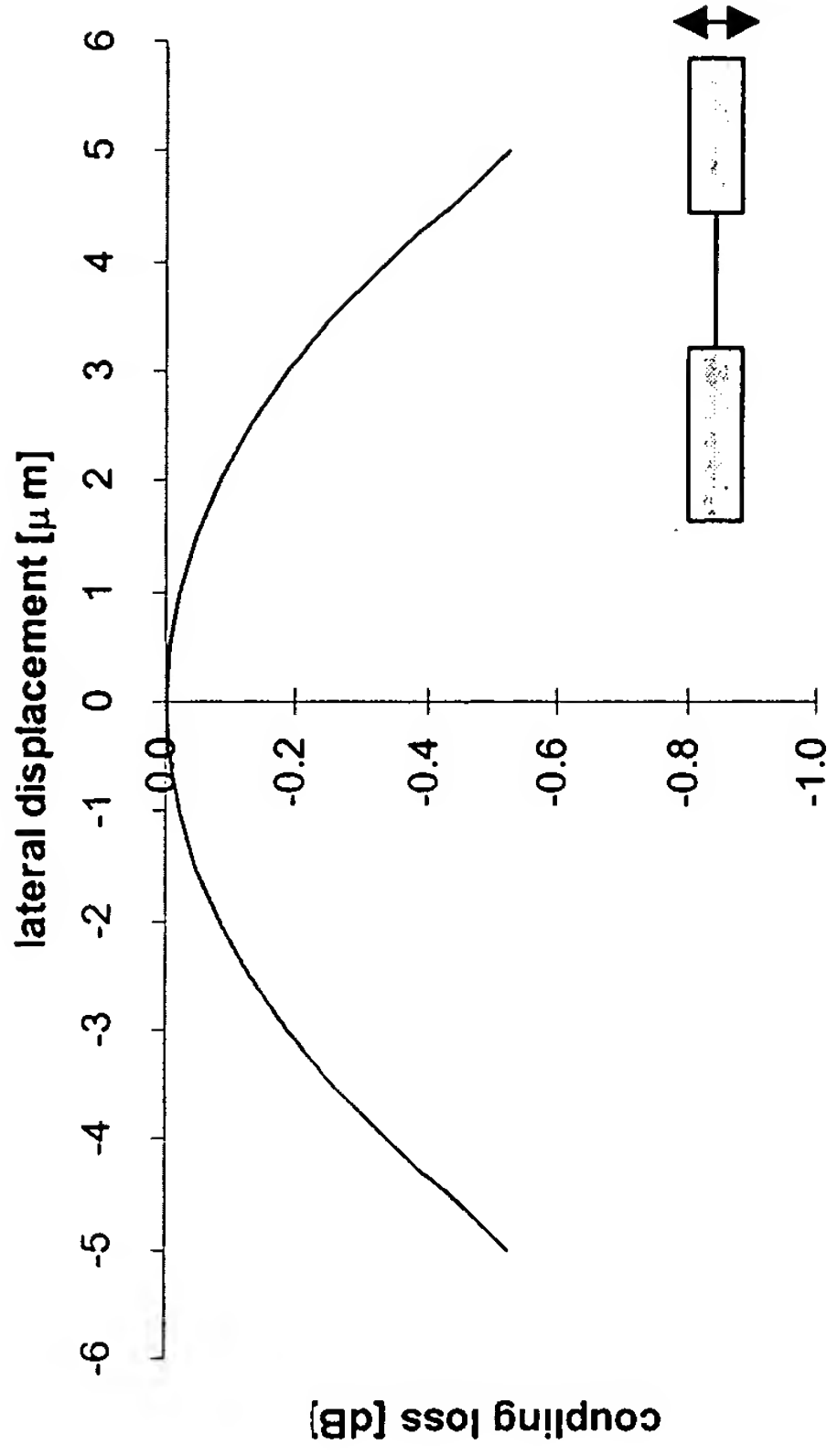
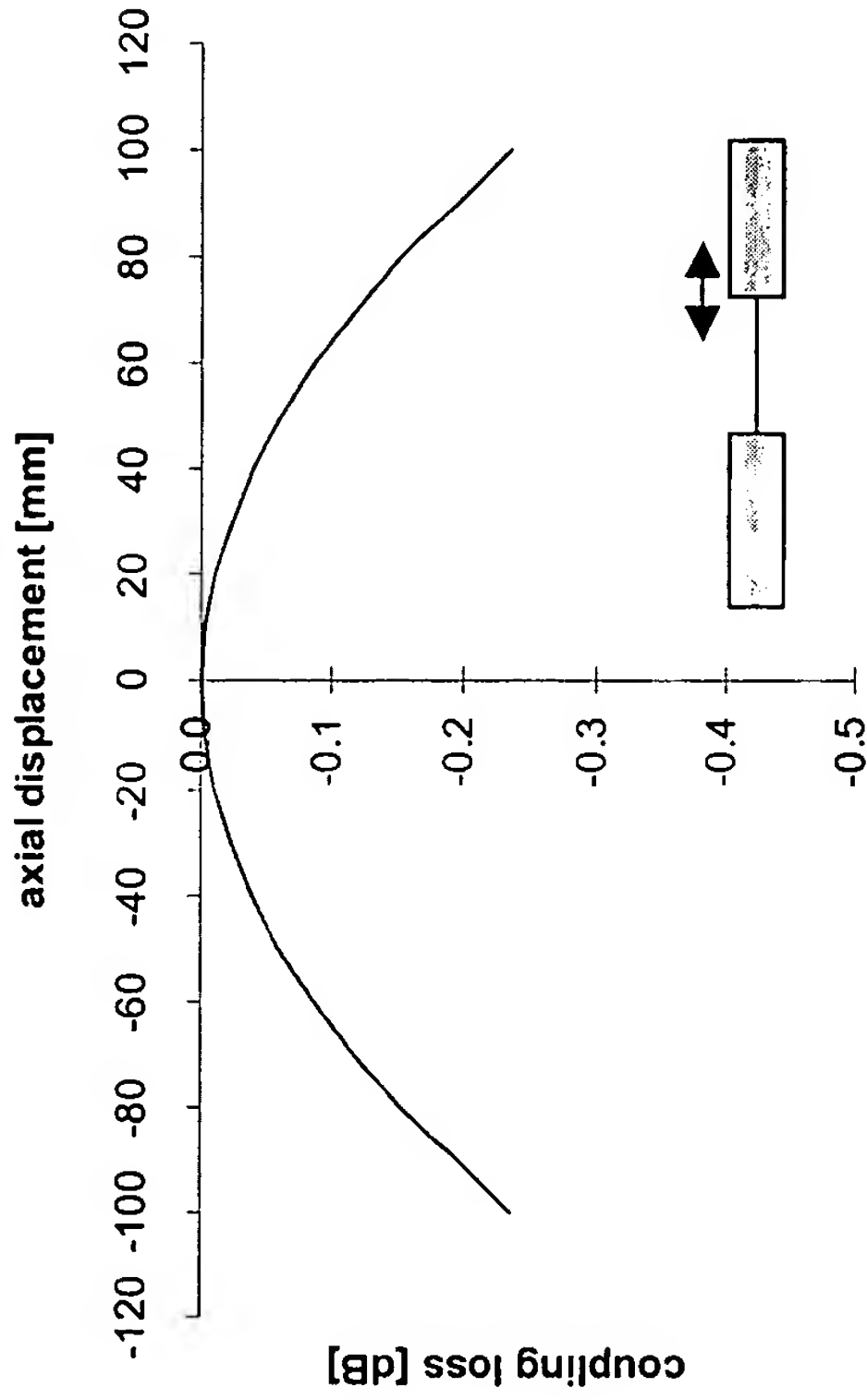
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Alignment Tolerances

(30 degree angle between fibers) Single mode fiber - custom Grin fiber assembly



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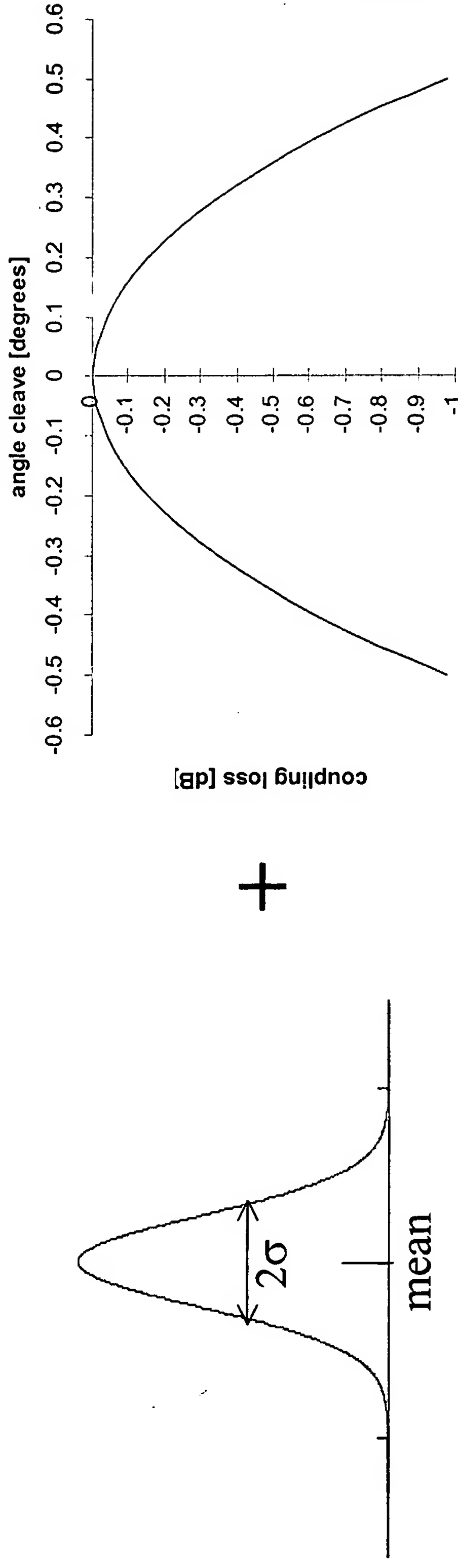
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Loss Budget Calculations

Assumptions:

- 1) Errors (lateral, axial misalignment, mirror verticality,...) are normally distributed
- 2) Errors are independent



⇒ distribution of insertion loss with standard deviations

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Loss Budget

Single mode fiber - custom Grin fiber assembly

Fiber misalignment

fiber misalignment	stdev of insertion loss
- axial misalignment: $\pm 10\text{ }\mu\text{m}$	\Rightarrow 0.007 dB
- lateral misalignment: $\pm 1.4\text{ }\mu\text{m}$	\Rightarrow 0.041 dB
- rotational misalignment: $\pm 2^\circ$	\Rightarrow 0.013 dB

MEMS mirror losses

- gold reflectivity: 97.7%	\Rightarrow	0.103 dB
- mirror roughness (cosine ripple):	\Rightarrow	< 0.001 dB
- mirror verticality: $90^\circ \pm 0.5^\circ$ ($\pm 0.25^\circ$)	\Rightarrow	0.585 dB (0.148 dB)

Optical system losses

- Fresnel reflections: 1% AR-coating	\Rightarrow	0.087 dB
- grin section length: $\pm 20\text{ }\mu\text{m}$	\Rightarrow	0.107 dB
- angle cleaved facet: $\pm 0.3^\circ$	\Rightarrow	0.065 dB
- SMF-Grin fiber core alignment: $\pm 1\text{ }\mu\text{m}(\pm 0.5\text{ }\mu\text{m})$	\Rightarrow	0.227 dB (0.056 dB)

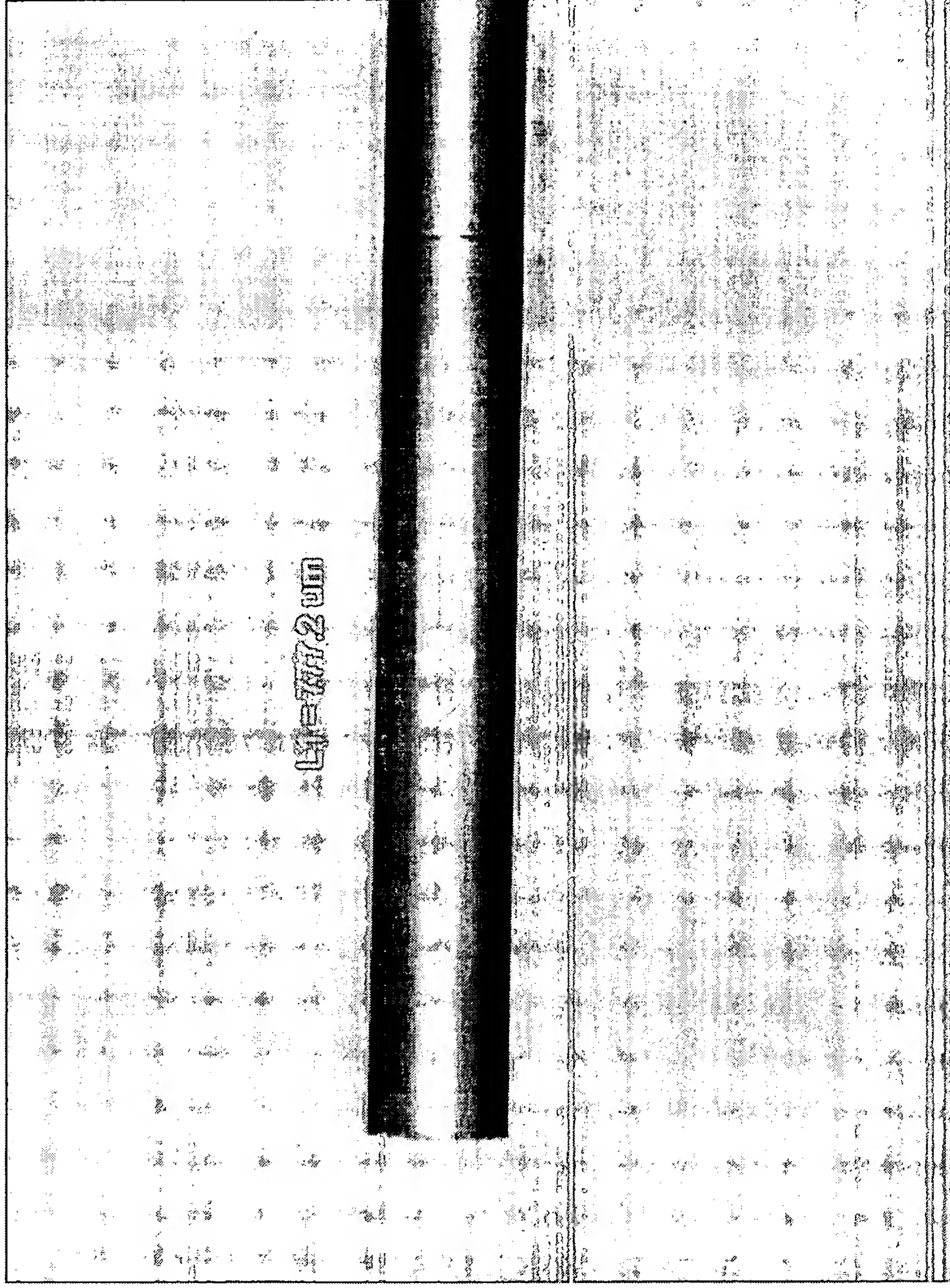
$$\text{Total loss} = \sqrt{\sigma_{\text{axial}}^2 + \sigma_{\text{lateral}}^2 + \sigma_{\text{rotational}}^2 + \dots} + IL_{\text{gold}} + IL_{\text{Fresnel}} = 0.83 \text{ dB (0.4 dB)}$$

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Optics Sub-Assembly



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Optics Sub-Assembly Work Instructions

1. ABOUT THIS DOCUMENT

1.1. PURPOSE

This document identifies the equipment, establishes the process and details the validation of optical sub-assemblies for MEMS 1x2 and 2x2 switch construction.

1.2. SCOPE

This procedure applies to the construction and validation of 250 μm fiber-based optical subassemblies for use in MEMS switches.

1.3. REVISION CONTROL

When any part of this procedure requires amendment, the document shall be re-issued in its entirety. Requests for changes shall be addressed to the MEMS development Design Control Board (DCB).

<u>REV</u>	<u>DATE</u>	<u>REASON</u>	<u>ORIGINATOR</u>
--	05 Oct	Draft	Bob Hallock
--	03 Nov 00	Draft	Leland Black

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Optics Sub-Assembly Work Instructions

1. PROCEDURE

- 1.1. Perform 'Arc Check' with a piece of SMF and CMMF at the beginning of each shift
- 1.2. Cut 6 inch piece of CMMF
- 1.3. Strip 2 inches of buffer from CMMF
- 1.4. Place into fiber holder
- 1.5. Clean CMMF using ultrasonic cleaner
- 1.6. Place #1 spacer block to left of fiber clamp, Ericsson cleaver
- 1.7. Mount fiber holder to left of cleaver (left of spacer block)
- 1.8. Center fiber in guide on right cleaver clamp
- 1.9. Secure right cleaver clamp
- 1.10. Do Not Close left cleaver clamp
- 1.11. Apply tension (lever)
- 1.12. Cleave fiber

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Optics Sub-Assembly Flowchart

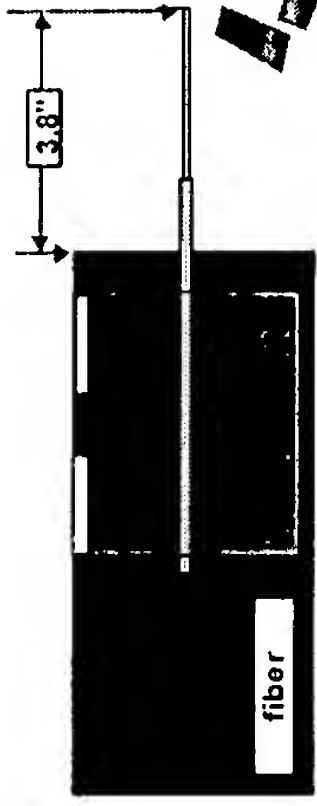
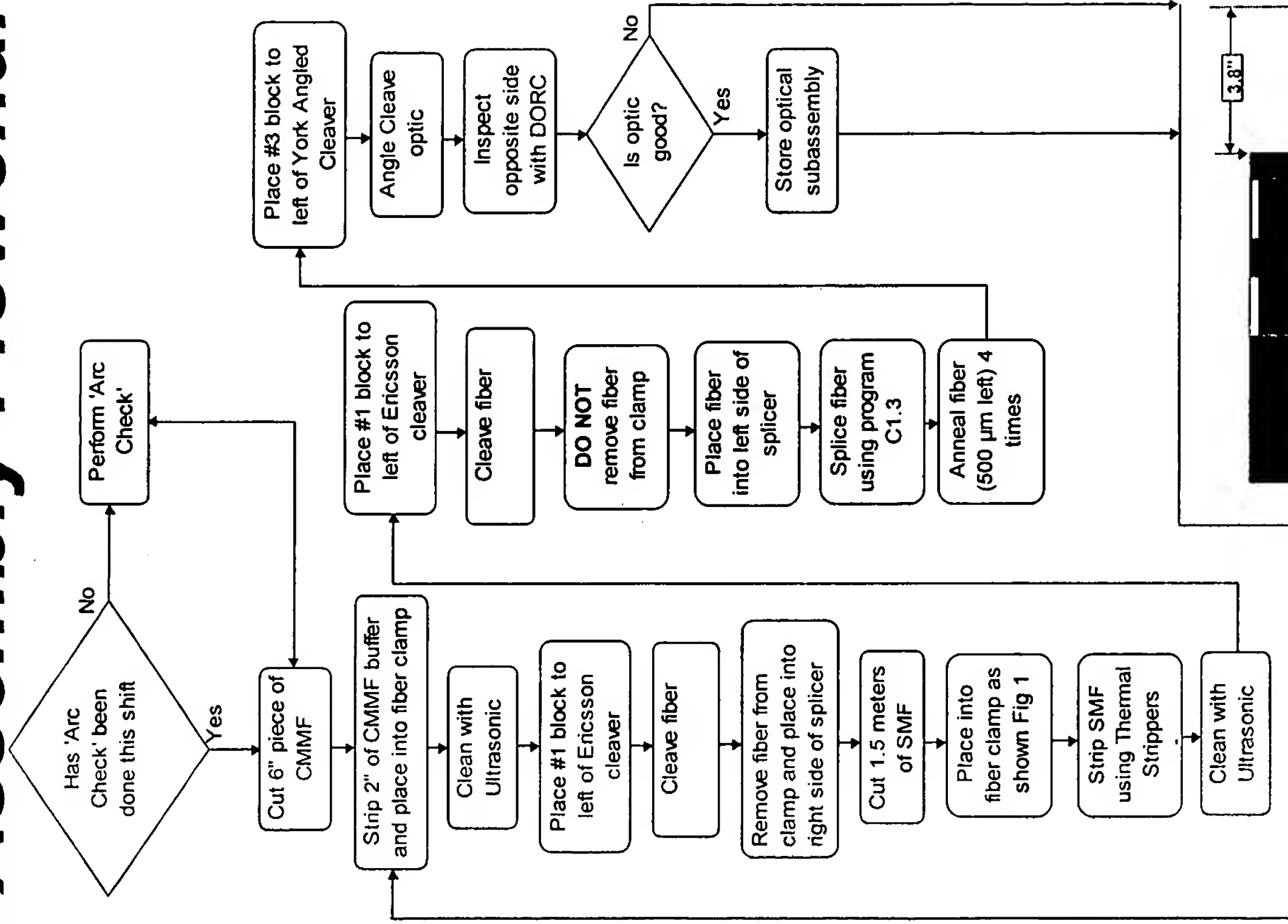


Fig-1

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Optics Sub-Assembly Layout

- Mount fiber in small bobbin; leave two pigtails exposed
- End customer removes the bobbin
- Strip single mode fiber using thermal mechanical strippers
- Cleave fiber precise distance from end of strip. Use ground 416 SS block to locate this cleave.
- Fuse to custom multi mode grin fiber using cladding fiber alignment.
- Index (?) and angle cleave multi mode. Use a second larger 416 SS block to achieve grin length.

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Optics Sub-Assembly Con't

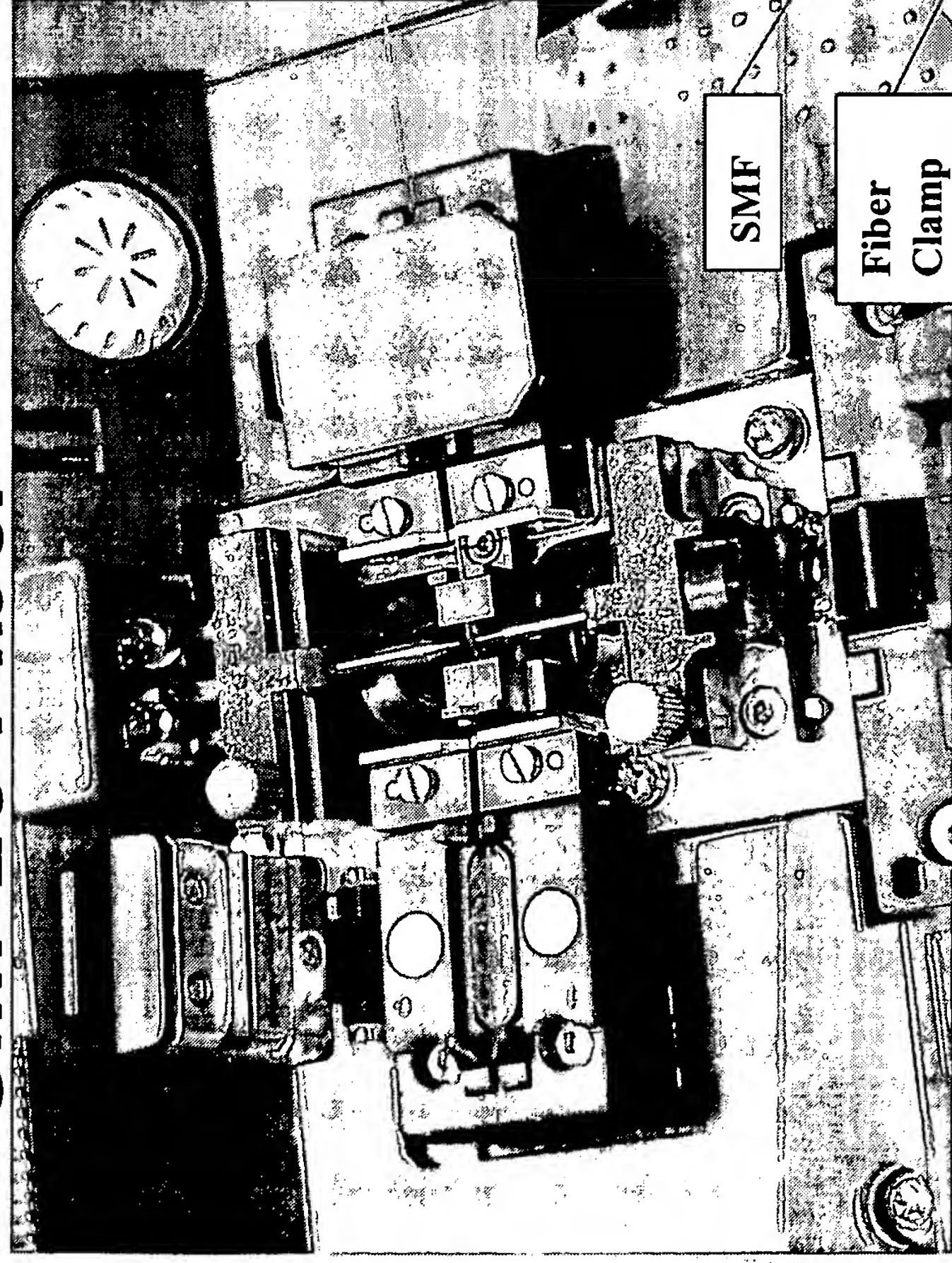
- Test optics with a GO / NO GO test station
- If optics are unacceptable, rework.
- Load fiber into AR coating tooling.
- Coat fibers
- Retest optics
- Store in dry box until assembly into chip.

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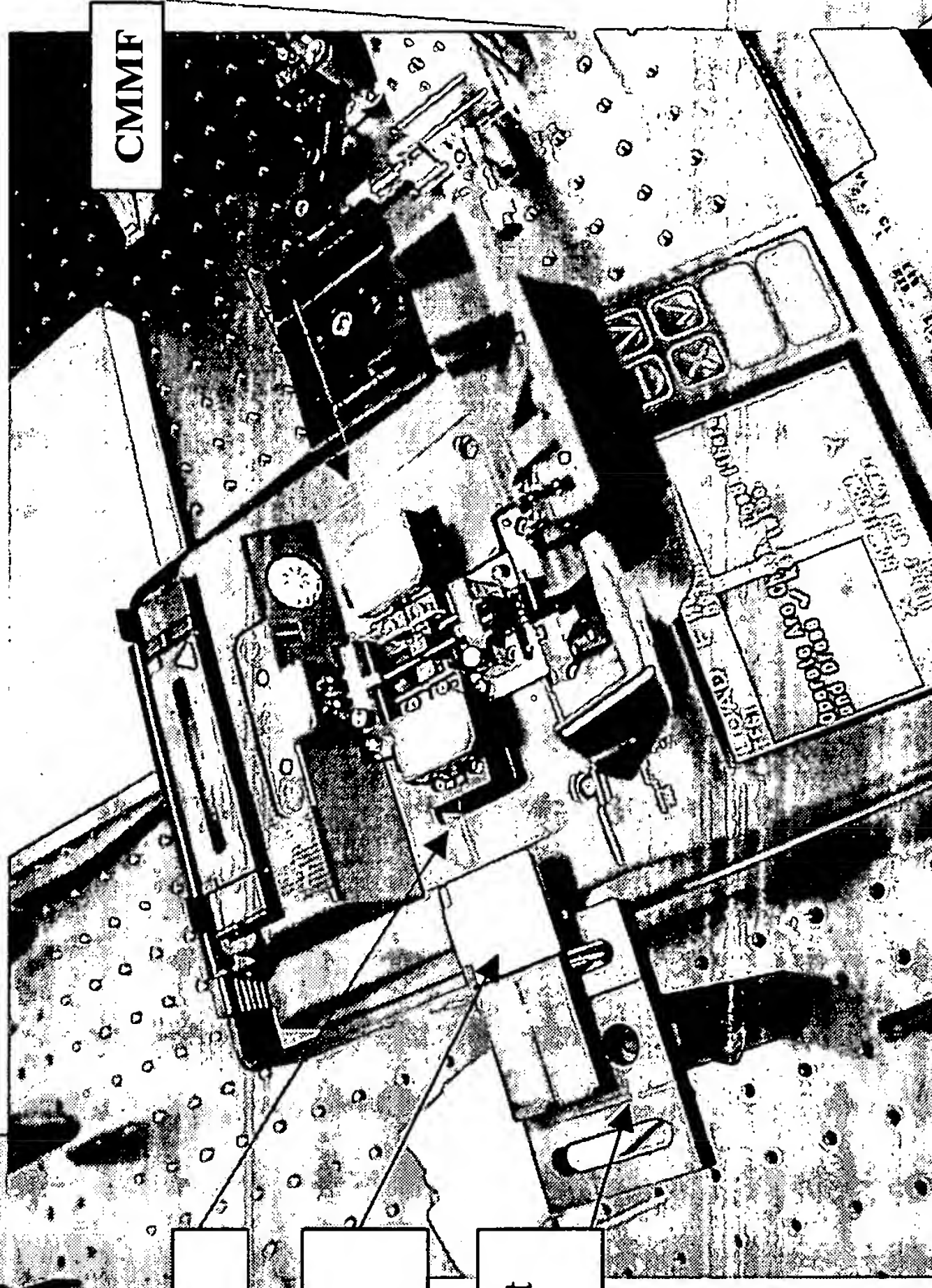
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Fusion Splice Multimode 'Grin' Optic to SMF28 Fiber



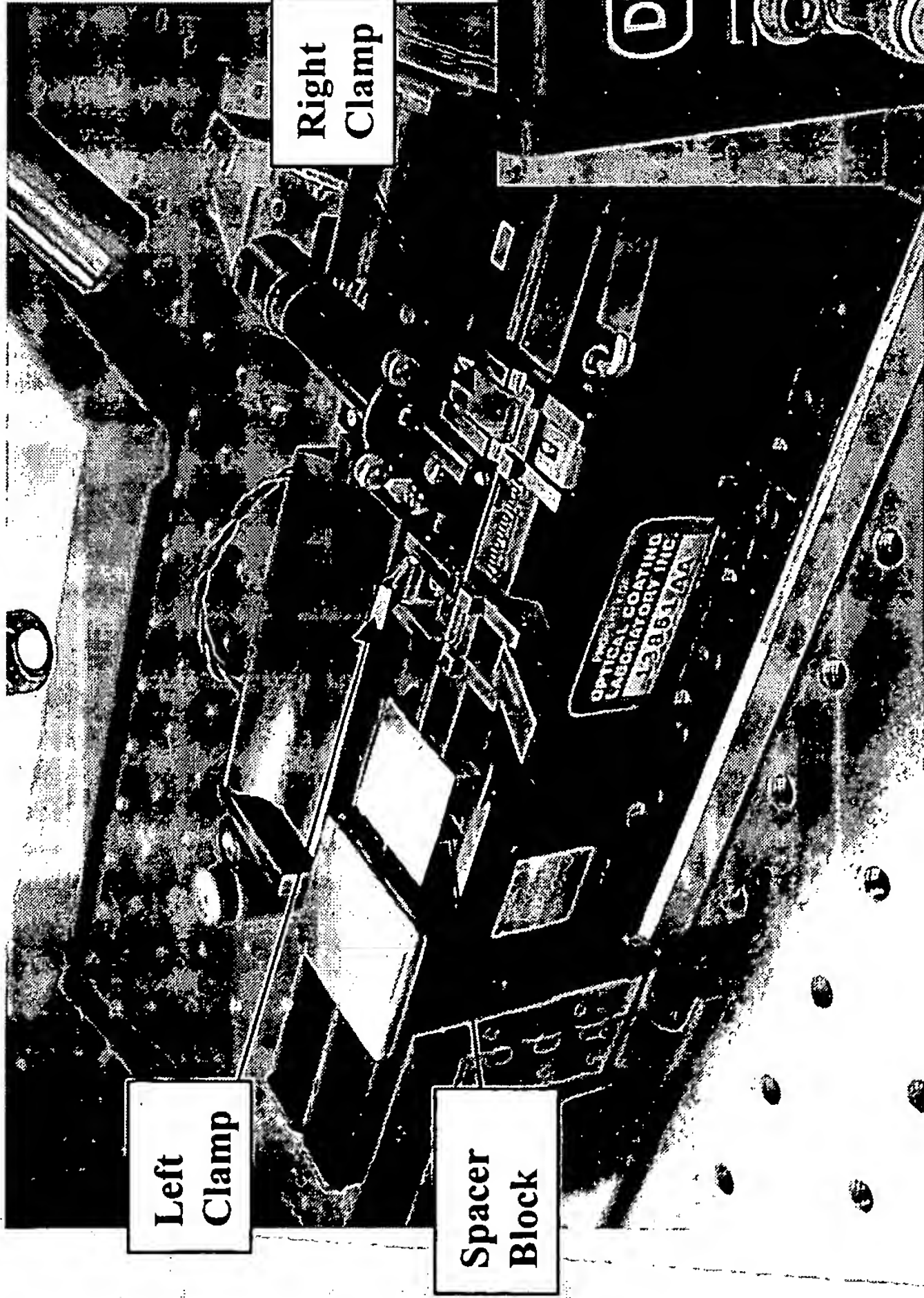
- Cladding alignment between SM and MM fiber.
- Fiber clamp is along for the ride during splice step.



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Optical Assembly Tooling - Fiber Cleave



- Stainless steel spacer block and tension cleaver



- Interferometer instrument to inspect cleaved surface

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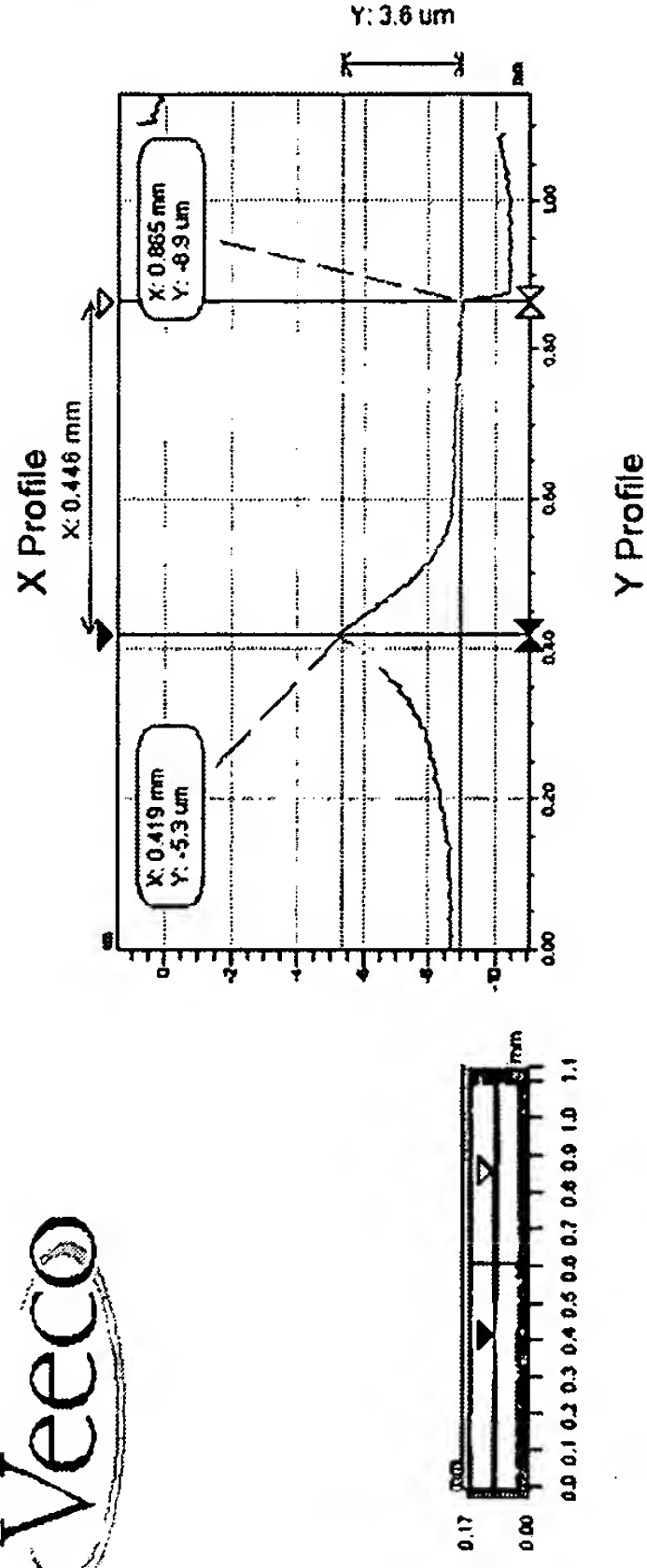
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Optical Sub-Assembly Cycle Times

- 3 minutes per optics including optical inspection.
 - Does not include yield. Currently ~80% for fiber cleave, fiber anneal and length.
- Need to develop optical GO/NO GO test for insertion loss. Currently just optical inspection with DORC.
 - Any yield loss others then those listed above should be systematic errors, not random.
 - Example: core concentricity, 'GRIN' profile change.

Splice bump



- HighWave Gradissimo purchased fibers. Would not fit into fiber trenches due to bump at splice location.

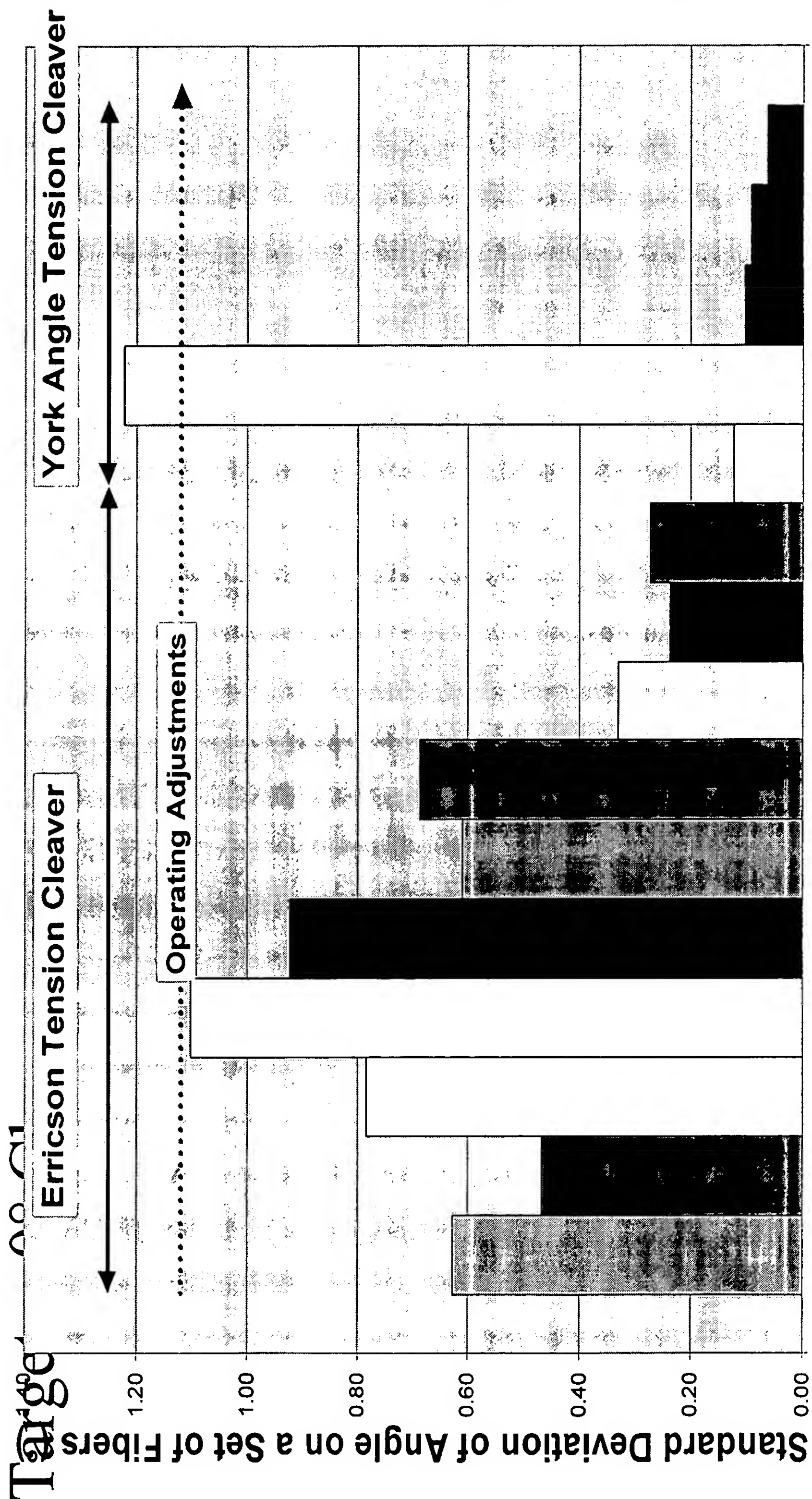
- Initial internally manufactured optics exhibited similar problem.
- Current solution: during fusion arc 'pull' on fibers to reduce bump.
- Future addition: add relief area to sides and bottom of fiber trench to assure there is no contact with splice joint.

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Cleaver Comparison



Standard 'Off the Shelf' 50 μ m Multimode GRIN Fiber

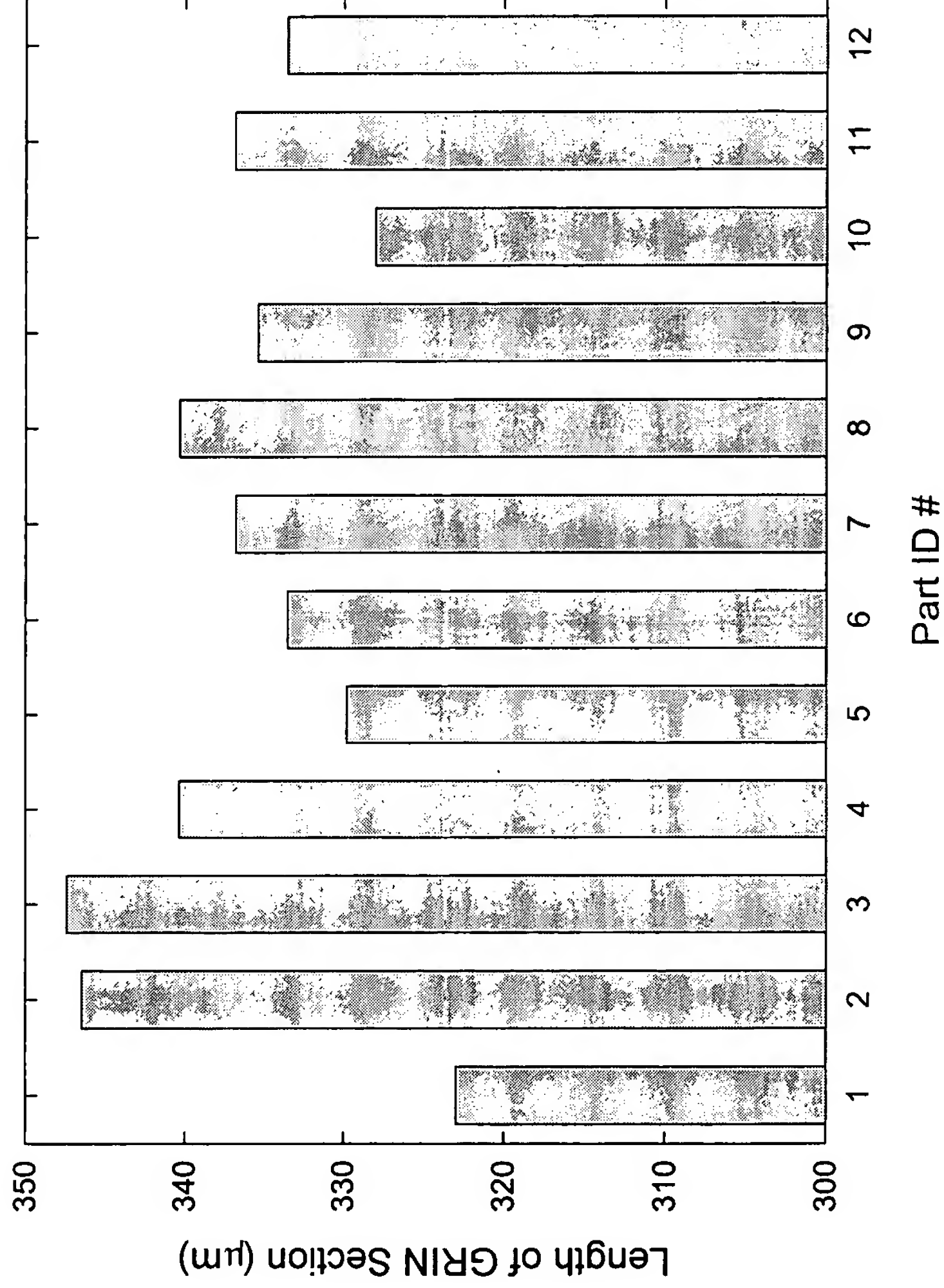
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Standard MM 'Grin' Fiber Cleave Length

SMF-MMF GRIN Length



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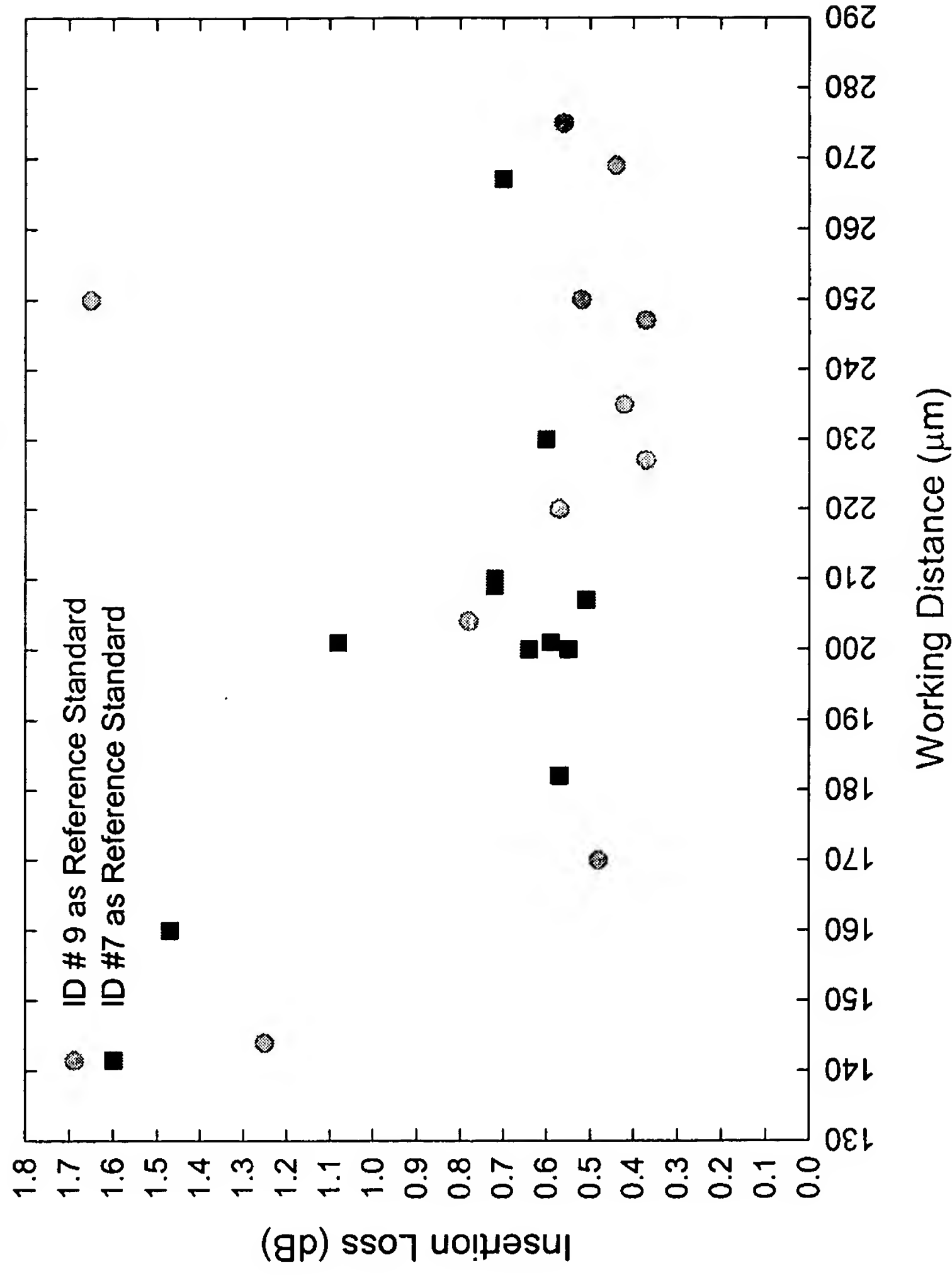


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Insertion Loss - Free Space Transmission - Standard MM Fiber

- No AR coating
on these optics

SMF-MMF GRIN Optics
Insertion Loss vs Working Distance



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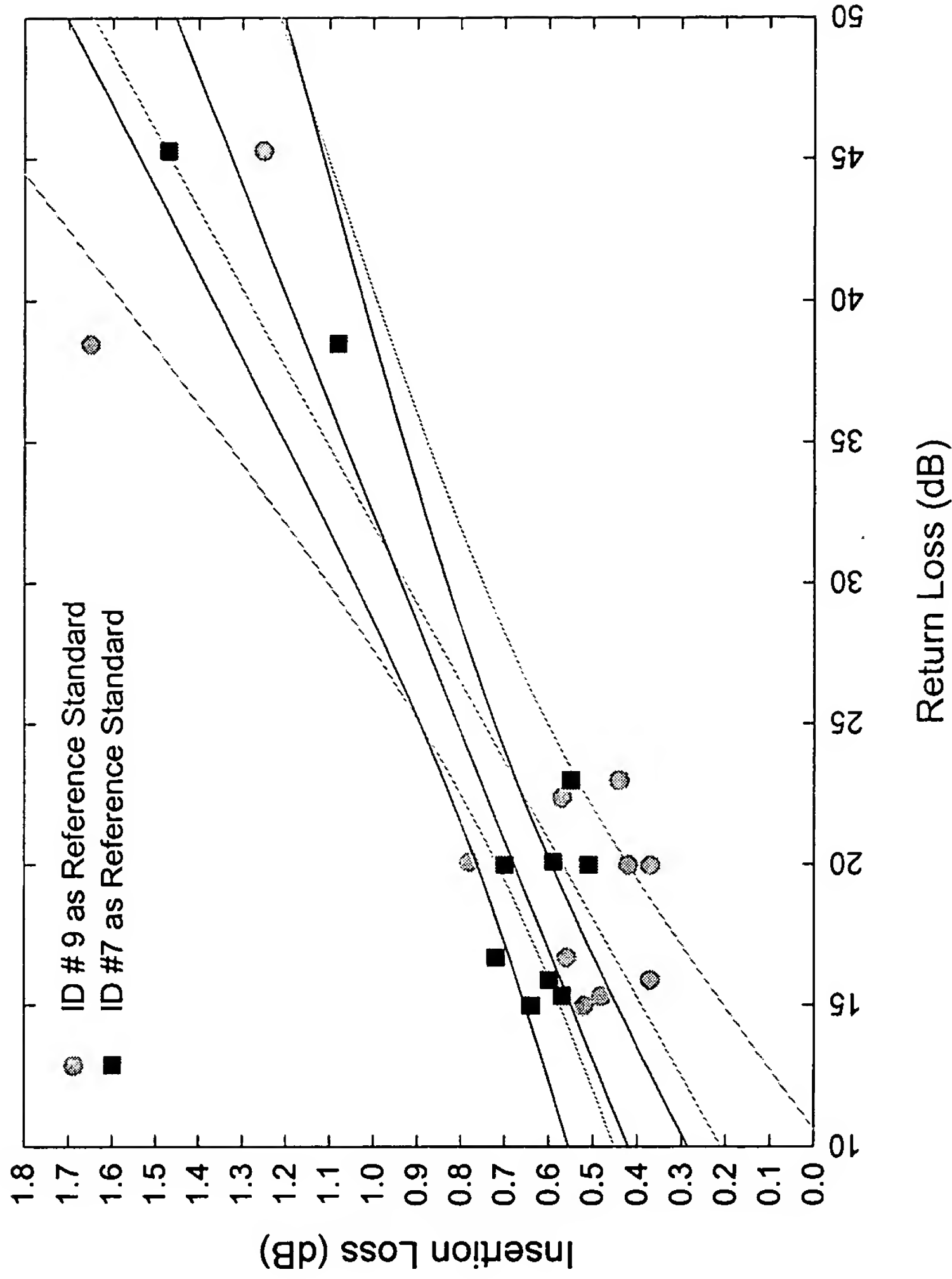


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Standard MM Fiber Return Loss

- No AR coating on these optics

SMF-MMF GRIN Optics
Insertion Loss vs Return Loss



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AR Coating

- Initial tooling near complete.
- Cycle time 1.5 to 2 hours, coating chamber only (no loading).
- 78 optical assemblies per set of tooling.
- 4 or 6 tooling units capable per coating run.
- 10 sec per part optical assembly loading time estimation. ~ 1 hour to load complete coating chamber.

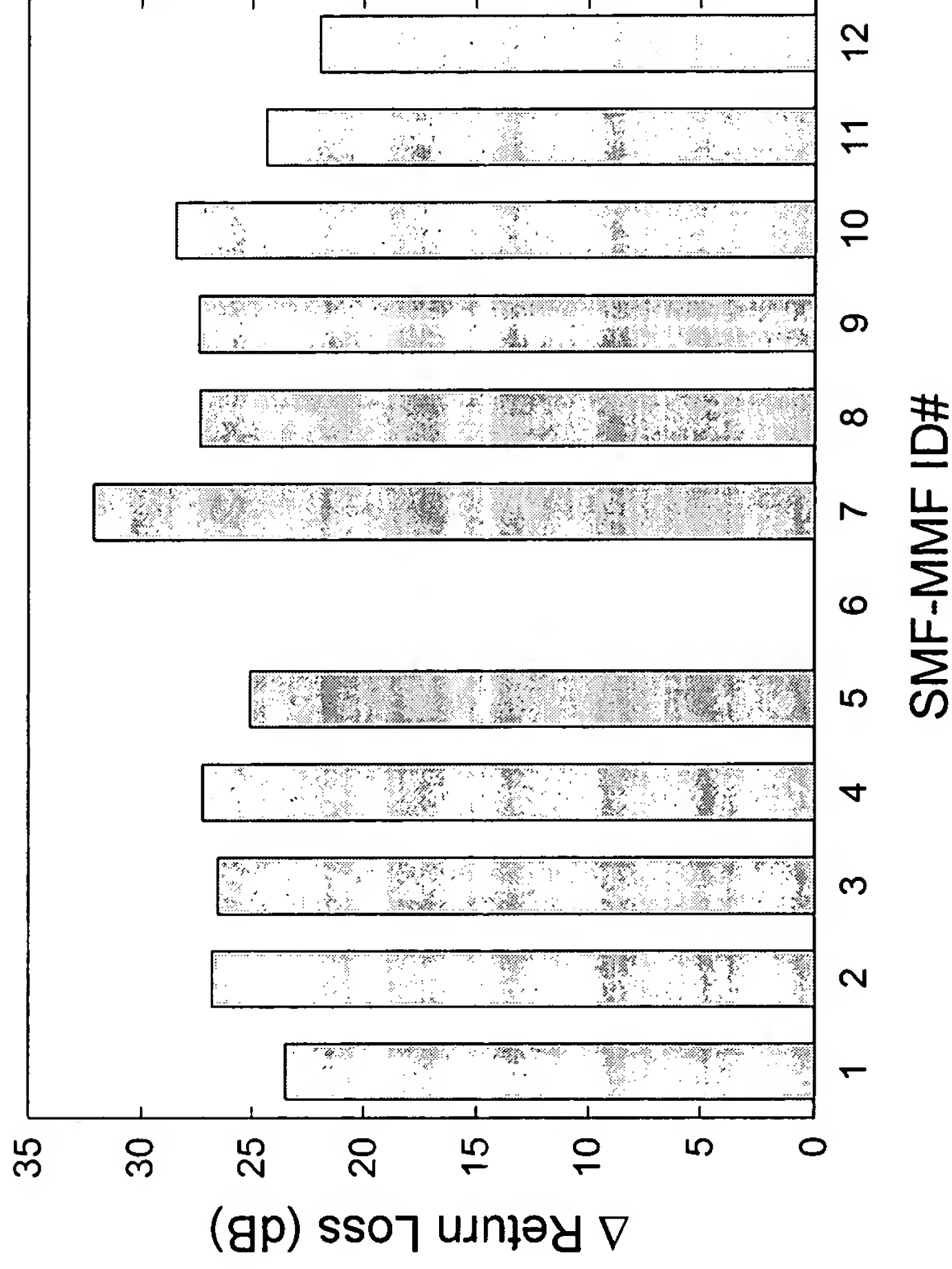
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Return Loss After AR Coating

Before and After AR Coating
 Δ Return Loss SMF-MMF MEMS Optics



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Temperature Dependant Insertion Loss

- Standard MM Fiber

Chip ID	Delta Insertion Loss Between -5°C and 70°C (dB)		
020-E1	-0.10		
025-E1	-0.28		
J10-E1	-1.68		
K20-E1	-0.06		
P15-F1	-0.03		
K15-F1	-0.03		
J15-F1	-0.23		
P20-F1	-0.06		
E10-E1	-0.03		
SW1	-0.06		
Average	-0.26	dB / °C	0.003
Average w/o J10-E1	-0.10	dB / °C	0.001

- Initial testing indicates a temperature stable design.
- Additional work to be performed to understand outlying points

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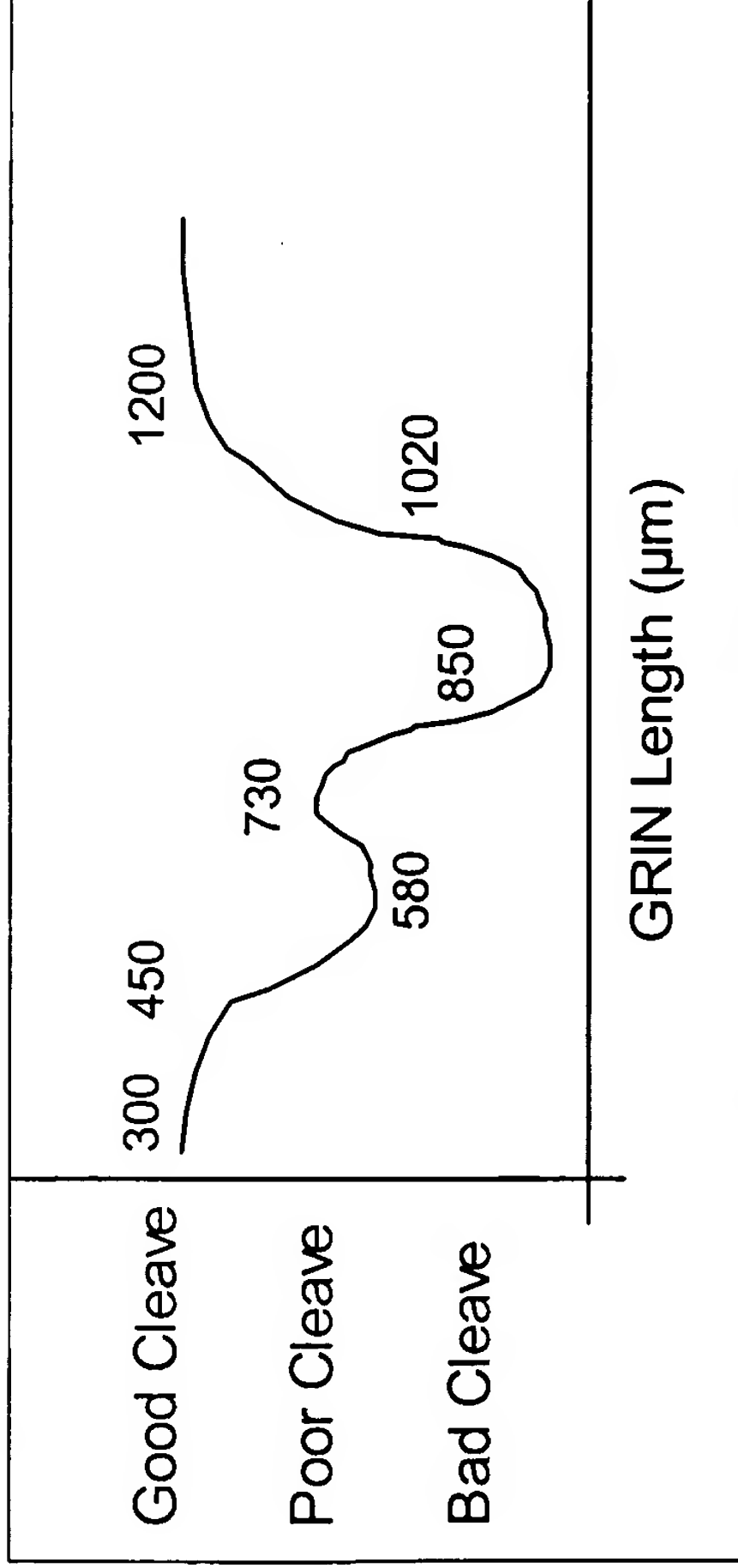
Custom 80μm Multimode GRIN Fiber

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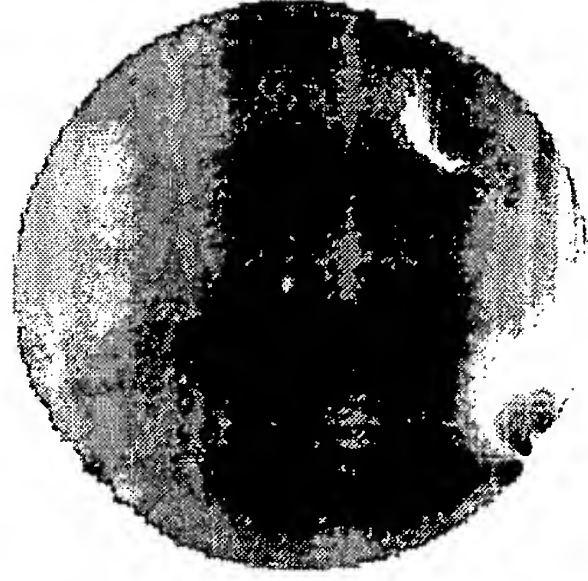
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Straight Cleaving Difficulties - CMMF

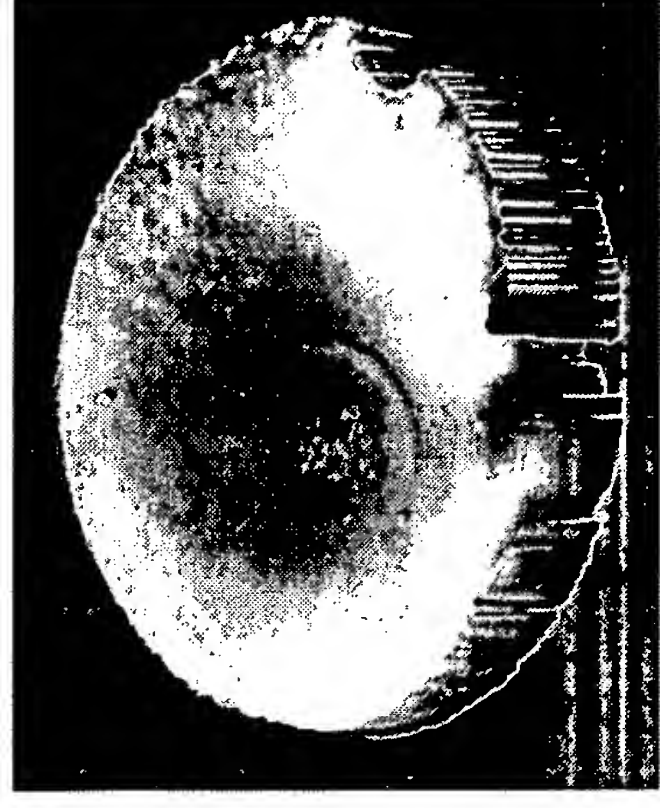
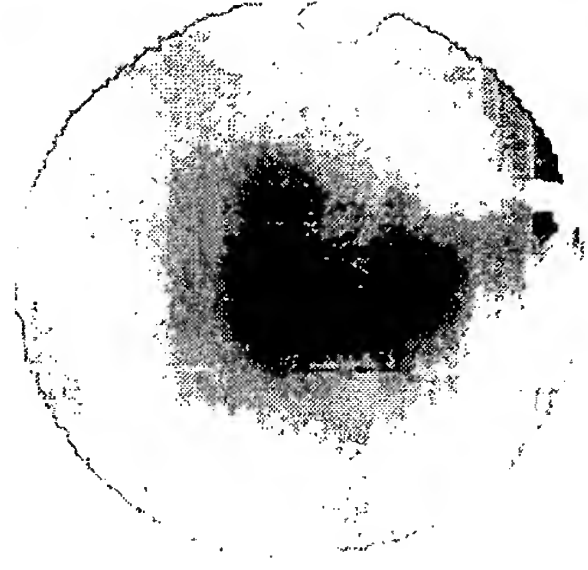


- Qualitative picture of difficulty with cleave. Required 'GRIN' length = ~800μm.

- Example of Good Cleave:



- Example of Bad Cleave:

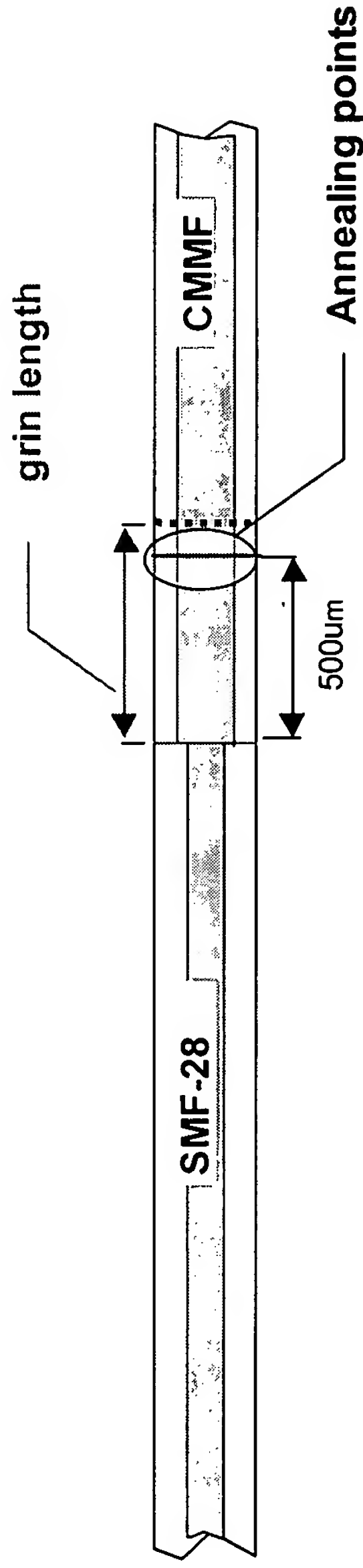


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Annealed CMMF Optics



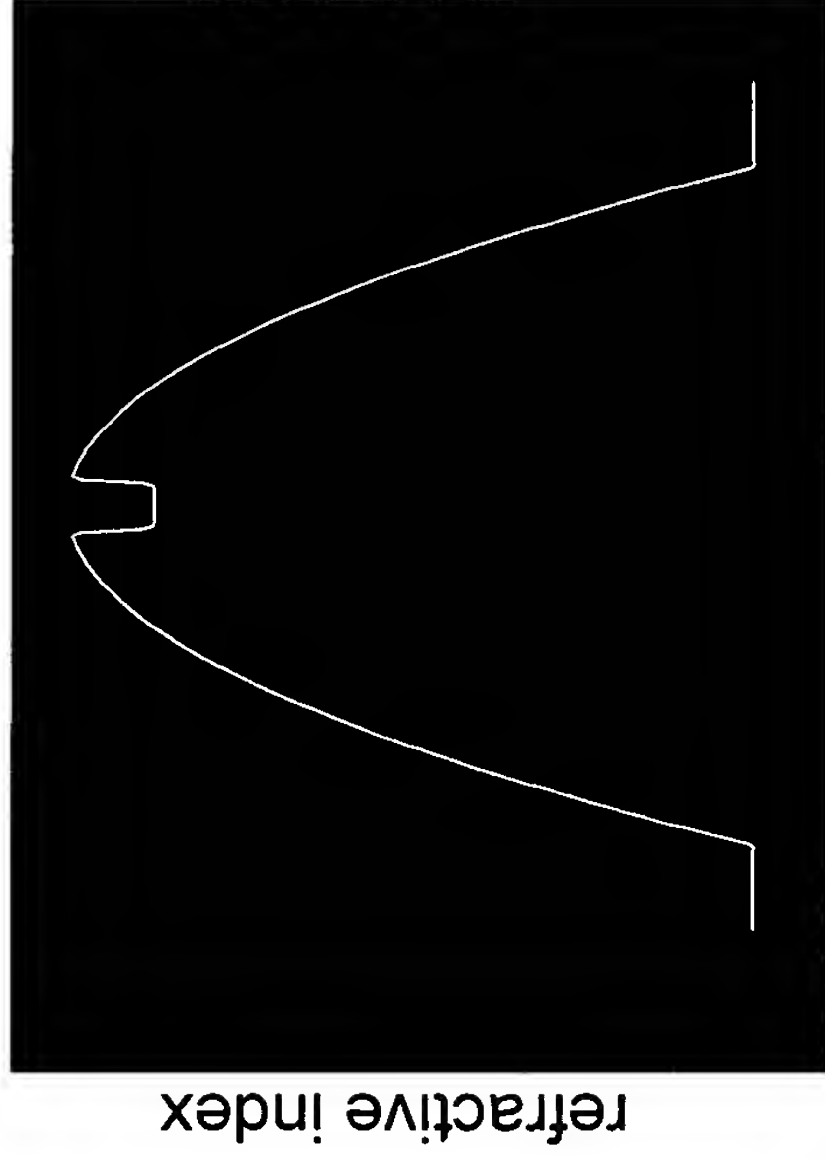
- In order to achieve flat, smooth cleave surfaces, we had to anneal the CMMF in the area of the 'GRIN' cleave.
- Fusion splicer program setup for 4 'cleaning arcs' to be used as the anneal energy.
- 500 μm is the maximum single input movement for the fusion splicer.
 - To anneal closer to cleave length would require additional operator inputs.

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Modeling of CMMF with center dip



Refractive index profile

refractive index dip: 6 μm diameter

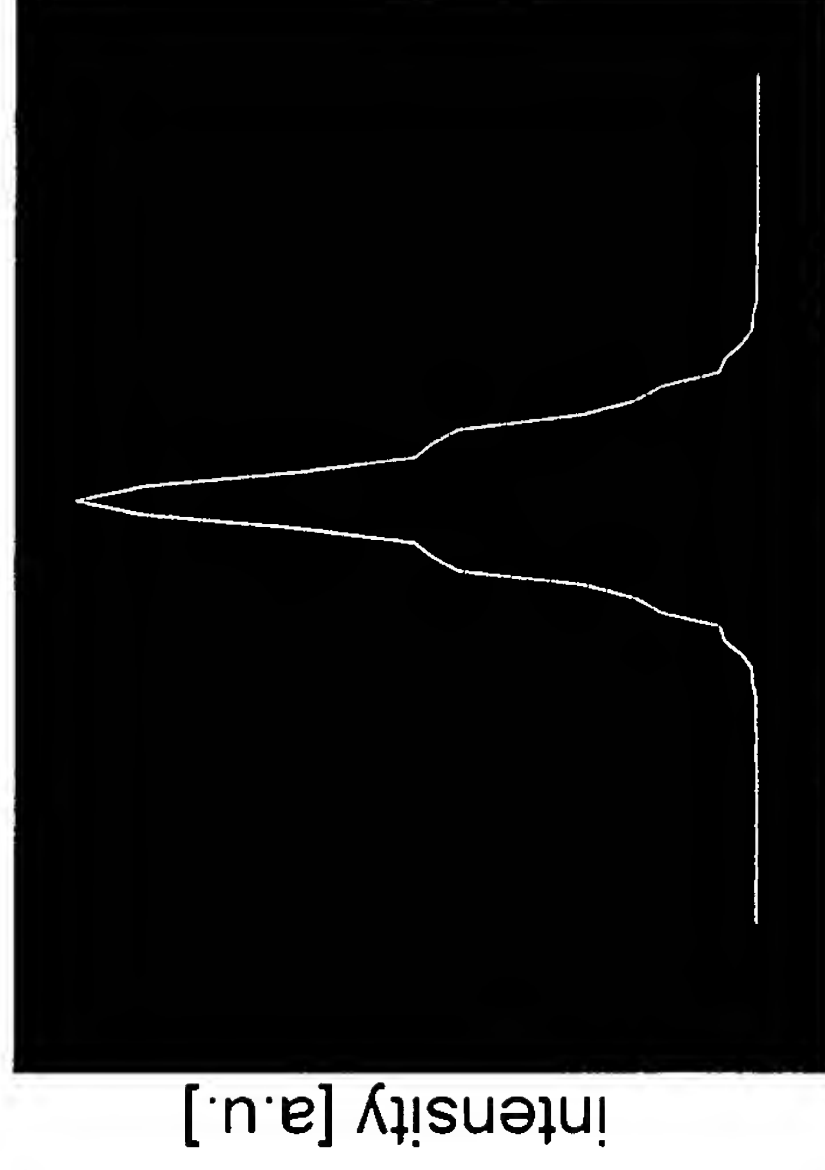
$$\Delta n = -0.0011$$

best working distance: ideal WD + 60 μm

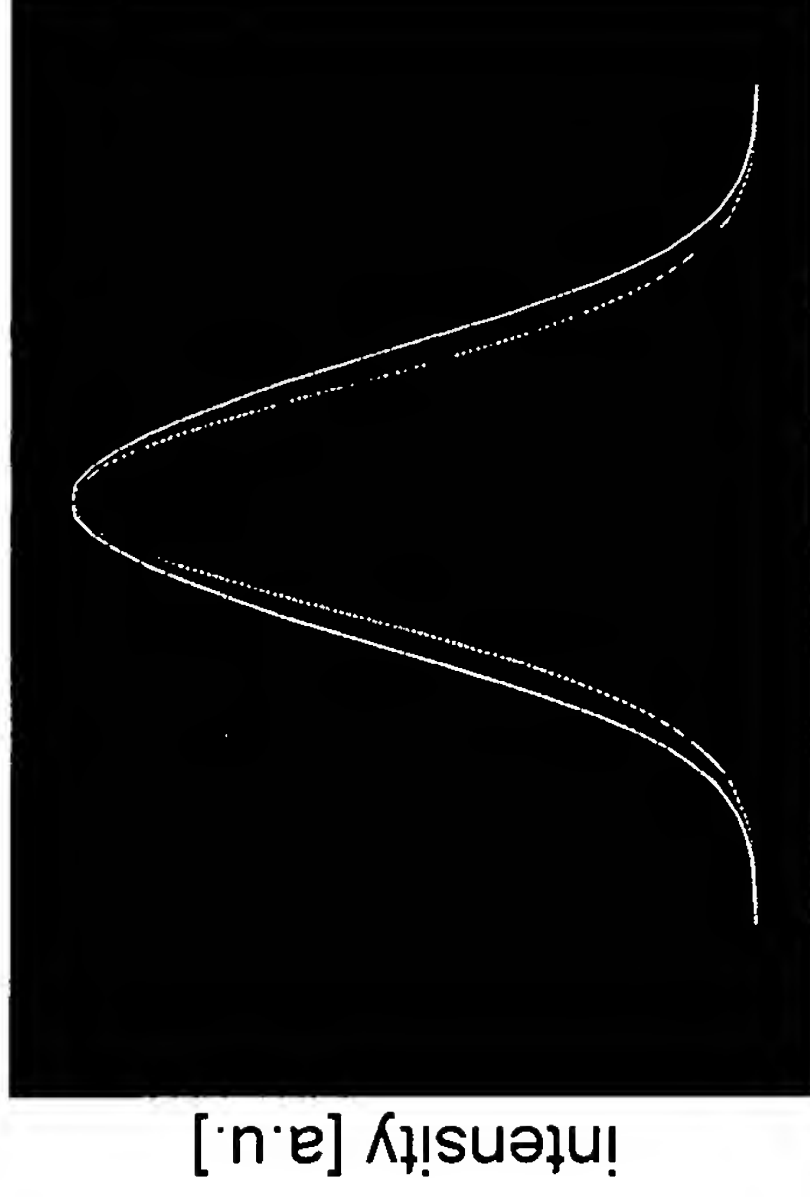
insertion loss:

- ideal infinite Grin profile: -0 dB
- with 80 μm core diameter: -0.038 dB
- with center dip: -0.226 dB

⇒ additional insertion loss: 0.188 dB
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Far-field intensity distribution



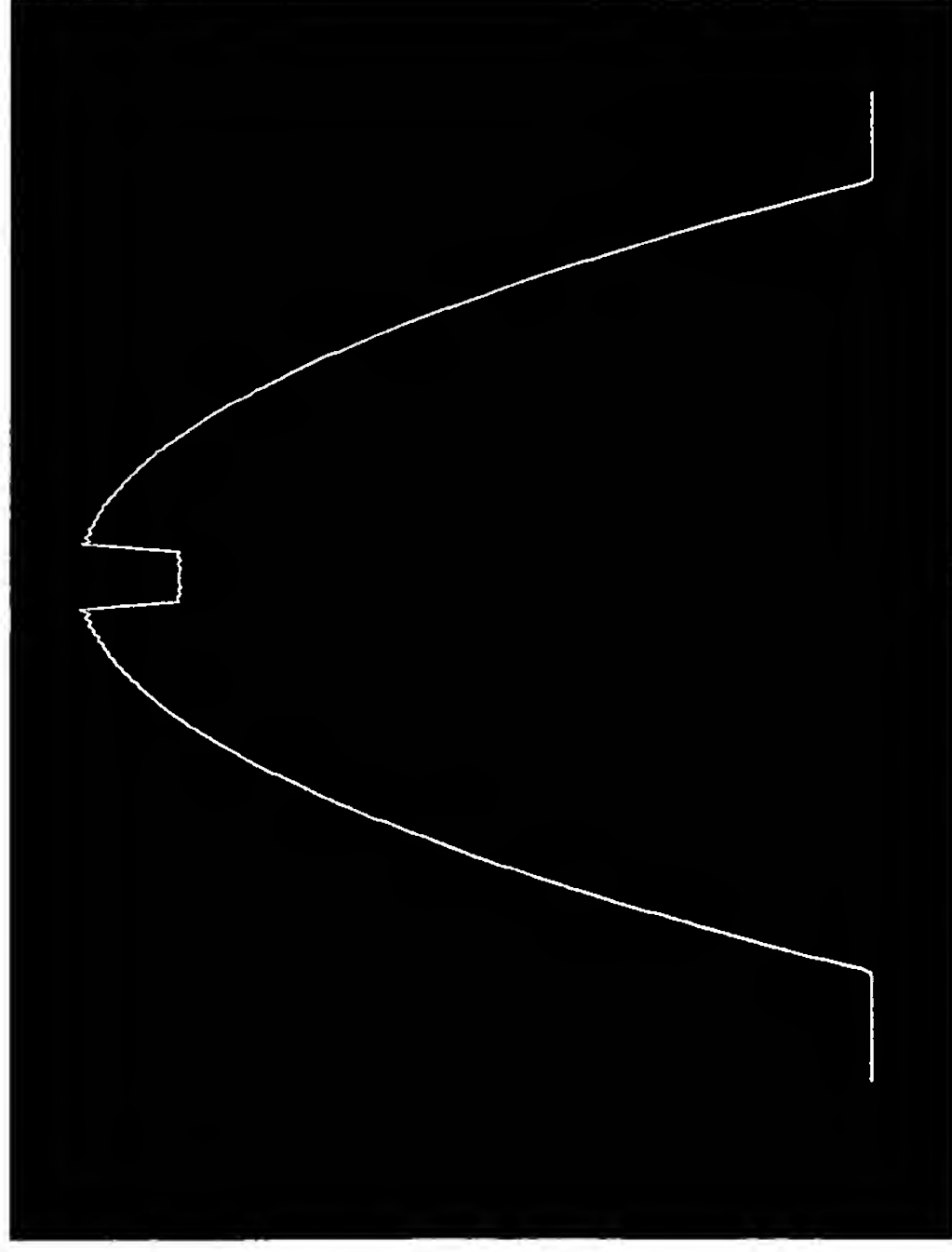
Mode profile at receiving SMF

— ideal — with index dip

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Modeling of CMMF with center dip

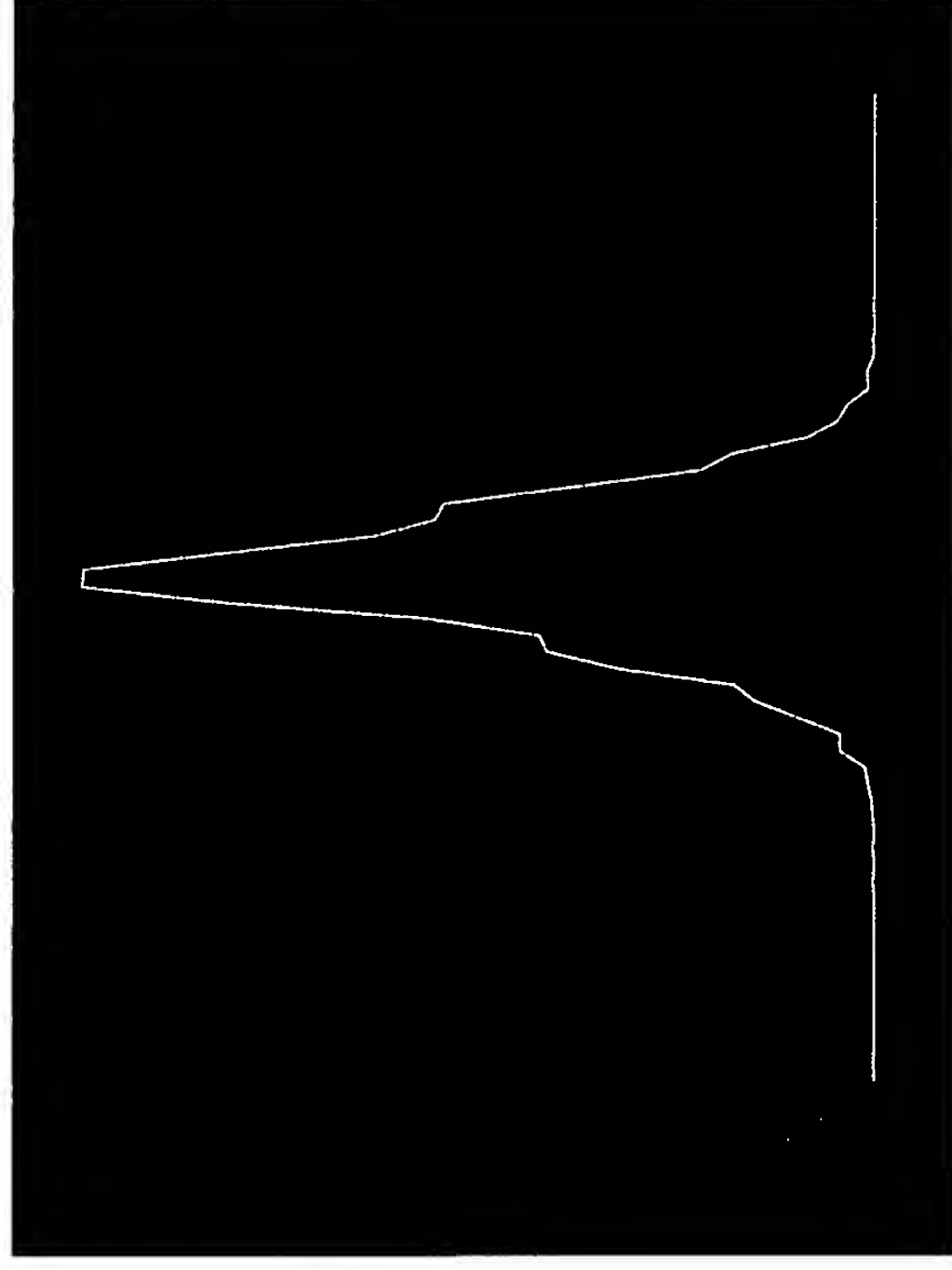


Refractive index profile

refractive index dip: 6 μm diameter

$$\Delta n = -0.0011$$

refractive index profile shifted by 1 μm off center



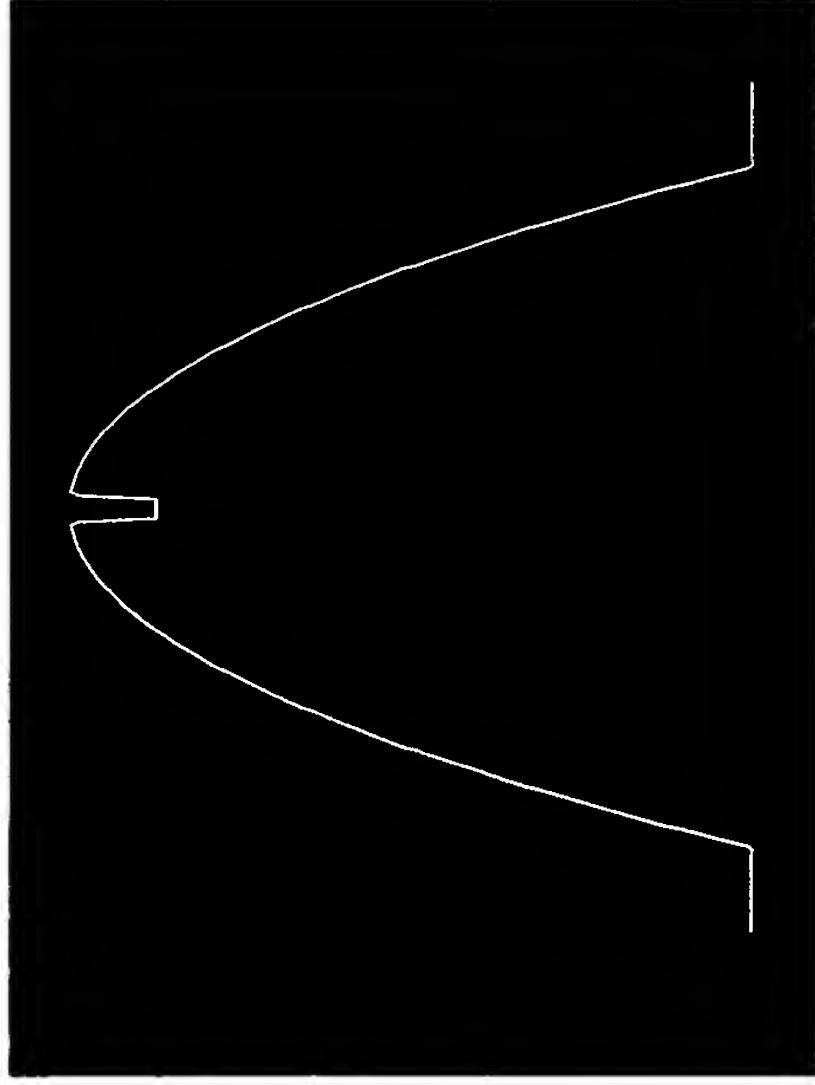
Far-field intensity distribution

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Modeling of CMMF with center dip

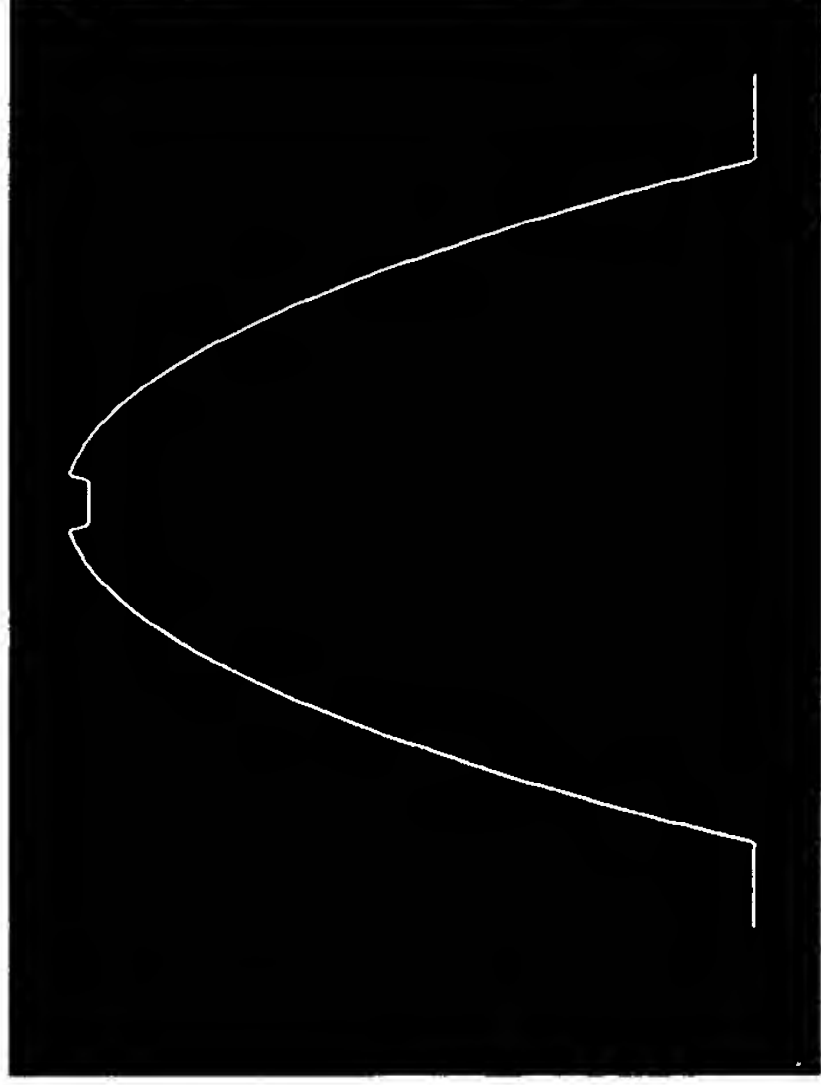


Refractive index profile

refractive index dip: 3 μm diameter
 $\Delta n = -0.0011$

best working distance: ideal WD + 16 μm

⇒ additional insertion loss: < 0.045 dB



Refractive index profile

refractive index dip: 6 μm diameter
 $\Delta n = -0.0004$

best working distance: ideal WD + 24 μm

⇒ additional insertion loss: < 0.05dB

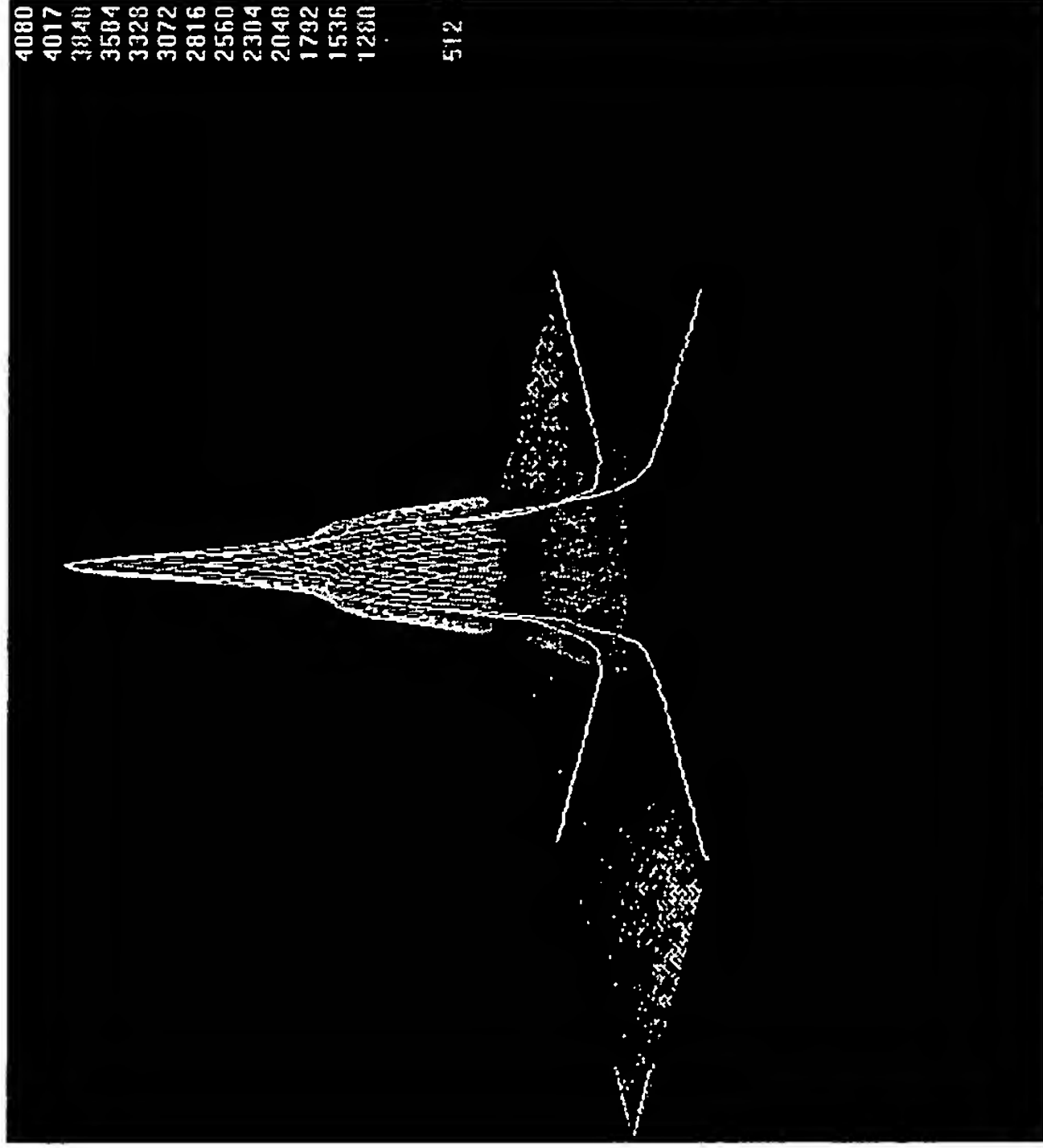
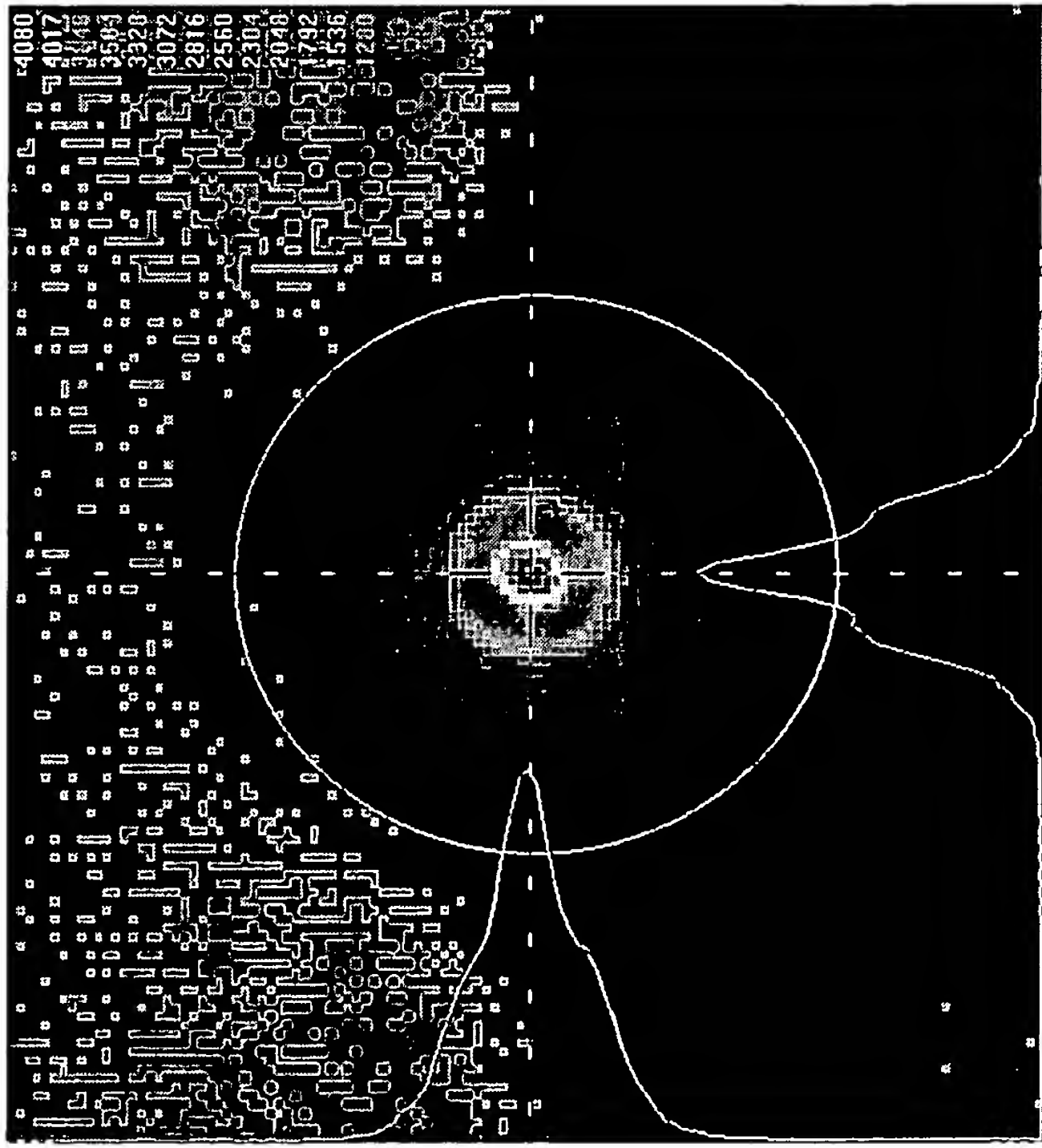
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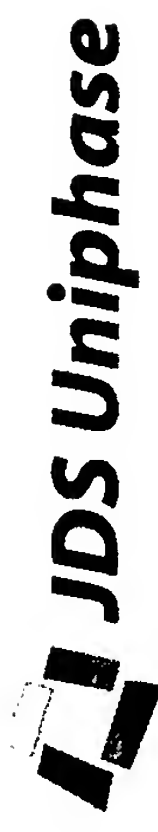
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CMMF-SMF 3/4 Pitch Optic

Beam MFD at D_1 Spot Size = $15.39 \mu\text{m} \pm 1.52 \mu\text{m}$



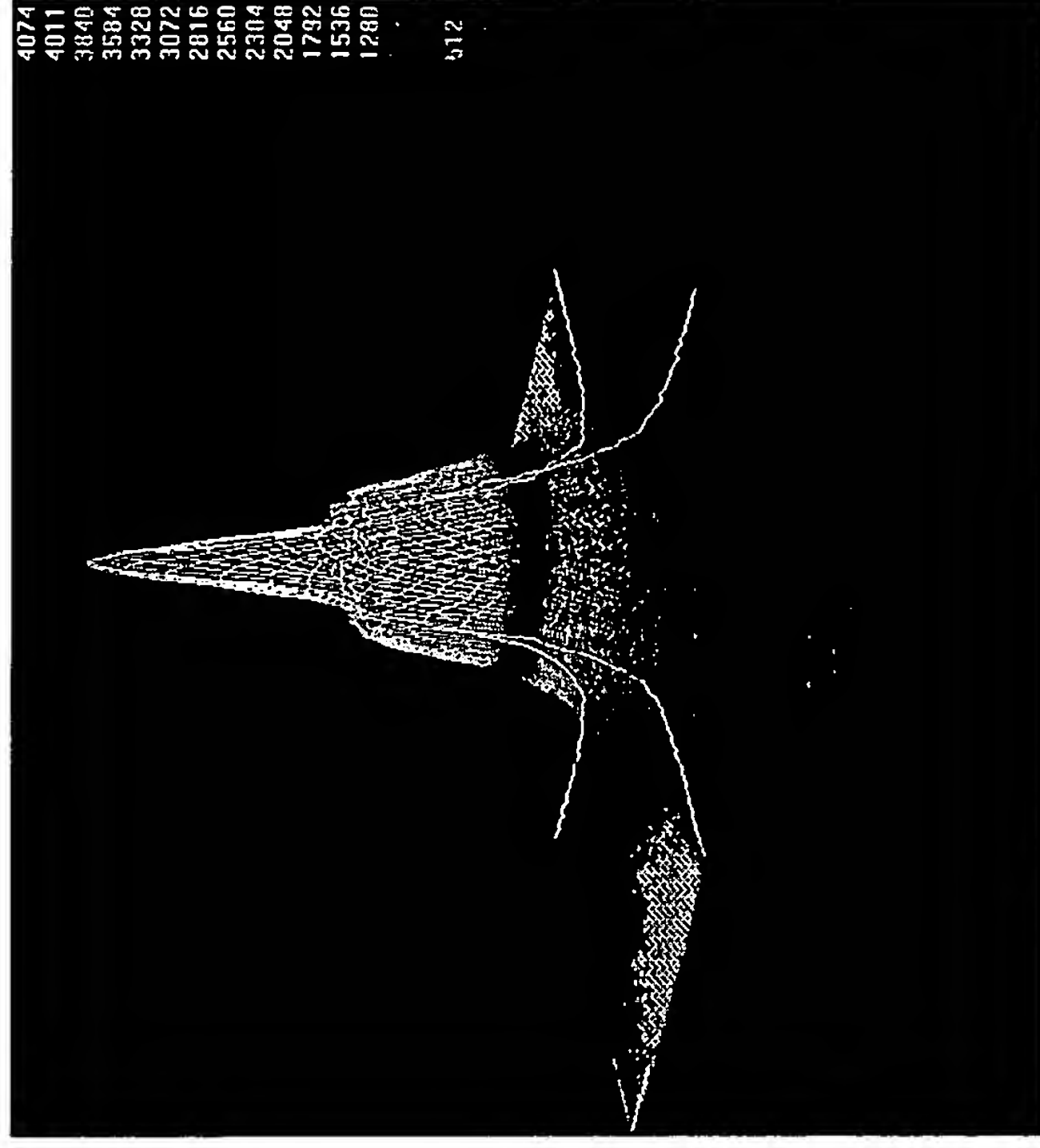
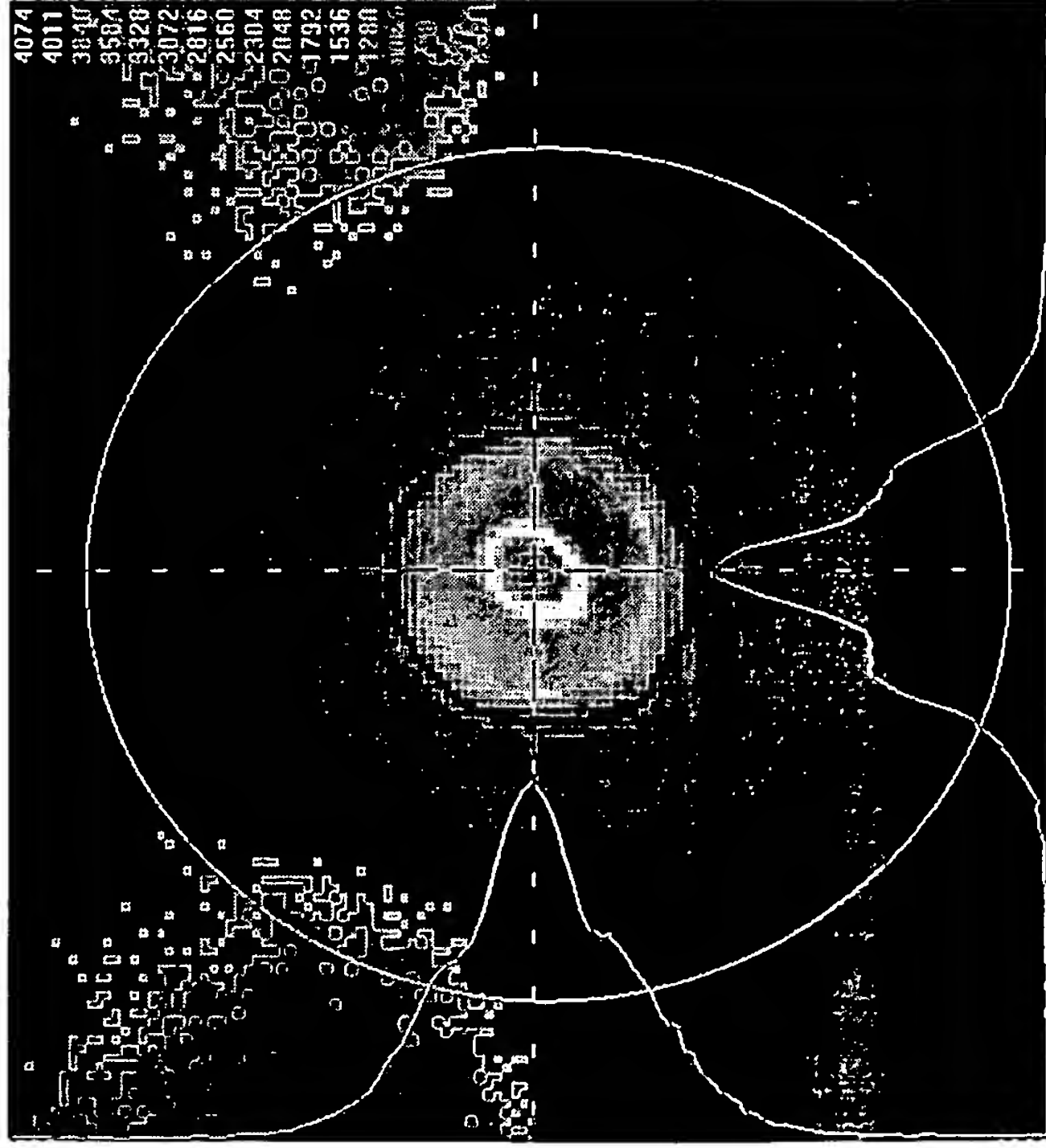
MEMS Product Development



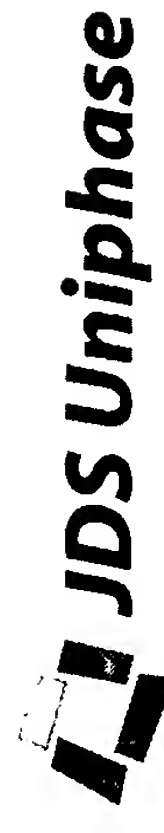
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CMMF-SMF 3/4 Pitch Optic

Beam MFD at D_2 Spot Size = $15.39 \mu\text{m} \pm 1.52 \mu\text{m}$



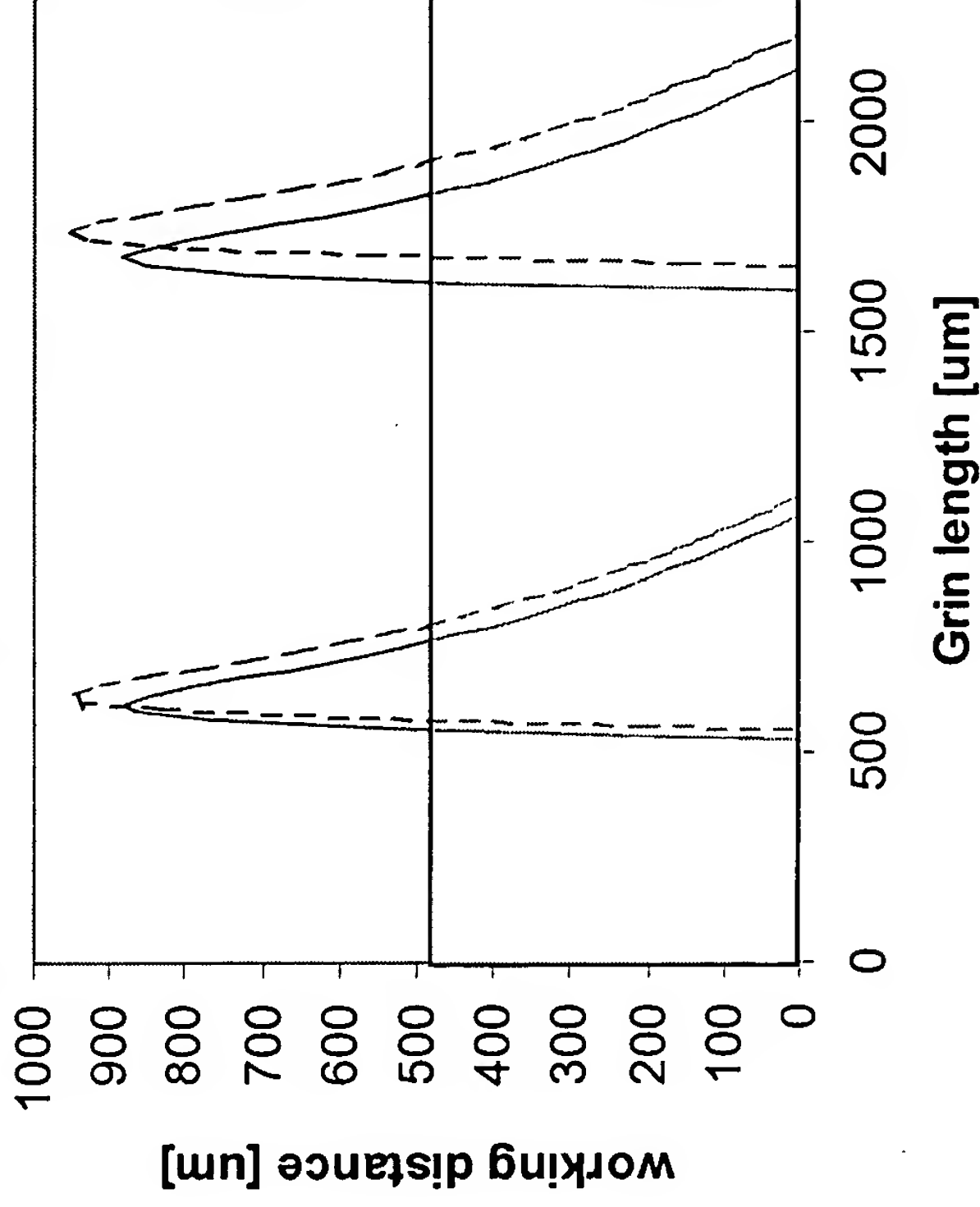
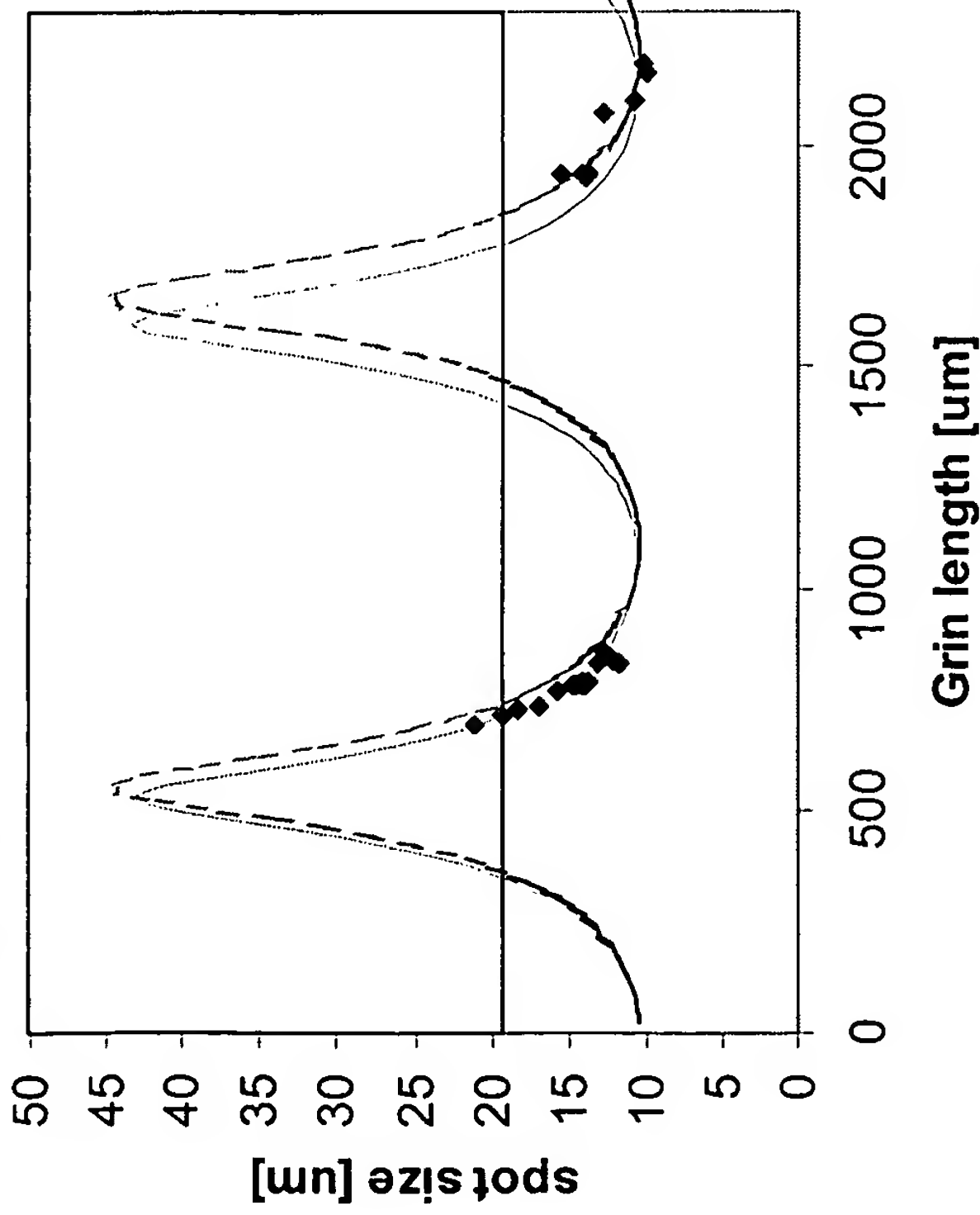
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CMMF-SMF 1/4 Pitch

Experiment - Theory comparison



Target values:
(30 deg)

— $g = 2.85\text{mm}^{-1}$ \Rightarrow

grin length: 770μm,
WD = 550μm, spot size = 17.2μm

— $g = 2.95\text{mm}^{-1}$ \Rightarrow

grin length: 740μm,
WD = 550μm, spot size = 17.4μm

— Requested

— Delivered

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CMMF 'Grin' Lengths

ID	Type	GRIN Length (μm)
1	750-C-CMMF-SMF-80	757.1
2	750-C-CMMF-SMF-80	760.1
3	750-C-CMMF-SMF-80	750.2
4	750-C-CMMF-SMF-80	754.0
5	750-C-CMMF-SMF-80	743.0
6	750-C-CMMF-SMF-80	749.6
7	750-C-CMMF-SMF-80	753.0
8	750-C-CMMF-SMF-80	756.5
9	750-C-CMMF-SMF-80	753.7
10	750-C-CMMF-SMF-80	755.1

GRIN length	
Average:	753.2
Stdev:	4.76
Max:	760.1
Min:	743.0
Range:	17.1

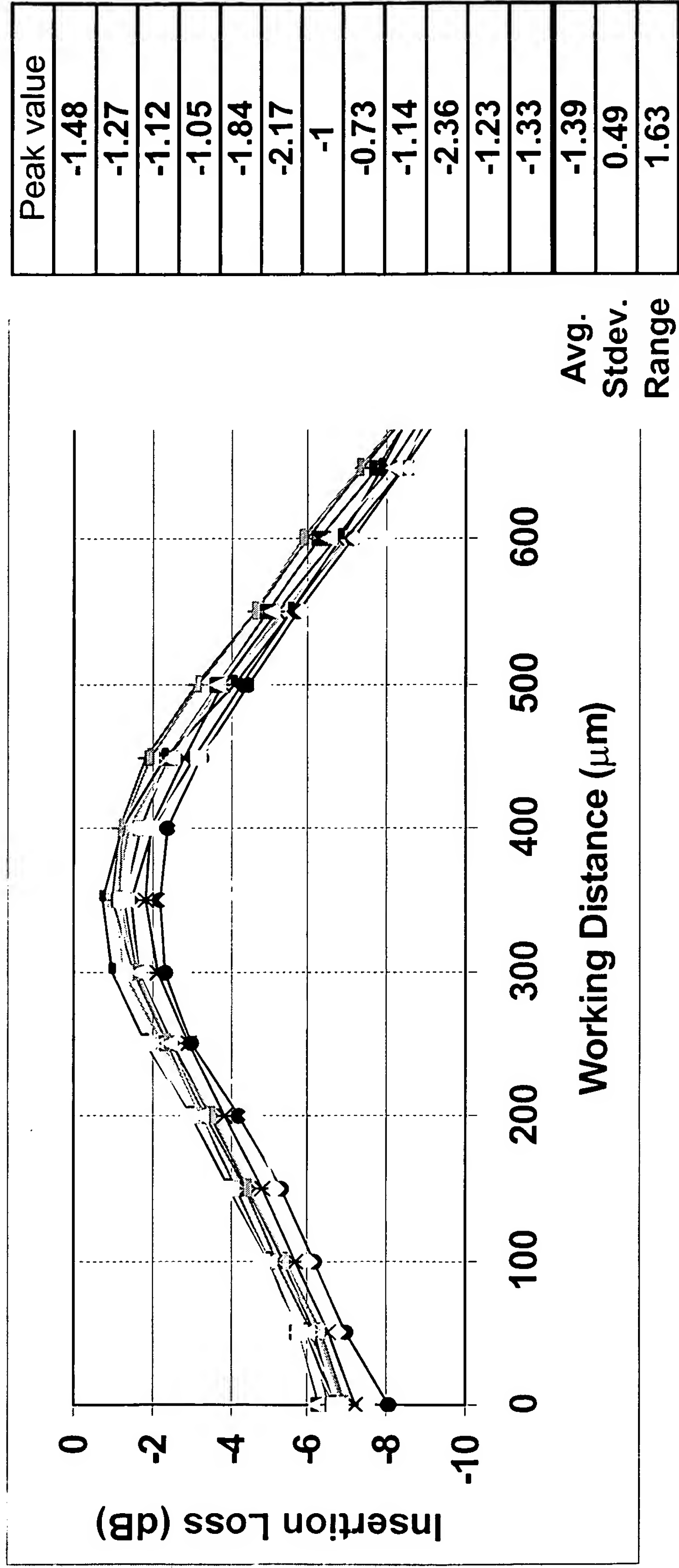
- Have made a few other sets with similar consistency. Many sets of optics did not exhibit the same consistency as shims were used to vary the grin length and this resulted in optics not optimized for consistent grin length.

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CMMF Optical Coupling Fiber to Fiber - in V Groove



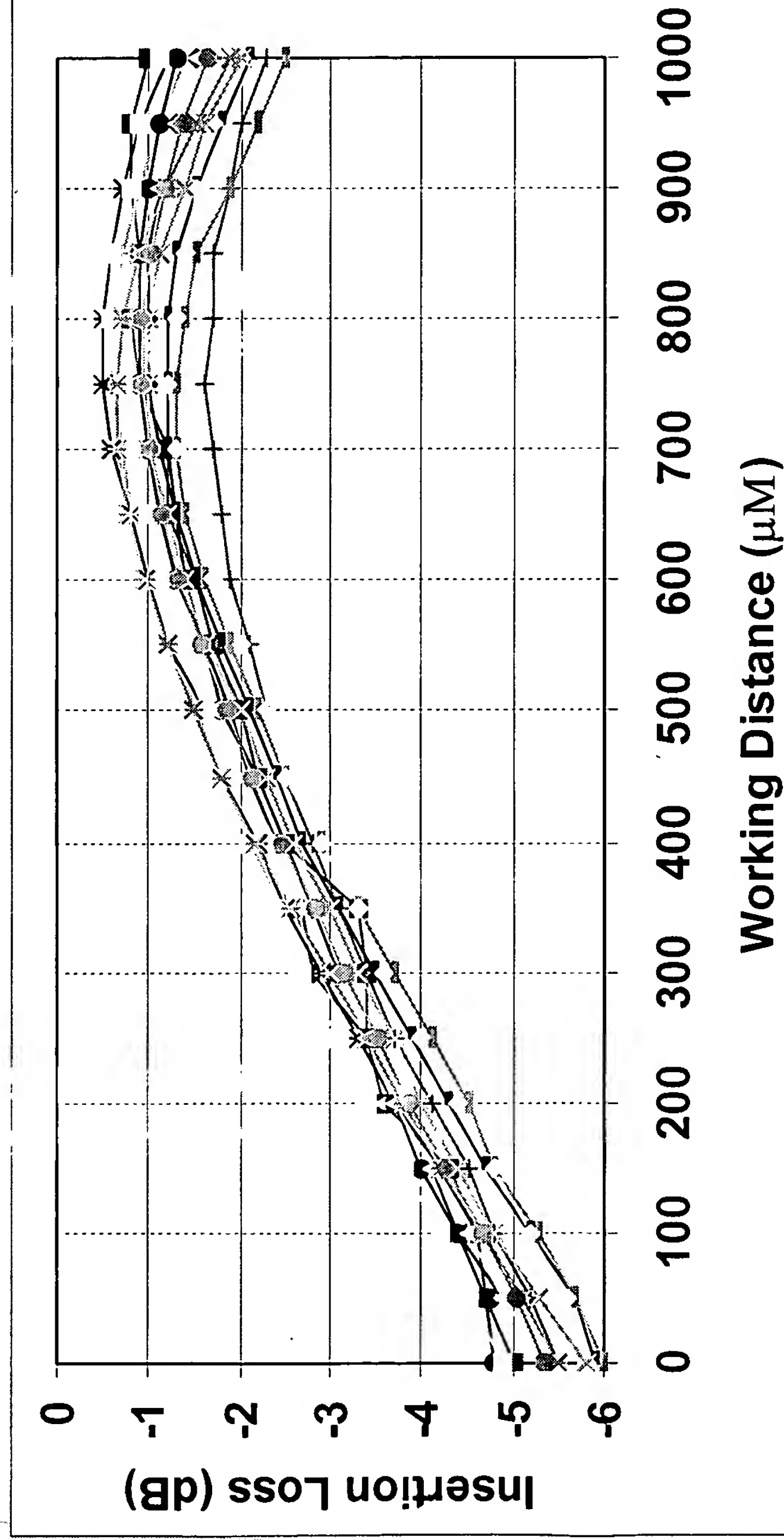
850 μm 'GRIN' fiber

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CMMF Optical Coupling Fiber to Fiber - in V Groove Con't



Avg.
Stddev.
Range

715 μm 'GRIN' fiber

Peak value
-1
-0.9
-0.75
-0.6
-0.5
-0.9
-1.6
-1.2
-1.3
-1.2
-0.7
-0.8
-1
-0.64
-0.94
0.31
1.1

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CMMF Optical Coupling Fiber to Fiber - in Chip

OCLI-170B Epoxy		Fully cured in
ID #	In-chip without adhesive	chip
C1	n/a	-1.11
B6-08	-1.03	-1.11
B6-D12	-0.78	-0.68
B6-G08	-1.13	-1.01
B5-L04	-0.82	n/a
Avg.	-0.94 dB	-0.98 dB
Stddev.	0.17 dB	0.20 dB
Range	0.35 dB	0.43 dB

- OCLI-170B epoxy use discontinued due to low adhesion strength.
- Coupling loss greater then next slide due to being initial coupling work not due to epoxy.

CMMF Optical Coupling Fiber to Fiber - in Chip Con't

OCLI-46A Epoxy		Fully cured in
ID #	In-chip without adhesive	chip
B5-F04	-0.62	-0.56
B6-D04	-1.12	-1.2
B5-F08	-0.83	-0.79
B5-C08	-0.74	-0.78
B5-C04	-0.77	-0.79
B5-F12	-0.85	-0.88
B2-E15	-0.71	-0.98
B3-J15	-0.61	-0.79
B1-E15	-0.59	-0.6
Avg.	-0.76 dB	-0.82 dB
Stdev.	0.16 dB	0.19 dB
Range	0.53 dB	0.64 dB

- OCLI-46A epoxy used due to increased adhesion strength and higher Tg.
- Coupling loss better than previous slide due to improved optical sub-assemblies and further chip assembly experience.

Temperature Dependence - CMMF Optics

Delta IL over Temperature (-5°C to 70°C)				
	Cycle 1	Cycle 2	Cycle 3	Cycle 4
E10-E1	0.03			
P20-F1	0.06			
C1	0.49	0.07	0.08	
B6-08	0.20	0.16	0.15	0.16
B6-D12	0.09	0.07	0.08	
B6-G08	0.11	0.11	0.13	
B5-L04	0.11	0.11		
B5-F04	0.10	0.10	0.12	
B5-F08	0.40 *	0.10	0.05	
B5-C04	0.90 *			
B5-F12	0.10	0.09		
B2-E15	0.13	0.12		
B3-J15	0.07			
B1-E15	0.04			
Avg.	0.20 dB	0.10 dB	0.10 dB	0.16 dB
Stddev.	0.24 dB	0.03 dB	0.04 dB	
Range	0.87 dB	0.09 dB	0.10 dB	
Overall Average 0.15 dB				
Overall Average w/o * 0.11 dB				
* believed these parts were incorrectly loaded into temperature chamber, fiber pinched in port inducing excess loss and instability				

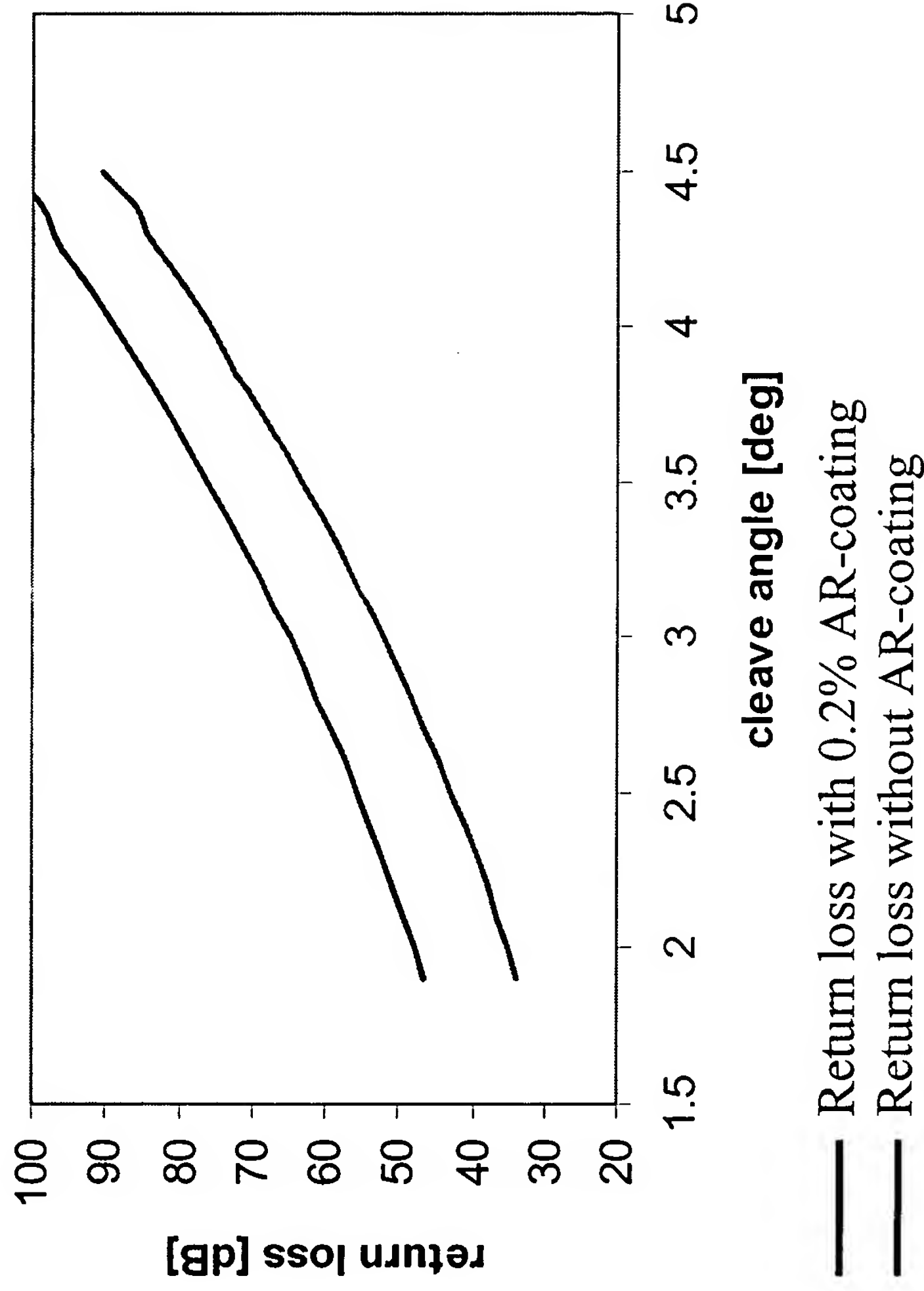
- In addition:
 - 1 part build and temperature tested on bench with cure in place cartridge heater:
 - 0.04 dB IL change amb to 150°C (cure temp)
 - 0.01 dB IL change amb to 70°C cycling.

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Return Loss vs. Cleave Angle



3-deg angle cleave plus AR-coating results in 65dB return loss

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Splice Return loss CMMF

	ID	Measurement	P1	P2	S	C	RL
splice 1A	1	56.70	57.90	1.20	1.96	59.86	59.52 dB
"	2	56.60	58.00	1.40	1.19	59.19	
splice 2A	1	56.70	57.90	1.20	1.96	59.86	64.01 dB
"	2	57.70	57.90	0.20	10.26	68.16	
splice 3A	1	55.30	57.90	2.60	-2.15	55.75	55.75 dB
"	2	55.30	57.90	2.60	-2.15	55.75	
splice 4A	1	56.70	57.90	1.20	1.96	59.86	61.52 dB
"	2	57.30	57.90	0.60	5.28	63.18	
Average			60.20 dB				

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Angle Cleaving

ID	Type	GRIN Length (μm)	Fringes	Angle
1	750-C-CMMF-SMF-80	765.5	24	3.63°
2	750-C-CMMF-SMF-80	772.4	24	3.63°
3	750-C-CMMF-SMF-80	756.5	23	3.48°
4	750-C-CMMF-SMF-80	765.5	25	3.78°
5	750-C-CMMF-SMF-80	733.9		
6	750-C-CMMF-SMF-80	746.8	22	3.33°
7	750-C-CMMF-SMF-80	760.9	25	3.78°
8	750-C-CMMF-SMF-80	766.5	23	3.48°
9	750-C-CMMF-SMF-80	757.5	25	3.78°
10	750-C-CMMF-SMF-80	745.5	23	3.48°
11	750-C-CMMF-SMF-80	759.1	22	3.33°
12	750-C-CMMF-SMF-80	757.5	23	3.48°
Average:		757.3	23.5	3.56°
Stdev:		10.71	1.13	0.17°
Max:		772.4	25.0	3.78°
Min:		733.9	22.0	3.33°
Range:		38.5	3.0	0.45°

- Angle $\neq 3.0^\circ$ because 1 fringe = 0.151° rather than previously believed 0.125°
- New target fringe count = 20
- GRIN length inconsistency due to shims used to achieve $\sim 760\mu\text{m}$ length

Optics Reversibility

- Tests indicate that there is not a issue related to the reversibility of the optical sub-assembly
- Overall loss number too high, later data indicates better and more consistent coupling.

		Signal	Signal	
PART #	Chip ID	Input/Output (dB)	Output/Input (dB)	Delta
1	C1	-1.12	-1.09	-0.03
2	B5 L04	-0.83	-0.85	0.02
3	B3 J15	-0.79	-0.77	-0.02
4	B2 E15	-1.05	-1.04	-0.01
5	B5 F12	-0.92	-0.93	0.01
6	B5 F04	-0.64	-0.62	-0.02
7	B1 E15	-0.6	-0.63	0.03
8	B6 G08	-0.91	-0.93	0.02
Avg.		-0.86	-0.86	0.00
Stdev.		0.18	0.17	0.02
Range		0.52	0.47	0.06

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PDL in transmission, with index matching fluid to reduce etalon effects

Test ID	W.D.	I.L.	P.D.L.
1	~ 615	1.64	0.02
2	~ 750	1.40	0.02
3	~ 900	0.57	0.03
4	~ 900	0.46	0.02
5	~ 650	0.69	0.02
6	~ 750	0.66	0.03
7	~ 650	0.61	0.02
8	~ 650	0.49	0.04
Avg.		0.82 dB	0.02 dB
Stddev.		0.45 dB	0.01 dB

- PDL of optical sub-assembly appears to not be an issue.
 - Need to verify with actual AR coated parts.
- PDL effects due to optical bonding to chip unknown at this time, as well as PDL off the mirror.

Engineering Tests Optics

Engineering Tests - Memms - Optics						
	Index					
Test#	Date					
Number:	Started:	Title:	By:	status	Key word 1	
1	30-May-00	IMT 2x2 Optical Power Variation over Temperature	TO	Complete	Insertion Loss	
2	6-Jun-00	Repeat IMT 2x2 Optical Power Variation over Temperature	TO	Complete		
3	12-Jun-00	IMT 2x2 Optical Power Variation over Temperature (6 Neuschatel switches)	TO	Complete		
4	13-Jul-00	Gradissimo Fiber diameters and lengths using Wyco (Working distance = 600 um)	LB	Complete	Gradissimo	
5	18-Jul-00	Gradissimo Fiber diameters and lengths using Wyco (Working distance = 800 um)	LB	Complete	Gradissimo	
6	18-Jul-00	Return Loss of Single Mode to Multi Mode Splice	LB	Complete	Return Loss	
7	6-Jun-00	IMT Nueschatel Assembled 2x2 Insertion Loss	LB	Complete	Insertion Loss	
79	24-Oct-00	Grin Length Study, Tension Setting of Tension Cleaver	JC, LB	writing up	Grin Length	
80	25-Oct-00	Insertion Loss over Temperature using CMVF (C1.0 program)	DM	Complete	Temperature	
81	6-Nov-00	Transmission study, consistency of signal for input and output	DM	Complete	Transmission	
82	7-Nov-00	Insertion Loss over Temperature using CMVF (C1.2 program)	DM	In Process	Temperature	

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Insertion Loss vs. Wavelength

Assumptions

- mode field radius w_0 of SMF given by:

$$w_0(\lambda) = a \cdot \left(0.65 + \frac{1.619}{V^{1.5}} + \frac{2.879}{V^6} \right)$$

$$V(\lambda) = \frac{2\pi}{\lambda} a \cdot NA$$

with $a = 4.1 \mu\text{m}$, SMF-28 core radius;
NA = 0.12, SMF-28 numerical aperture

- dispersion of on axis refractive index n_0 :

$$n_0(\lambda) = C_0 + C_1\lambda$$

- dispersion of Grin parameter g :

$$g(\lambda) = \sqrt{-2 \cdot \frac{D_0 + D_1\lambda + D_2\lambda^2}{n_0(\lambda)}}$$

This model does not take into account any AR-coating or absorption.

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Insertion Loss vs. Wavelength Parameters

Wavelength range: 1250 - 1650 nm

Mode field diameter: 8.85 - 11.05 μm ,

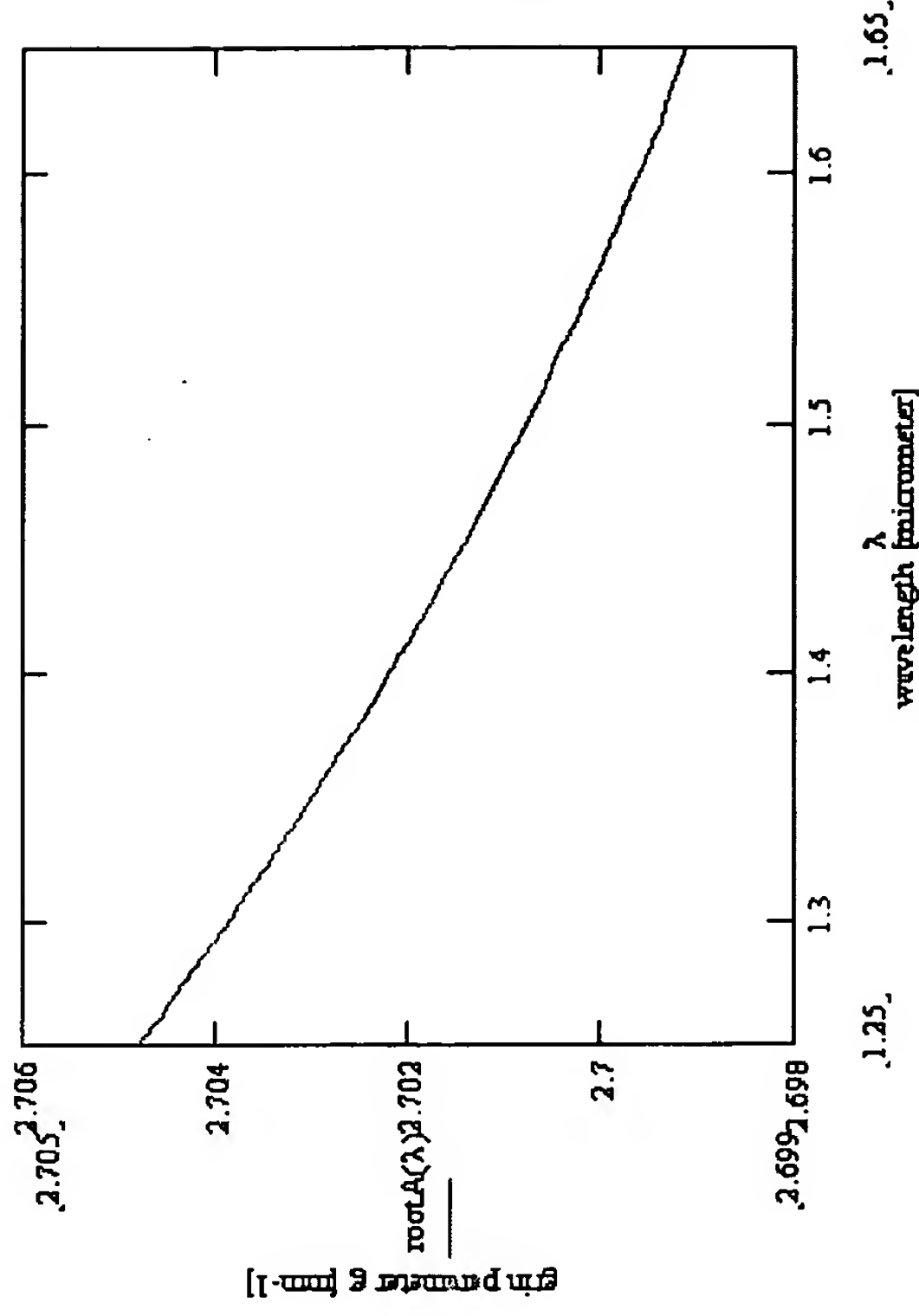
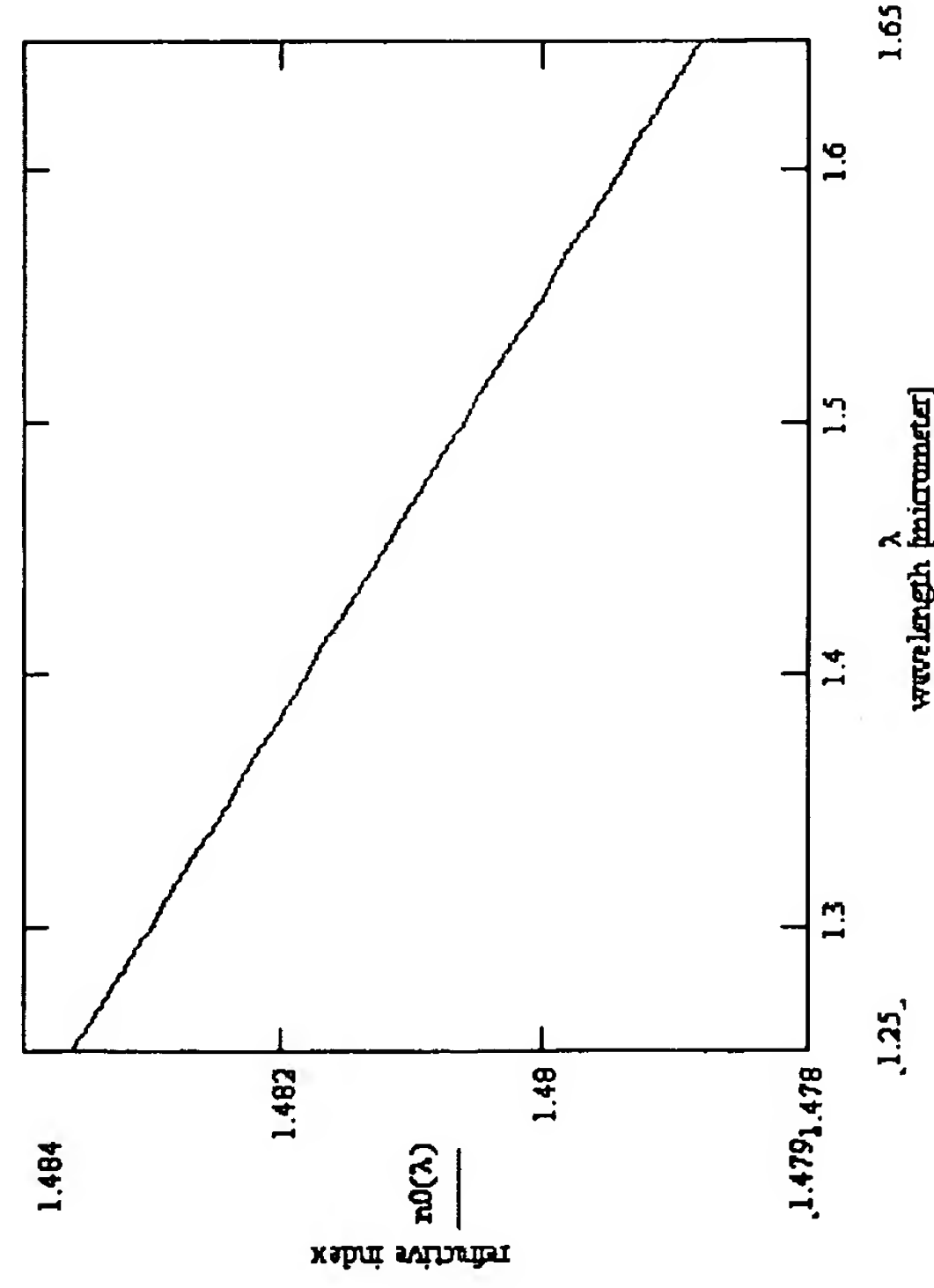
On-axis refractive index n_0 : 1.4836 - 1.4788,

Grin parameter g ($\equiv \text{rootA}$): 2.705 - 2.699 mm^{-1} ,

$2w_0(1310) = 9.13 \mu\text{m}$, $2w_0(1550) = 10.42 \mu\text{m}$

$n_0(1.55) = 1.48$

$g(1.55) = 2.7 \text{ mm}^{-1}$



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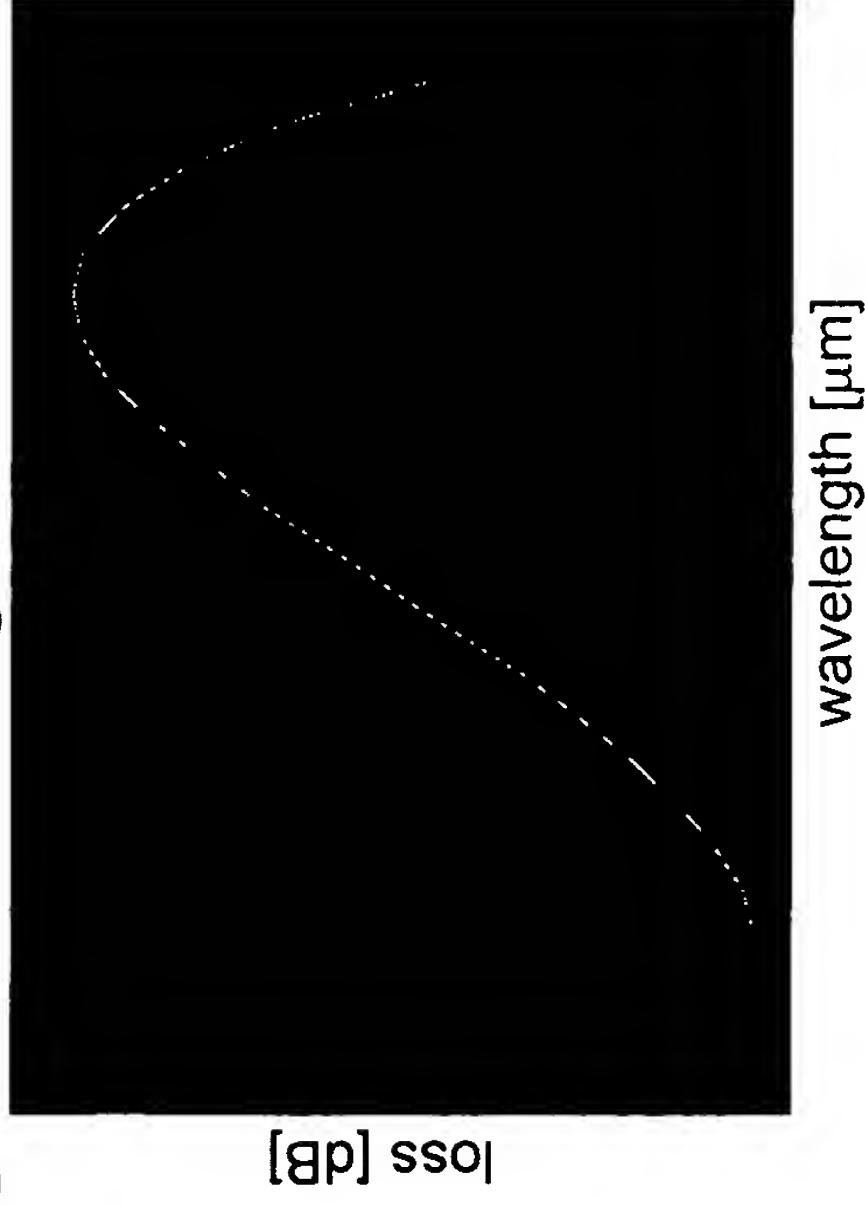
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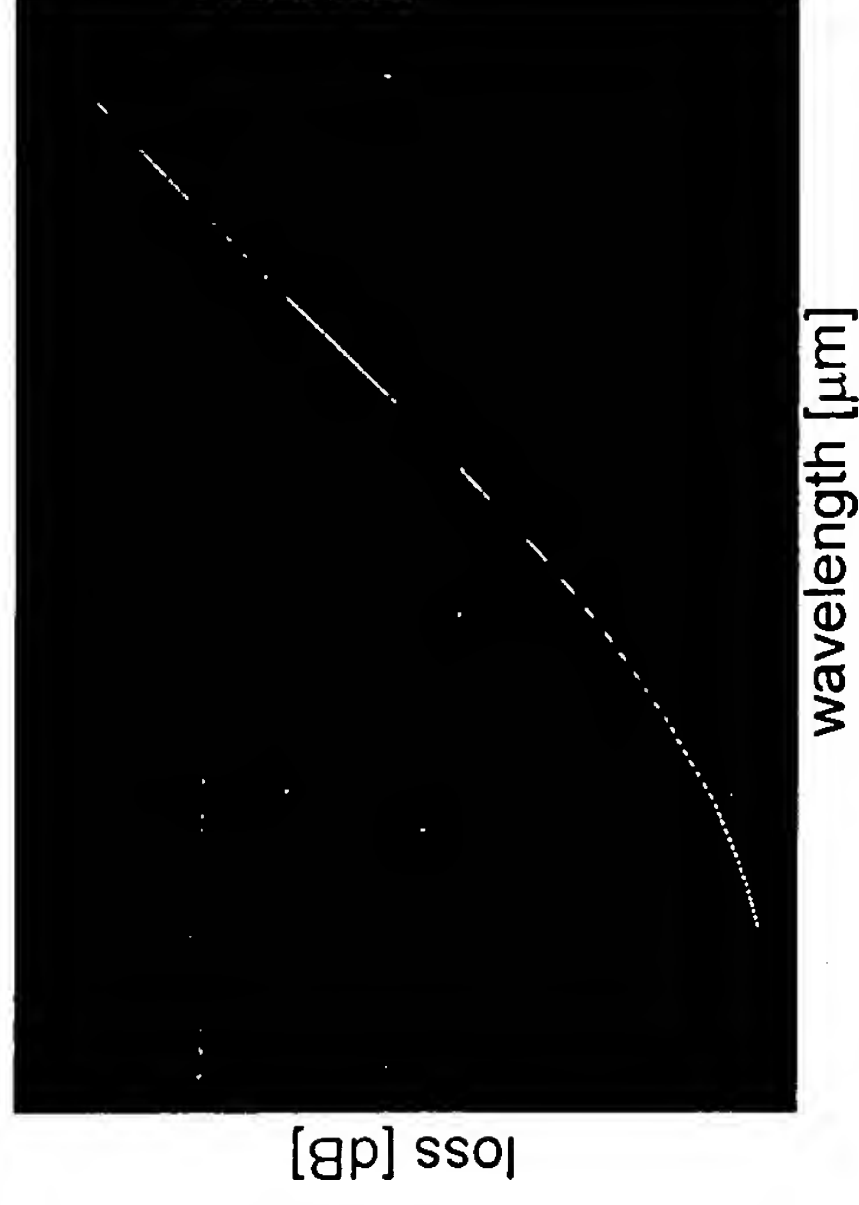
Insertion Loss vs. Wavelength

Results

Optimum working distance for $\lambda = 1.55 \mu\text{m}$



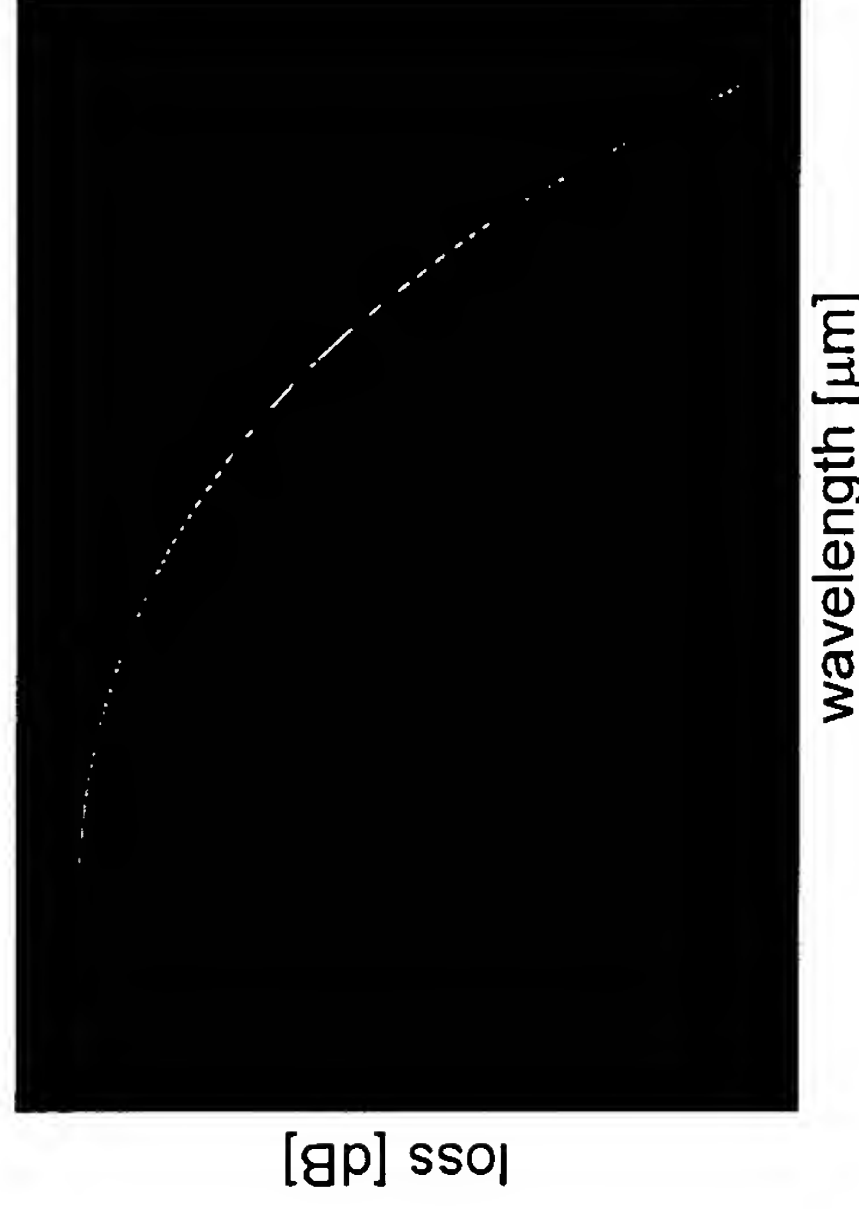
$\Delta wd = -20$ micrometer



Maximum insertion loss change over 1250 - 1650nm wavelength range: $\Delta IL < 0.005\text{dB}$

Flatness highly dependent on misalignments (as seen in the two figures below).

$\Delta wd = +20$ micrometer

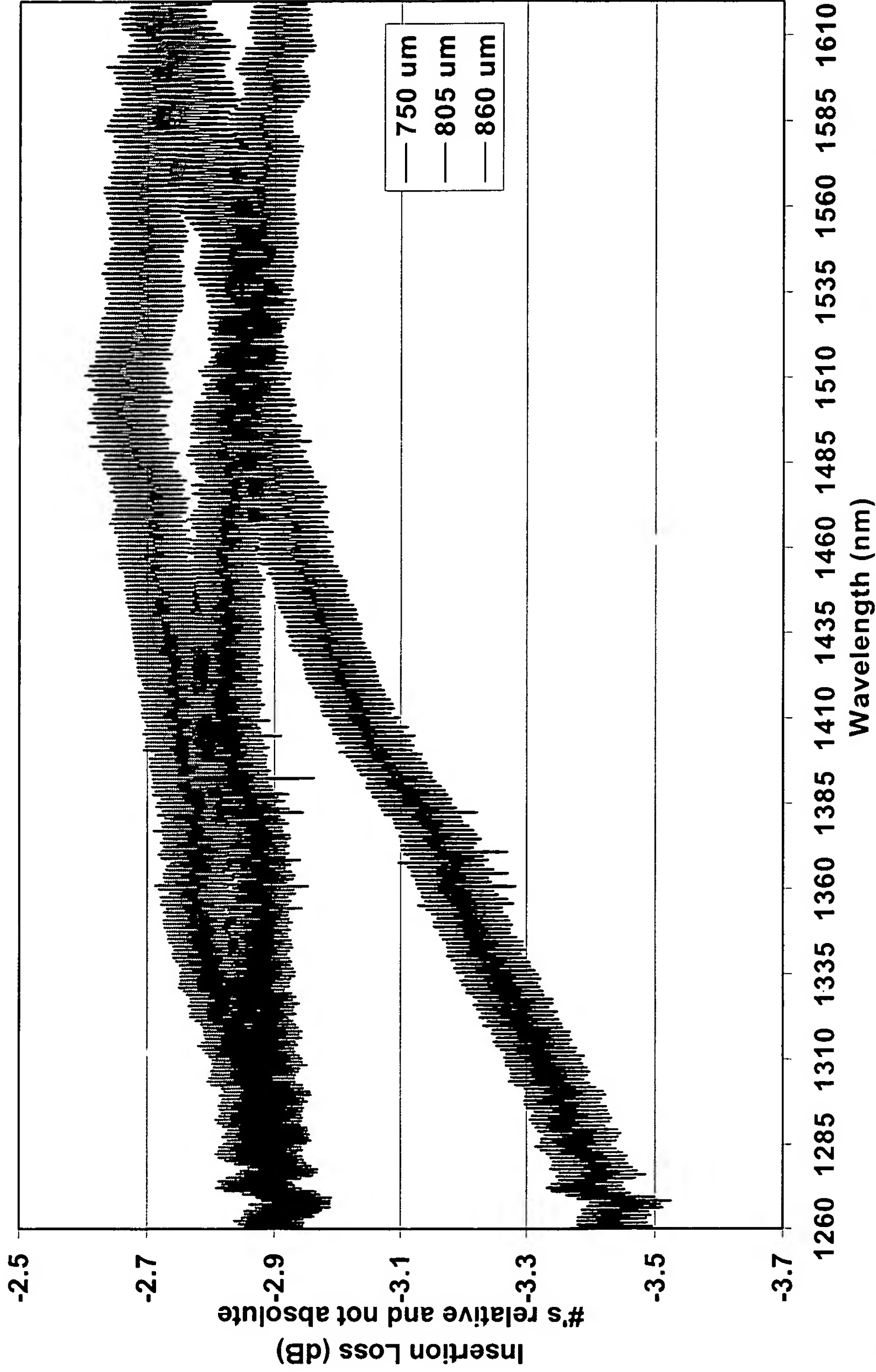


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Actual Wavelength Dependence



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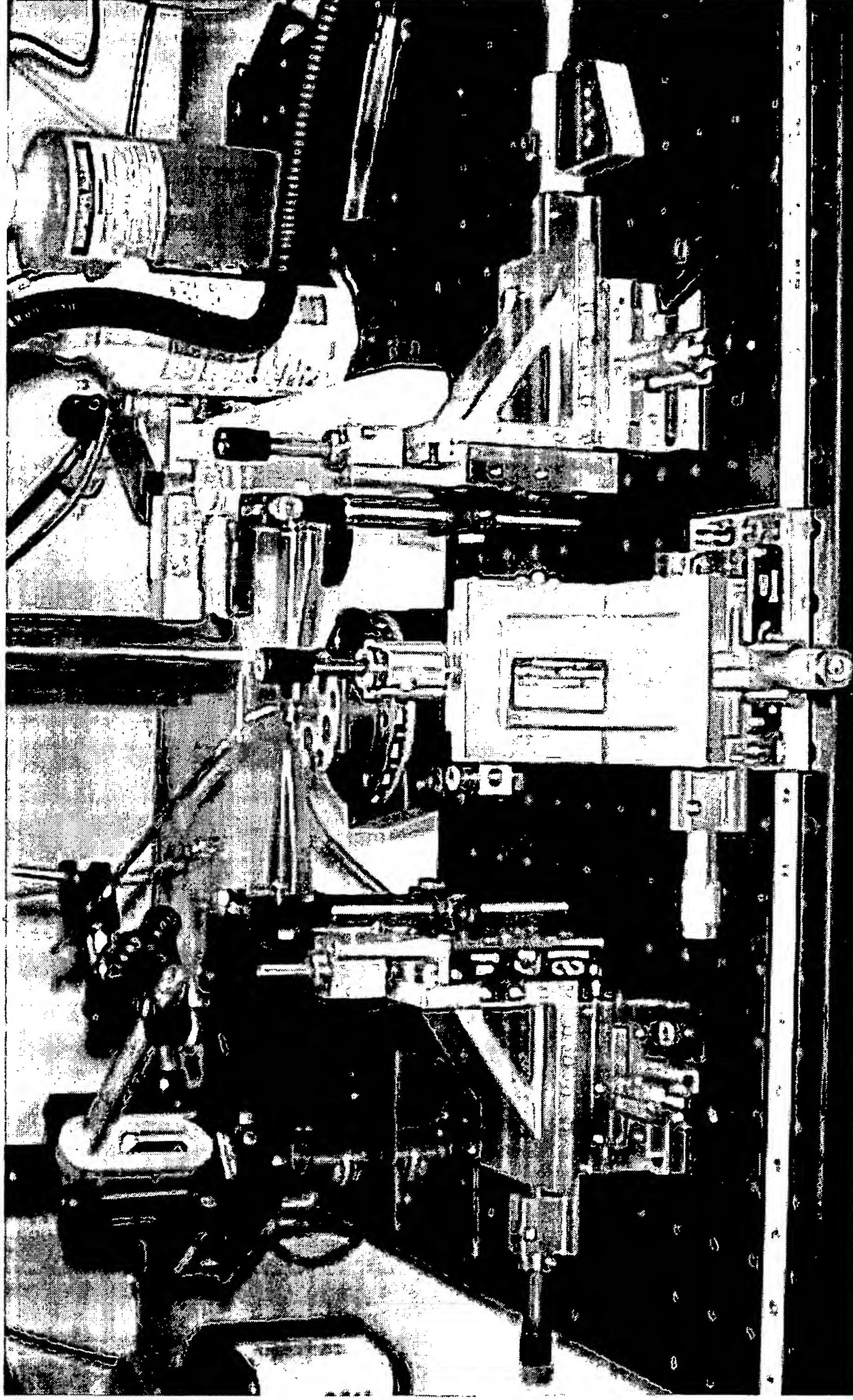
MEMS Optical-Chip Assembly

Current Process

Current Optical Assembly Process

- Align fibers in transmission in fiber trenches, without epoxy.
- Record optimum alignment insertion loss.
- Remove fibers and apply epoxy
 - Epoxy transfer from glass slide to fiber.
- Align fibers
- UV tack
- Transfer to oven for 150°C cure for 1/2 hour.
 - Parts for cure in place cartridge heater being made.

Current Optical Assembly pictures

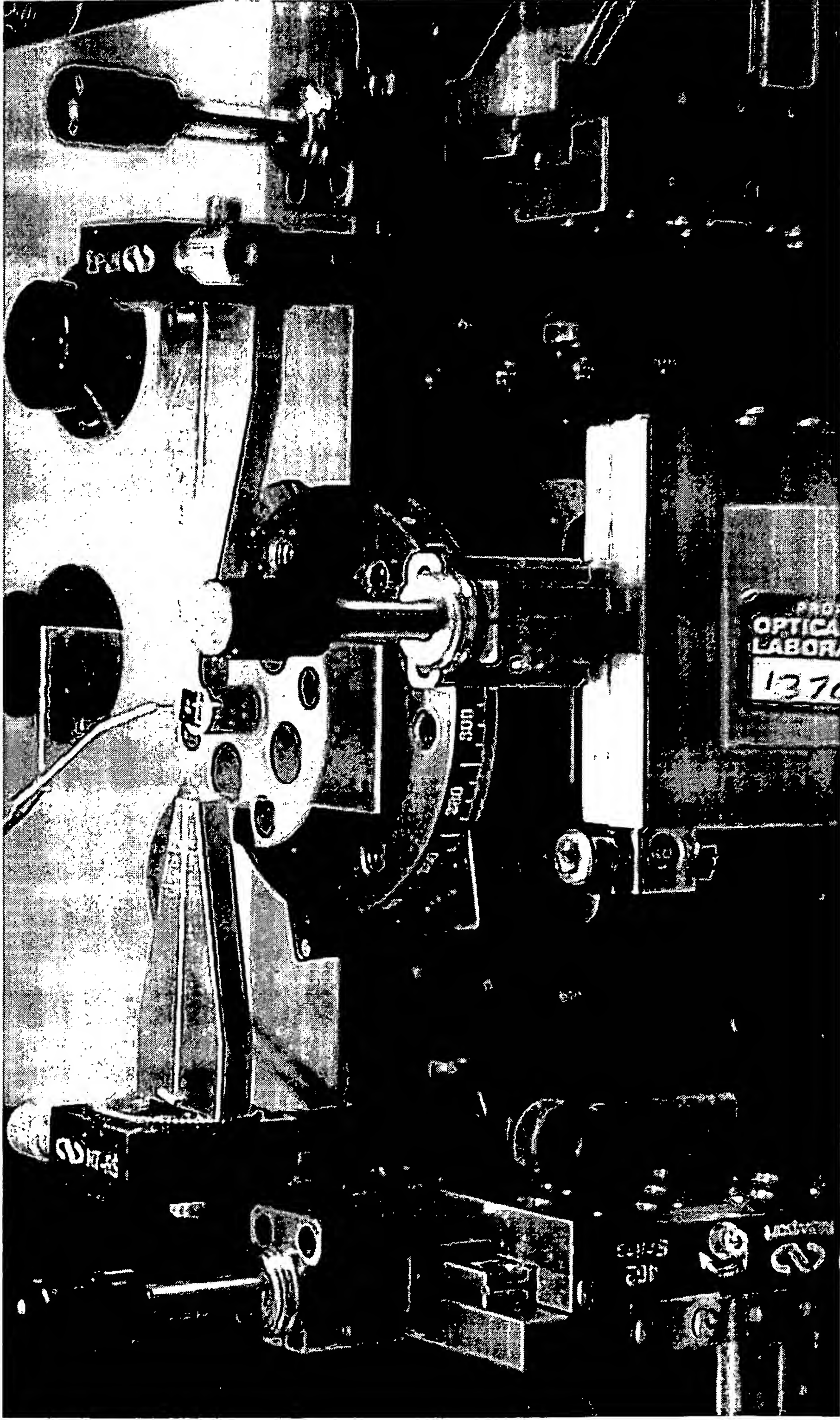


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Current Optical Assembly pictures con't

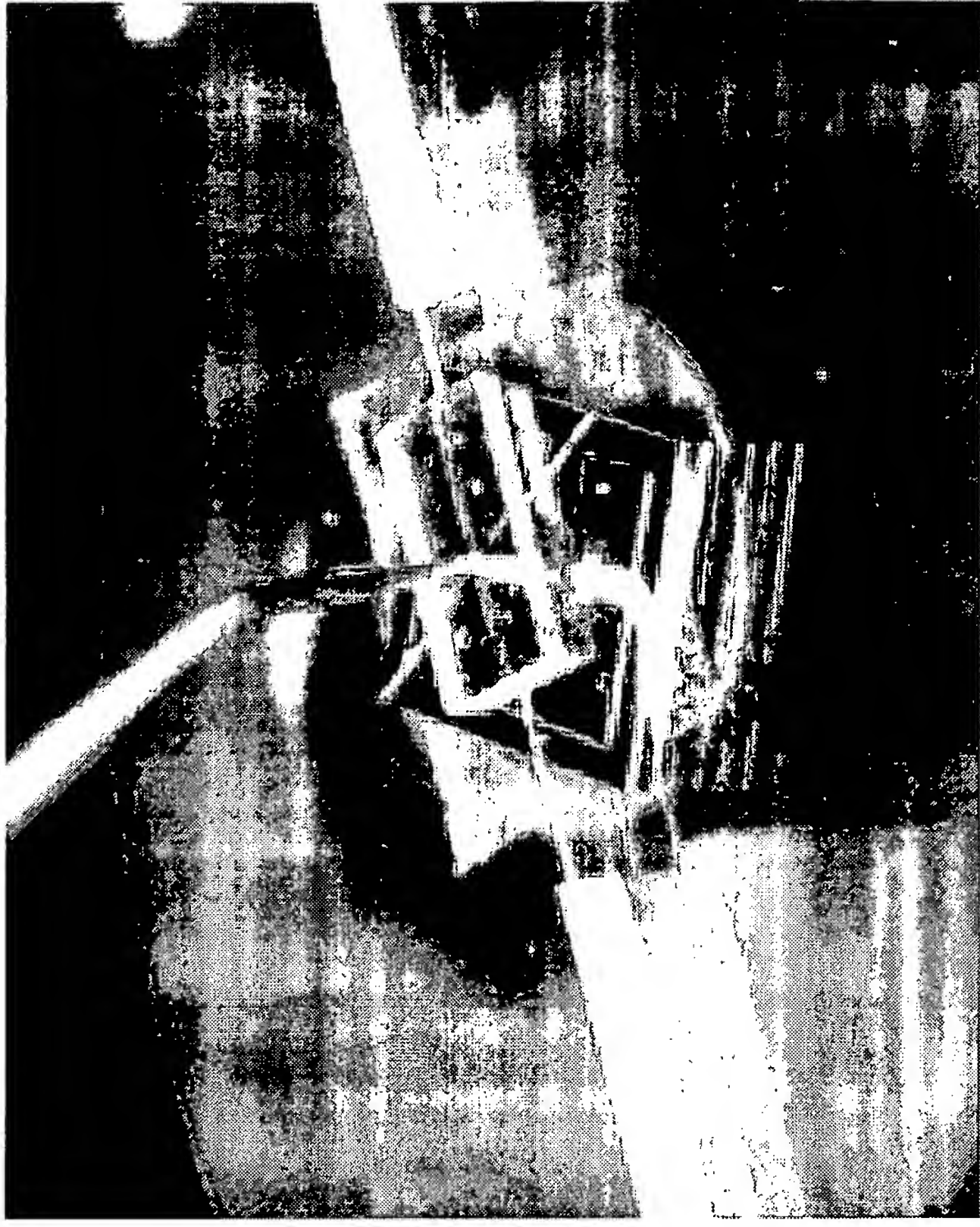
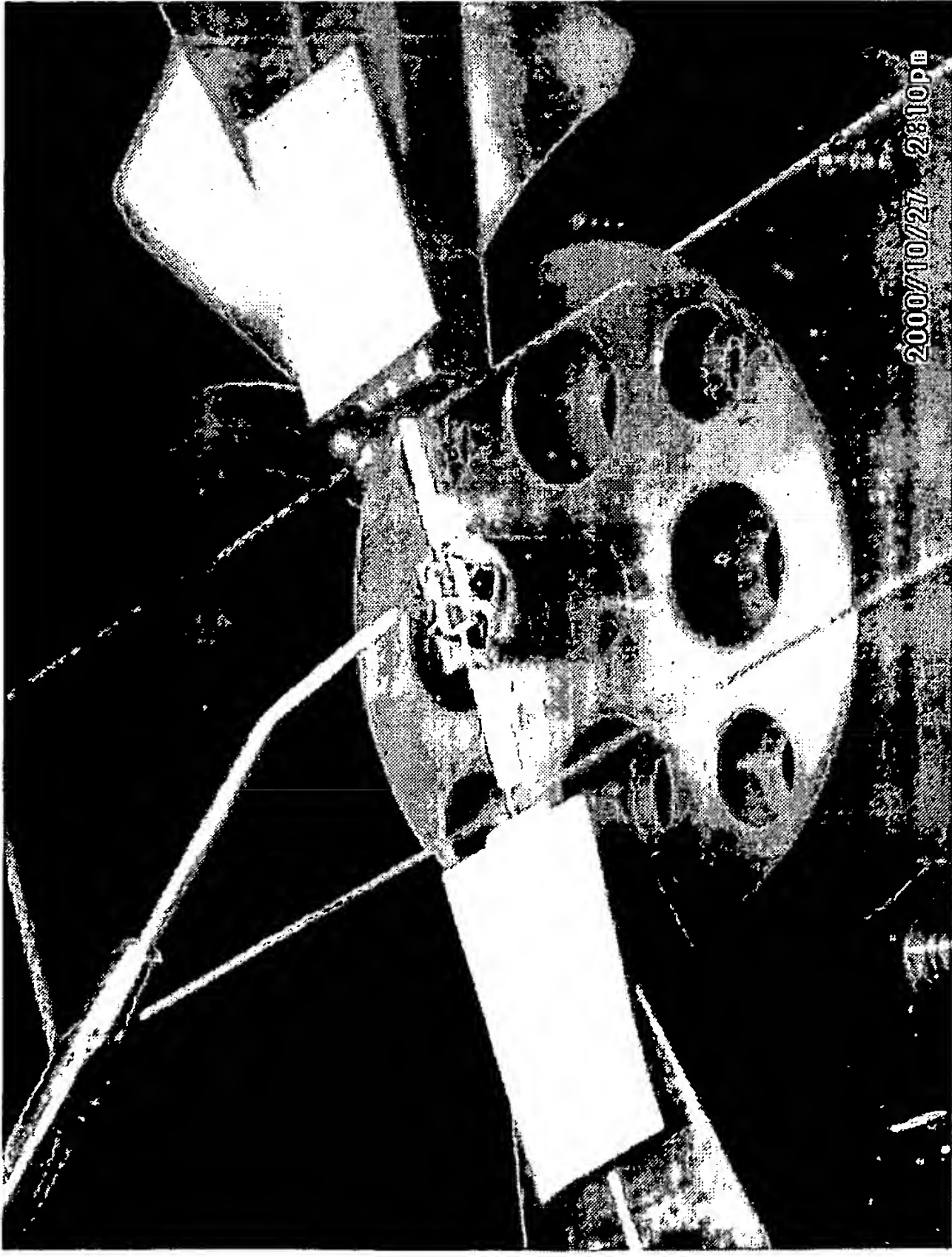


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Current Optical Assembly pictures con't



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Cycle Times - Optical Assembly

- 10 minutes for set of optics in transmission
 - Includes baselining equipment, splicing in optics, aligning in chip w/o and w/ epoxy, & UV cure.
 - Angle cleaved optics will likely have negligible effects on cycle time as current optics are rotationally aligned.
- 1x2 and 2x2 switches will result in 2-3 time increase in cycle time.
 - Estimated cycle time for actual switch ~ 30 minutes.